

The List Version of the Borodin–Kostochka Conjecture for Graphs with Large Max Degree

ILKYOO CHOI¹, Hal Kierstead², Landon Rabern², Bruce Reed³

University of Illinois at Urbana-Champaign, USA

Arizona State University, USA

McGill University, Canada

April 27, 2013

A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

$$\chi(G) \leq \chi_l(G)$$

A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

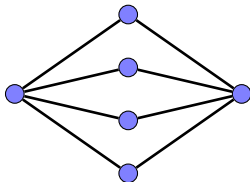
The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

$$\chi(G) \leq \chi_l(G)$$



A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

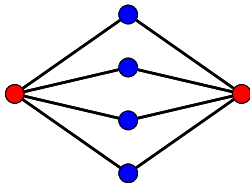
The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

$$\chi(G) \leq \chi_l(G)$$



A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

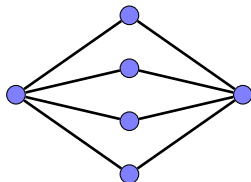
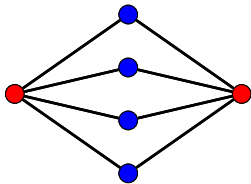
The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

$$\chi(G) \leq \chi_l(G)$$



A **list assignment** L assigns each vertex v a list $L(v)$ of **available colors**.

An **L -coloring** is a function f on $V(G)$ where

- for each vertex v : $f(v) \in L(v)$
- for each edge xy : $f(x) \neq f(y)$.

A graph G is **k -choosable** if there is an L -coloring for each L where

- for each vertex v : $|L(v)| \geq k$.

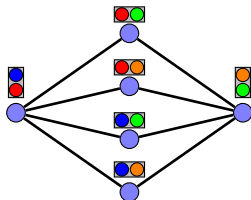
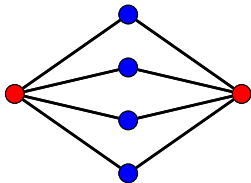
The **list chromatic number** or **choosability** $\chi_l(G)$ is the minimum such k .

A graph G is **k -colorable** if there is an L -coloring where

- for each vertex v : $|L(v)| = [k]$.

The **chromatic number** $\chi(G)$ is the minimum such k .

$$\chi(G) \leq \chi_l(G)$$



Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

Where does $\chi(G)$ stand?

Fact

For any graph G ,

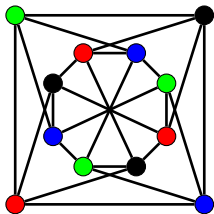
$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

Where does $\chi(G)$ stand?

Conjecture (Reed 1998)

For any graph G ,

$$\chi(G) \leq \left\lceil \frac{\omega(G) + \Delta(G) + 1}{2} \right\rceil$$



$$\frac{2 + 4 + 1}{2} < 4 \leq \left\lceil \frac{2 + 4 + 1}{2} \right\rceil$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

Where does $\chi(G)$ stand?

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \Delta(G) + 1$$

Where does $\chi(G)$ stand?

Where does $\chi_l(G)$ stand?

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941)

Given a graph G with $\Delta(G) \geq 3$,

if $\omega(G) \leq \Delta(G)$, then $\chi(G) \leq \Delta(G)$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Can this be extended?

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Conjecture (Borodin–Kostochka 1977)

Given a graph G with $\Delta(G) \geq 9$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G) - 1$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Conjecture (Borodin–Kostochka 1977)

Given a graph G with $\Delta(G) \geq 9$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G) - 1$$

If true, then sharp. Blow each vertex of a 5-cycle into a 3-cycle.

