

Stability of Symmetric Powers of Vector Bundles over a Curve / k

C : smooth projective curve of genus g

E : vector bundle on C of rank r & degree $d := \deg E$

§1. Introduction

Def E is (semi) stable if

$$\forall F \subseteq E: \begin{array}{l} \text{proper nonzero} \\ \text{subbundle} \end{array}, \frac{\deg F}{\text{rk } F} \leq \frac{\deg E}{\text{rk } E}.$$

→ slope $\mu(E)$

Equivalently, E is (semi) stable iff

$$\forall E \rightarrow Q: \begin{array}{l} \text{proper nonzero} \\ \text{quotient bundle} \end{array}, \frac{\deg Q}{\text{rk } Q} \geq \frac{\deg E}{\text{rk } E}.$$

Rmk • E : stable $\Leftrightarrow E^\vee$: stable ^{dual}

• E : stable $\Leftrightarrow E \otimes L$: stable for L : line bundle

Prop (Hartshorne's ASAV) Let E be stable. Then

• $S^k E$ is semistable $\forall k \geq 2$

• $S^k E$ is stable $\forall k \geq 2$ for sufficiently general E
when $g \geq 2$.

The proof uses the correspondence

(stability of E) \leftrightarrow (irreducibility of $\rho_E: \pi_1(C) \rightarrow U(r)$)
introduced by Narasimhan & Seshadri ('65).

Q. Classify stable E w/ strictly semistable $S^k E$?

↳ semistable but not stable

From now on, let E be a vector bundle of rank $r = 2$ & degree $d = 0$. After a normalization,

$$\det(E \otimes L) = (\det E) \otimes L^2,$$

$$S^k(E \otimes L) = S^k E \otimes L^k,$$

WMA $\det E = \mathcal{O}_C$.

In this case,

$$\text{rk } S^k E = k+1 \quad \& \quad \det S^k E = (\det E)^{\binom{k+1}{2}} = \mathcal{O}_C,$$

and

$$E^\vee = E \otimes (\det E)^{-1} = E \quad \& \quad (S^k E)^\vee = S^k E^\vee = S^k E.$$

"self-dualities"

Rank $S^k E$ is not stable $\Leftrightarrow \exists$ destabilizing subbundle $V \rightarrow S^k E$
of rank $\leq \frac{k+1}{2}$

(\because) Destabilizing $V \rightarrow S^k E$ yields the exact seq.

$$0 \rightarrow V \rightarrow S^k E \rightarrow W \rightarrow 0$$

of vector bundles w/ $\text{rk } V + \text{rk } W = k+1$ & $\deg V = \deg W = 0$.

$\Rightarrow \exists$ destabilizing $W^\vee \rightarrow (S^k E)^\vee = S^k E$.

§2. Destabilization of $S^k E$ by Rank 1

X : the ruled surface $\pi: \mathbb{P}_C(E) \rightarrow C$

C_1 : a unisecant divisor on X w/ $\pi_* \mathcal{O}_X(C_1) = E$

$$\Rightarrow C_1^2 = \deg E = 0$$

$N_1(X)$: the set of divisors on X / \cong (num. equiv.)

$$= \{D \equiv kC_1 + bf \mid k, b \in \mathbb{Z}\} \quad \text{realized as a curve}$$

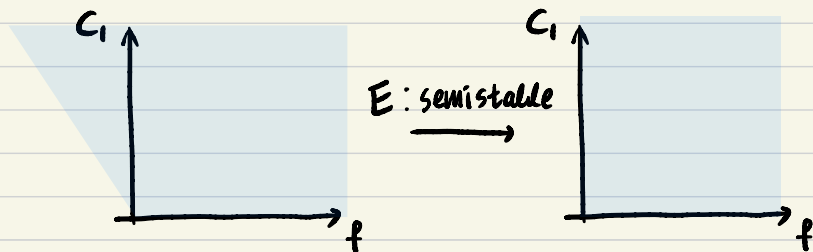
\hookrightarrow k -secant divisor, k -section if effective \curvearrowright

$\Rightarrow N_1(X) \otimes \mathbb{Q}$: 2-dim'l vector space.

