POW2024-18 THE NONNEGATIVE TRIPLE SEQUENCE CHALLENGE

KAIST 2024 MINKYU SHIN

Problem. Let f(n) denote the number of possible sequences of length n, where each term is either 0, 1, or -1, such that the product of every three consecutive numbers is nonnegative. Compute f(33).

Solution. For $n \geq 3$, say a sequence in $\{0, 1, -1\}$ is an n-triple if it has length n, and the product of every three consecutive numbers is nonnegative. Then f(n) becomes the number of distinct n-triples. Now, we make a few observations.

(i) The only possibilities that three consecutive terms multiply to give a negative number are

$$(-1, -1, -1), (1, 1, -1), (1, -1, 1), (-1, 1, 1).$$

Thus, a sequence of length n is an n-triple if and only if it contains none of the four possibilities above.

- (ii) The first n terms of any (n + 1)-triple forms an n-triple. In other words, every (n + 1)-triple is obtained by taking an n-triple, then adding an (n + 1)th term.
- (iii) Conversely, taking an n-triple and adding an (n+1)th term does not always give an (n+1)-triple. For an n-triple $(a_k)_{k=1}^n$, the following are exactly the ways to add the (n+1)th term to obtain an (n+1)-triple.

$$\begin{cases} \text{If } (a_k) \text{ ends with } 1, 1 \text{ or } -1, -1 \text{: We can let } a_{n+1} = 0 \text{ or } 1. \\ \text{If } (a_k) \text{ ends with } 1, -1 \text{ or } -1, 1 \text{: We can let } a_{n+1} = 0 \text{ or } -1. \\ \text{Otherwise: We can let } a_{n+1} = 0, 1 \text{ or } -1. \end{cases}$$

For the first two cases, one *n*-triple generates two (n + 1)-triples, and for the third case, one *n*-triple generates three (n + 1)-triples. Thus, if we let

$$k(n) := \# n$$
-triples with $a_{n-1}a_n = 0$,

then we obtain the recursive relation

$$f(n+1) = 3k(n) + 2(f(n) - k(n)).$$

(iv) Let

$$g(n) := \# n$$
-triples with $a_n = 0$,

h(n) := # n-triples with $a_{n-1} = 0$ and $a_n \neq 0$.

Then g(n) + h(n) = k(n).

- (v) For any *n*-triple, we can let $a_{n+1} = 0$ and generate an (n+1)-triple. Therefore, the (n+1)-triples with $a_{n+1} = 0$ are precisely all the *n*-triples extended via adding $a_{n+1} = 0$. $\therefore g(n+1) = f(n)$.
- (vi) The (n+1)-triples with $a_n = 0$ and $a_{n+1} \neq 0$ are precisely the n-triples with $a_n = 0$ extended via adding $a_{n+1} = 1$ or -1. $\therefore h(n+1) = 2g(n)$.

To sum up, the problem reduces to finding f(33) from the following system of recursive relations:

$$\begin{cases} f(n+1) = 2f(n) + g(n) + h(n) \\ g(n+1) = f(n) \\ h(n+1) = 2g(n), \end{cases}$$

where the initial values f(3) = 23, g(3) = 9, h(3) = 6 can be easily found by examining all possible 3-triples. Equivalently, one can write

$$f(n+3) = 2f(n+2) + f(n+1) + 2f(n)$$

with f(3) = 23, f(4) = 61, f(5) = 163. Computing this (I used Python to define a recursive function) gives f(33) = 126963119145083.

Alternatively, we can derive a generating function of the sequence f(n). First, notice that we can extend f to values n = 0, 1, 2 by defining f(0) = 1, f(1) = 3, f(2) = 9; from there the relation f(n + 3) = 2f(n + 2) + f(n + 1) + 2f(n) yields f(3) = 23, f(4) = 61, f(5) = 163, and so on.

Now, let $F(x) := \sum_{n=0}^{\infty} f(n)x^n$ be the generating function of f(n). Then,

$$\sum_{n=0}^{\infty} f(n+3)x^n = 2\sum_{n=0}^{\infty} f(n+2)x^n + \sum_{n=0}^{\infty} f(n+1)x^n + 2\sum_{n=0}^{\infty} f(n)x^n$$

$$\implies \sum_{n=3}^{\infty} f(n)x^{n-3} = 2\sum_{n=2}^{\infty} f(n)x^{n-2} + \sum_{n=1}^{\infty} f(n)x^{n-1} + 2\sum_{n=0}^{\infty} f(n)x^n$$

$$\implies \frac{1}{x^3}(F(x) - f(0) - f(1)x - f(2)x^2) = \frac{2}{x^2}(F(x) - f(0) - f(1)x) + \frac{1}{x}(F(x) - f(0)) + 2F(x)$$

$$\implies F(x) = \frac{1 + x + 2x^2}{1 - 2x - x^2 - 2x^3}.$$

Therefore, we can alternatively express f(33) as the expansion of F(x), by

$$f(33) = \frac{F^{(33)}(0)}{331}.$$

Computing this (I used WolframAlpha) gives 126963119145083, the same result as above.

Email address: minkyushin@kaist.ac.kr