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Conjecture (Borodin–Kostochka 1977)

Given a graph G with $\Delta(G) \geq 9$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi(G) \leq \Delta(G) - 1$$

Fact

For any graph G ,

$$\omega(G) \leq \chi(G) \leq \chi_l(G) \leq \Delta(G) + 1$$

Theorem (Brooks 1941, Vizing 1976)

Given a graph G with $\Delta(G) \geq 3$,

$$\text{if } \omega(G) \leq \Delta(G), \text{ then } \chi(G) \leq \chi_l(G) \leq \Delta(G)$$

Conjecture (Borodin–Kostochka 1977)

Given a graph G with $\Delta(G) \geq 9$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi(G) \leq \Delta(G) - 1$$

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980, Mozhan 1983)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 31$ contains a $K_{\Delta(G)-3}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980, Mozhan 1983, Reed 1999)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 31$ contains a $K_{\Delta(G)-3}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 10^{14}$ contains a $K_{\Delta(G)}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980, Mozhan 1983, Reed 1999)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 31$ contains a $K_{\Delta(G)-3}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 10^{14}$ contains a $K_{\Delta(G)}$.

Reed claims the theorem is still true for $\Delta(G) \geq 10^3$, but maybe not 10^2 .

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980, Mozhan 1983, Reed 1999)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 31$ contains a $K_{\Delta(G)-3}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 10^{14}$ contains a $K_{\Delta(G)}$.

Reed claims the theorem is still true for $\Delta(G) \geq 10^3$, but maybe not 10^2 .

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Every graph G satisfying $\chi_l(G) \geq \Delta(G) \geq 10^{20}$ contains a $K_{\Delta(G)}$.

Conjecture (Borodin–Kostochka 1977)

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 9$ contains a $K_{\Delta(G)}$.

Theorem (Kostochka 1980, Mozhan 1983, Reed 1999)

Every graph G satisfying $\chi(G) \geq \Delta(G)$ contains a $K_{\Delta(G)-28}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 31$ contains a $K_{\Delta(G)-3}$.

Every graph G satisfying $\chi(G) \geq \Delta(G) \geq 10^{14}$ contains a $K_{\Delta(G)}$.

Reed claims the theorem is still true for $\Delta(G) \geq 10^3$, but maybe not 10^2 .

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Every graph G satisfying $\chi_l(G) \geq \Delta(G) \geq 10^{20}$ contains a $K_{\Delta(G)}$.

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

if $\omega(G) \leq \Delta(G) - 1$, then $\chi_l(G) \leq \Delta(G) - 1$

Theorem (Vizing 1976)

Given a graph G with sufficiently large $\Delta(G)$,

$$\text{if } \omega(G) \leq \Delta(G) - 0, \text{ then } \chi_l(G) \leq \Delta(G) - 0$$

Theorem (Vizing 1976, C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with sufficiently large $\Delta(G)$,

$$\text{if } \omega(G) \leq \Delta(G) - 0, \text{ then } \chi_l(G) \leq \Delta(G) - 0$$

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Theorem (Vizing 1976, C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with sufficiently large $\Delta(G)$,

if $\omega(G) \leq \Delta(G) - 0$, then $\chi_l(G) \leq \Delta(G) - 0$

if $\omega(G) \leq \Delta(G) - 1$, then $\chi_l(G) \leq \Delta(G) - 1$

if $\omega(G) \leq \Delta(G) - k$, then $\chi_l(G) \leq \Delta(G) - k$?

Theorem (Vizing 1976, C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with sufficiently large $\Delta(G)$,

if $\omega(G) \leq \Delta(G) - 0$, then $\chi_l(G) \leq \Delta(G) - 0$

if $\omega(G) \leq \Delta(G) - 1$, then $\chi_l(G) \leq \Delta(G) - 1$

if $\omega(G) \leq \Delta(G) - k$, then $\chi_l(G) \leq \Delta(G) - k$?

Not true even for the ordinary chromatic number when $k = 2$.