$NE(X)$: the cone of curves on X

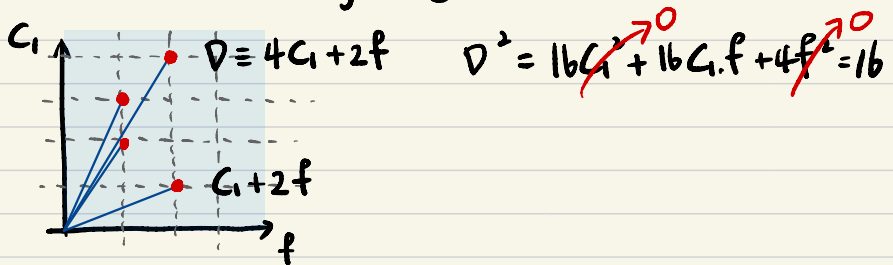
$$= \{D \in N_1(X) \otimes \mathbb{Q} \mid D: \mathbb{Q}\text{-effective}\}$$

If E is semistable, then $\overline{NE(X)} = \mathbb{Q}_{\geq 0} \cdot C_1 + \mathbb{Q}_{\geq 0} \cdot f$.



In particular, X has no curve D of $D^2 < 0$ if E is ss.

Rmk $NE(X)$ is not necessarily closed.



Def A minimal section is a section (1 -section) C_0 with the minimal self-intersection \Leftrightarrow of min. num. class.

Then

$$E: \text{stable} \Leftrightarrow C_0^2 > 0$$

established by the correspondence

(line subbundle $L^1 \rightarrow S^k E$ of $\deg L = b$)

\leftrightarrow (k -section $D = kC_1 + bf$ on X)

When E is semistable, TFAE.

- $S^k E$ is destabilized by a line subbd
- $\exists k$ -section D on X of $D^2 = 0$
- $NE(X)$ is closed

Q. Classify stable E w/ $\overline{NE(X)} = NE(X)$?

§3. Stable E w/ sss S^2E

Recall that

S^2E : not stable $\Leftrightarrow \exists$ line subbundle $L^1 \rightarrow S^2E$ of $\deg L = 0$

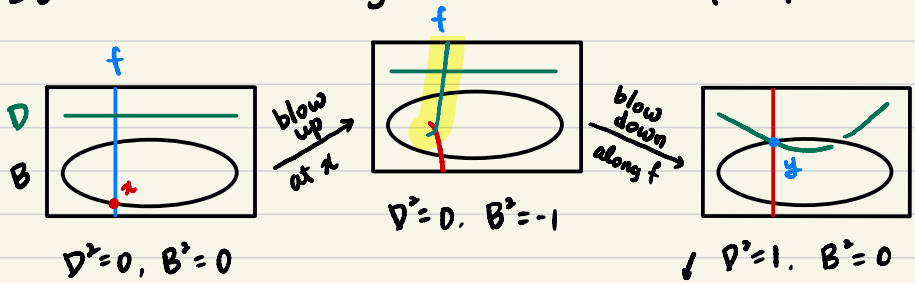
\hookrightarrow rk 3

$\Leftrightarrow \exists$ 2-section B on $X = \mathbb{P}_c(E)$ of $B^2 = 0$

Ex (Choi, Park '14)

Let $E_0 = \mathcal{O}_c \oplus M$ for some $M \in \mathcal{I}_2(\mathbb{C}) \setminus \{\mathcal{O}_c\}$, i.e. $M^2 = \mathcal{O}_c$ but $M \neq \mathcal{O}_c$, and $X_0 = \mathbb{P}_c(E_0)$. Then \exists an irreducible & reduced 2-section B on X_0 of $B^2 = 0$.

Let $X = \mathbb{P}_c(E)$ be the ruled surface obtained by taking elementary transforms at points of B from X_0 . Then E is stable but S^2E is not stable (for general choices of the pt.s).



< elementary transform at x >

gives a desired example after checking D is a minimal section

On the other hand,

$S^2 E$: not stable $\Leftrightarrow \exists$ quotient line bundle $S^2 E \rightarrow L$ of $\deg L = 0$

$\Leftrightarrow E$ is orthogonal (\Rightarrow requires the stability of E)

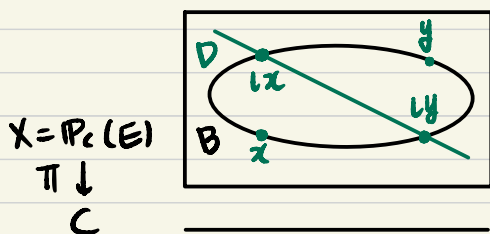
Def E is orthogonal w/ its values in M if
 \exists a nondegenerate symmetric bilinear form $E \otimes E \rightarrow M$.