Theorem (Vizing 1976, C.–Kierstead–Rabern–Reed 2013+)

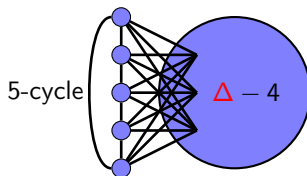
Given a graph G with sufficiently large $\Delta(G)$,

if $\omega(G) \leq \Delta(G) - 0$, then $\chi_l(G) \leq \Delta(G) - 0$

if $\omega(G) \leq \Delta(G) - 1$, then $\chi_l(G) \leq \Delta(G) - 1$

if $\omega(G) \leq \Delta(G) - k$, then $\chi_l(G) \leq \Delta(G) - k$?

Not true even for the ordinary chromatic number when $k = 2$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

if $\omega(G) \leq \Delta(G) - 1$, then $\chi_l(G) \leq \Delta(G) - 1$

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Theorem (C.–Kierstead–Rabern–Reed 2013+)

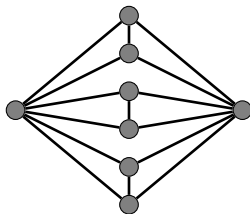
Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

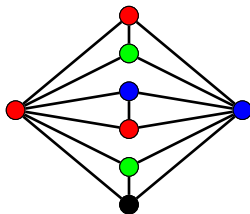
Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

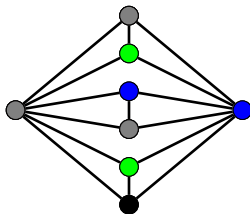
Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

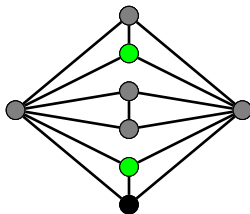
Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

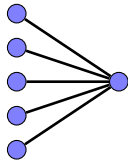
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

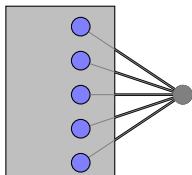
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

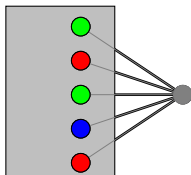
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

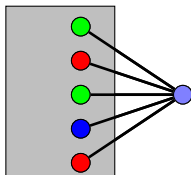
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.

Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

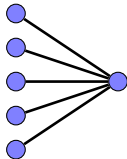
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

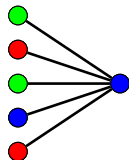
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

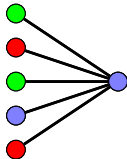
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

G has minimum degree $\Delta(G) - 1$.



Theorem (C.–Kierstead–Rabern–Reed 2013+)

Given a graph G with $\Delta(G) \geq 10^{20}$,

$$\text{if } \omega(G) \leq \Delta(G) - 1, \text{ then } \chi_l(G) \leq \Delta(G) - 1$$

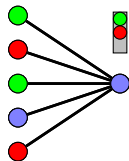
Proof Sketch: Take a counterexample G with the minimum number of vertices and a list assignment L where $|L(v)| = \Delta(G) - 1$ for each vertex v .

Apply the [Naive Coloring Procedure](#), which is the following:

- 1 Randomly choose a color in $L(v)$ to use on v .
- 2 Remove any conflicts.

Observation

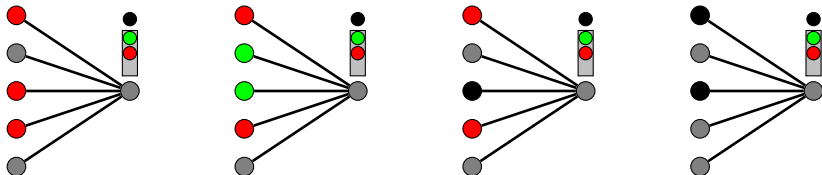
G has minimum degree $\Delta(G) - 1$.



Safe Vertex

Given a partial coloring of G , an **uncolored** vertex v is **safe** if one of the following occurs:

- a color is repeated **three** times in $N(v)$;
- **two** colors are repeated **twice** in $N(v)$;
- a color is repeated **twice** in $N(v)$ and a color **not** in $L(v)$ is in $N(v)$;
- **two** colors **not** in $L(v)$ appear in $N(v)$.



Note that a vertex with two uncolored neighbors can always be colored.

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.



Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.

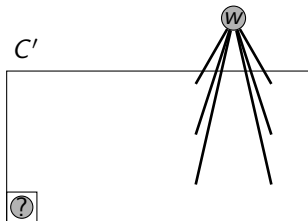
C'



Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
 All but maybe one vertex of a **big** clique have many colors in common.

Lemma

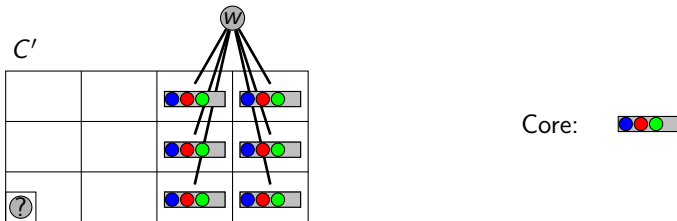
If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.



Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

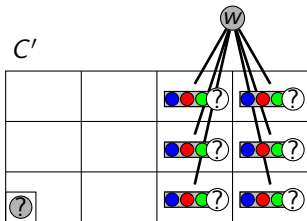
If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.




Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.

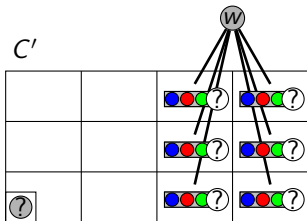



Core: 

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.



Core: 

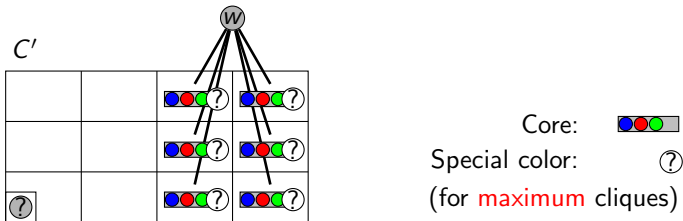
Special color: 

(for **maximum** cliques)

Cliques are bad, especially the **big** (size at least $\frac{3\Delta}{4}$) ones.
All but maybe one vertex of a **big** clique have many colors in common.

Lemma

If C is a clique of G , then there exists $C' \subset C$ such that $|C'| = |C| - 1$ and $|L(x) \cap L(y)| \geq |C| - 3$ for all $x, y \in C'$.



- Each vertex in a big clique C has at most one neighbor outside of C with more than 4 neighbors in C .
- There is at most one vertex outside of a $(\Delta - 1)$ -clique with more than 4 neighbors in the clique.
- Each special color appears in at most 5 lists.

- 1 **Decompose** G “nicely” so we can analyze in smaller pieces.

Lovász Local Lemma

Consider a set of (bad) events \mathcal{E} where for each $E \in \mathcal{E}$:

- $Pr(E) \leq p$
- E is mutually independent to a set of all but at most d other events.

If $ep(d+1) \leq 1$, then with positive probability, no event in \mathcal{E} occurs.

- 2 **Color randomly** to obtain a good (enough) partial coloring.

Azuma's Inequality

Let X be a random variable determined by n trials T_1, \dots, T_n . For each i and any two possible outcomes, if the following holds:

$$|E(X | T_1 = t_1, \dots, T_i = t_i) - E(X | T_1 = t_1, \dots, T_i = t'_i)| \leq c_i,$$

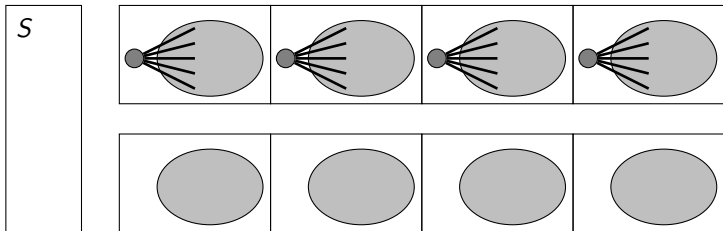
then $Pr(|X - E(X)| > t) \leq 2e^{-t^2/(2\sum c_i^2)}$.