Thm (Mumford's classification '71) E is orthogonal iff

- (i) $V \approx A \otimes A^{-1}$ (= up to normalization) for any line bundle A on C
- (ii) $V \approx \pi_* R$ for an étale 2-covering $\pi: B \rightarrow C$
 & a line bundle R on B

Note that B : irr. & red. 2-section on X of $B^2 = 0$
 $\Rightarrow \pi = \pi|_B: B \rightarrow C$ is unramified.

Let $X = (\text{elem. transf. at } x, y \in B \text{ from } X_0)$.



$$D \sim C + \mathbb{P}^1$$

$$\Rightarrow \pi_* \mathcal{O}_X(D) = \pi_* \mathcal{O}_X(C) \otimes L$$

for $L = \mathcal{O}_C(\mathbb{P}^1)$

$$\Rightarrow \pi_* \mathcal{O}_X(D) \approx E$$

$$0 \rightarrow \mathcal{O}_X(D - B) \rightarrow \mathcal{O}_X(D) \rightarrow \mathcal{O}_B(D) \rightarrow 0$$

$$\downarrow \pi_*$$

$$0 \rightarrow \pi_* \mathcal{O}_X(D) \rightarrow \pi_* \mathcal{O}_B(lx + ly) \rightarrow 0$$

Thus $E \approx \pi_* R$. That is, the elem. transf. at pts of B generates all the orthogonal bundles.

§4. $S^k E$ w/ stable $S^{k-1} E$

Thm (K.) $S^k E$ is stable $\forall k \geq 2$ unless one of the following cases occurs.

- ① $S^2 E$: destabilized by rk 1 under the stability of smaller sym. pw.
- ② $S^3 E$: " rk 2 \rightsquigarrow $S^6 E$: destabilized by rk 1
- ③ $S^4 E$: " rk 2 \rightsquigarrow $S^8 E$: "
- ④ $S^6 E$: " rk 3 \rightsquigarrow $S^{12} E$: "

Cor Let E be a stable vector bundle of $\text{rk } E = 2$ w/ $\det E = \mathcal{O}_C$.

(1) $S^k E$ is stable $\forall k \geq 2$ for general E .

(2) $S^k E$ is not stable for some $k \geq 1$ iff $NE(R_C(E))$ is closed.

(idea of pf) The proof uses

$$0 \rightarrow S^{n-1} E \otimes S^{m-1} E \rightarrow S^n E \otimes S^m E \rightarrow S^{n+m} E \rightarrow 0.$$

For example, $n=1, m=3$ & dualizing & $\otimes L$ gives

$$0 \rightarrow S^3 E \otimes L \rightarrow E \otimes S^2 E \otimes L \rightarrow S^2 E \otimes L \rightarrow 0$$

$$\Rightarrow \text{Hom}(L^{-1}, S^3 E) \subseteq \text{Hom}(E \otimes L^{-1}, S^2 E).$$

So, $S^3 E$ cannot be destabil. by rk 1 if $S^2 E$ is stable.

Q. What are the candidates for $\textcircled{2} \sim \textcircled{4}$?

(A) Étale-trivial bundles: $\pi^*E = \mathcal{O}_D \oplus \mathcal{O}_D$ for some étale k -covering $\pi: D \rightarrow C$.

By the correspondence

(Surjection $\pi^*E \rightarrow \mathcal{R}$ on D) \leftrightarrow (C -morphism $\phi: D \rightarrow X = \mathbb{P}_C(E)$)
with $\mathcal{R} = \phi^*\mathcal{O}_X(C_1)$, X admits an m -section D' of $\mathcal{O}^2 = \mathcal{O}$
for some $m|k$.

Thm (K.) Assume that E is as before & S^2E is stable. Then,

S^kE : not stable for some $k \geq 3 \Leftrightarrow E$: étale-trivial.

Rmk (Nori '76) defines a vector bundle V to be finite if
 \exists finite collection S of vector bundles s.t. $\forall k \geq 2$,

$$\underbrace{V \otimes \dots \otimes V}_{k\text{-times}} = W_{k_1} \oplus \dots \oplus W_{k_m} \text{ for some } W_{k_i} \in S.$$

When the base field is alg. closed of char 0,

V is finite $\Leftrightarrow V$ is étale-trivial.