- 3 For the remaining graph, **color greedily** to show that G cannot exist.

Decomposition

We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .



Decomposition

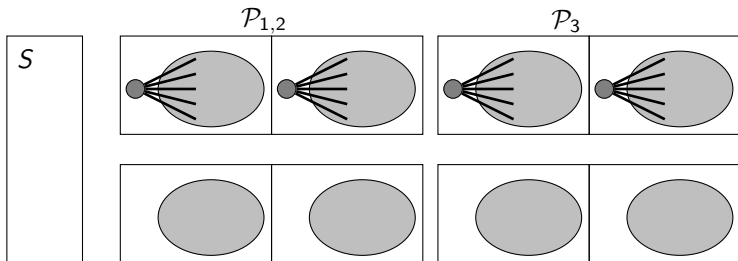
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

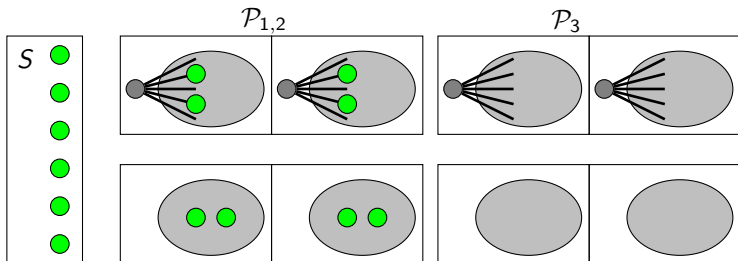
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

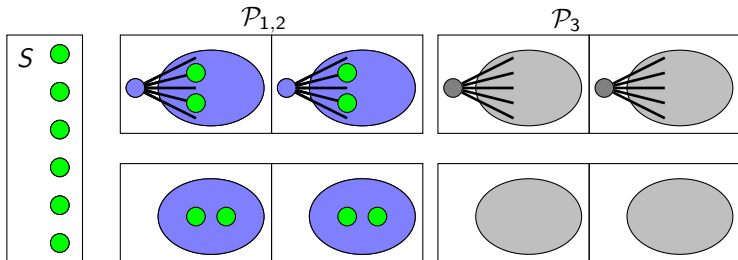
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

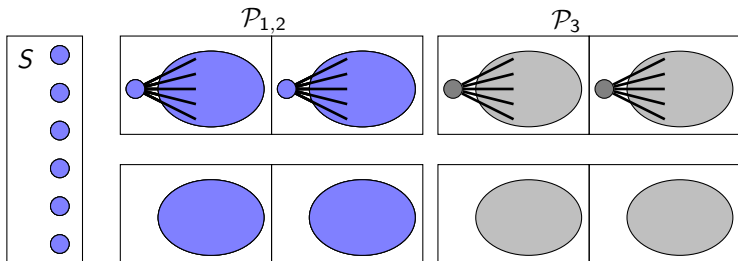
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

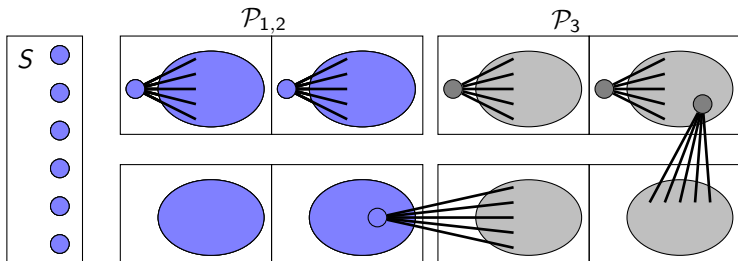
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

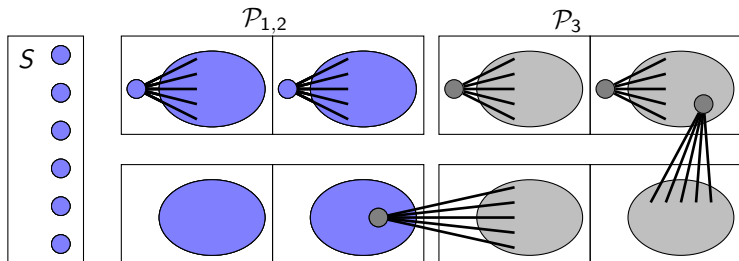
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

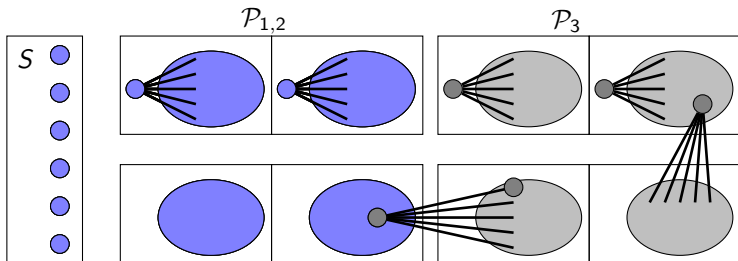
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

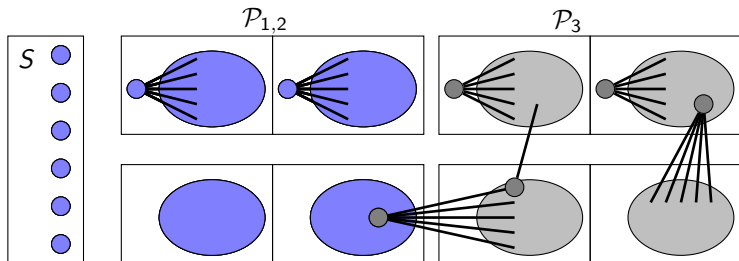
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

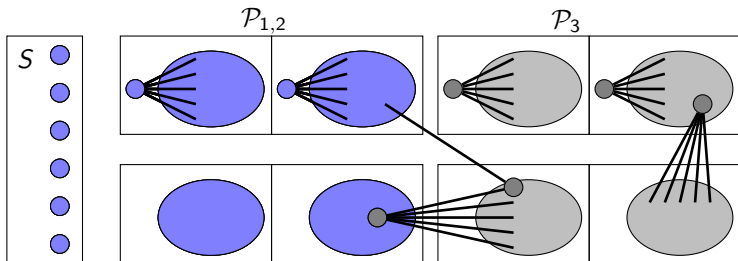
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

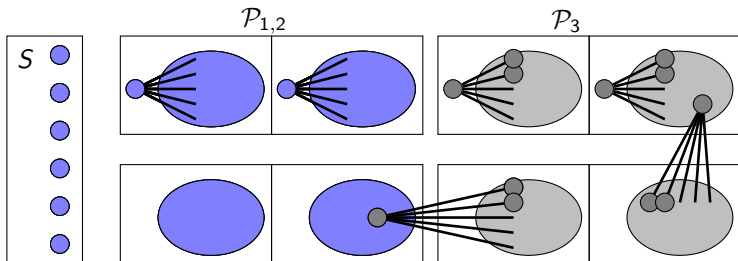
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

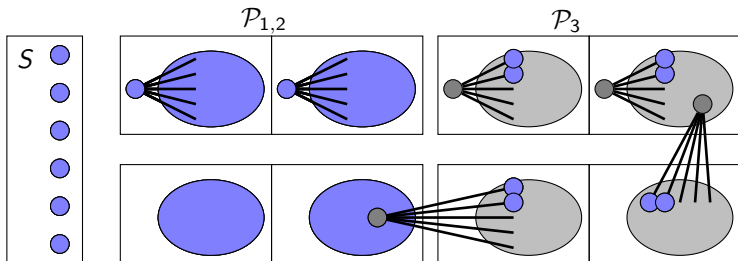
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

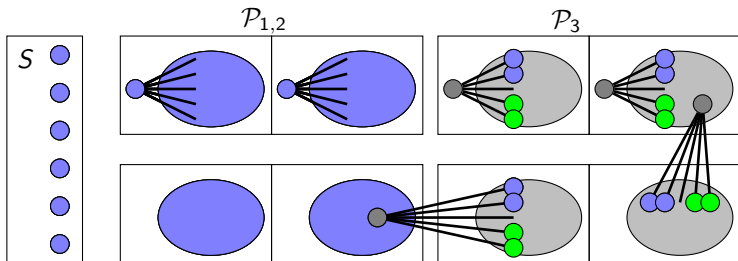
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

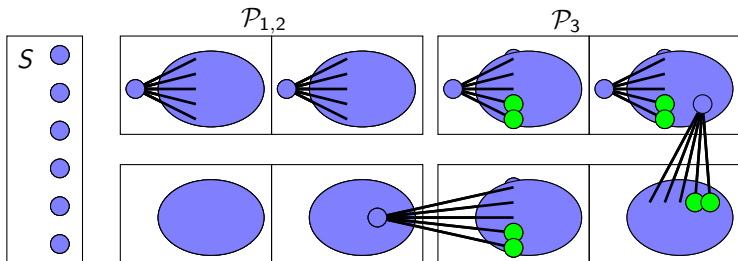
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



Decomposition

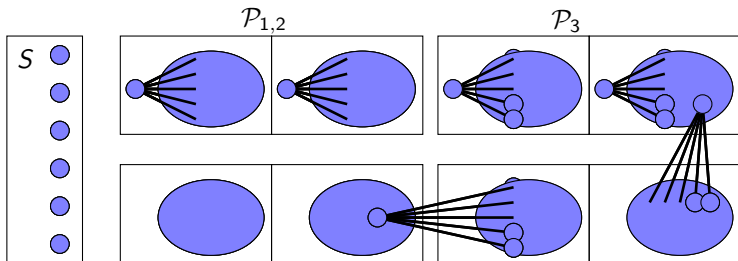
We can partition $V(G)$ into a *sparse* set S and *dense* sets D_1, \dots, D_l so:

- every vertex v in S has $\|N(v)\| < \binom{\Delta}{2} - o(\Delta^2)$;
- $\exists w_i \in D_i$ such that $D_i - w_i$ is a *clique* of size $\Delta - o(\Delta)$;
- no vertex outside of D_i has more than $\frac{3\Delta}{4}$ neighbors in D_i and w_i has at least $\frac{3\Delta}{4}$ neighbors in D_i .

Partition the cliques further.

– \mathcal{P}_3 : maximum cliques C where some $v \notin C$ has “many” neighbors in C .

– $\mathcal{P}_{1,2}$: other cliques.



- 1 **Decompose** G “nicely” so we can analyze in smaller pieces.

Lovász Local Lemma

Consider a set of (bad) events \mathcal{E} where for each $E \in \mathcal{E}$:

- $Pr(E) \leq p$
- E is mutually independent to a set of all but at most d other events.

If $ep(d+1) \leq 1$, then with positive probability, no event in \mathcal{E} occurs.

- 2 **Color randomly** to obtain a good (enough) partial coloring.

Azuma's Inequality

Let X be a random variable determined by n trials T_1, \dots, T_n . For each i and any two possible outcomes, if the following holds:

$$|E(X | T_1 = t_1, \dots, T_i = t_i) - E(X | T_1 = t_1, \dots, T_i = t'_i)| \leq c_i,$$

then $Pr(|X - E(X)| > t) \leq 2e^{-t^2/(2\sum c_i^2)}$.

- 3 For the remaining graph, **color greedily** to show that G cannot exist.

Thank you!