# POW 2021-18 Independent sets in a tree

## 전해구(기계공학과 졸업생)

October 27, 2021

## **Problem**

Let T be a tree (an acyclic connected graph) on the vertex  $set[n] = \{1,...,n\}$ .

Let A be the adjacency matrix of T, i.e., the n x n matrix with Aij = 1 if i and j are adjacent in T and Aij = 0 otherwise. Prove that the number of nonnegative eigenvalues of A equals to the size of the largest independent set of T. Here, an independent set is a set of vertices where no two vertices in the set are adjacent.

## Sol

Let  $T_i$  be a tree on the vertex set [i] = {1,...,i}, be  $A_i$  a its adjacency matrix and  $O_i$  be the largest independent set of  $T_i$ 

## **Interlacing Theorem**(reference)

I can find the theorem in the googling

#### Lemma 1

Eigenvalue of real Symmetric matrix is real

#### Proof

Let  $\lambda \& v$  be a respectively eigenvalue and eigenvector s.t  $Av = \lambda v$  and  $A = A^T = A^*$ 

$$< Av, Av > = (Av)^*Av = v^*A^*Av = v^*A^2v = v^*A(\lambda v) = \lambda^2 v^*v = \lambda^2 ||v||^2$$

 $\lambda^2 = \frac{\langle Av, Av \rangle}{\|v\|^2}$  is a nonegative number. So  $\lambda$  is a real number.

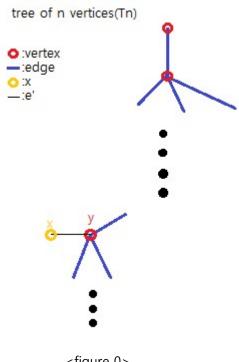
#### Lemma 2

 $|0_{n+1}| = |0_n|$  if  $y \in 0_n$  for all possible  $0_n$ 

 $|0_{n+1}| = |0_n| + 1$  if  $y \in 0_n$  for some different  $0_n$ 

#### proof

By adding one vertex and one edge from unlabeled  $T_n$ , we can make unlabeled  $T_{n+1}$ . By removing one vertex and one edge from unlabeled  $T_{n+1}$ , we can make unlabeled  $T_n$ . It means that all different unlabeled  $T_{n+1}$  can be derived from unlabled  $T_n$  and vice versa.



<figure 0>

## case(1) $y \in O_n$ for all possible $O_n$

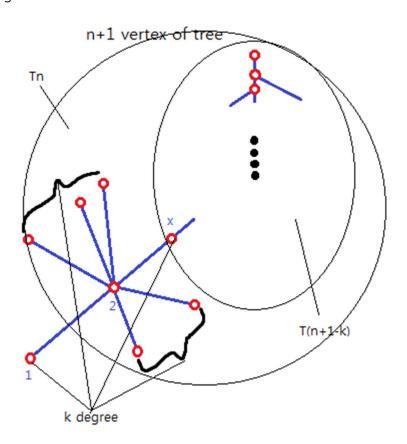
By adding vertex x and adding e', we can make  $T_{n+1}$ . If  $y \in O_n$  for all possible different  $O_n$ , vertex x can't be a element of some  $0_{n+1}$ . If  $x \in 0_{n+1}$ , y isn't a element of  $0_{n+1}$  and  $0_{n+1} - \{x\} = 0$  $0_n$ . So, it is contradiction that  $y \in 0_n$  for all possible different  $0_n$ . and because  $|0_{n+1}| \ge |0_n|$  and x isn't a element of  $0_{n+1}$ ,  $0_{n+1} \subseteq 0_n$ . thus  $|0_{n+1}| = |0_n|$ 

## case(2) $\ y \in 0_n$ for some different $0_n$ or y isn't always a element of $0_n$

If  $y \in O_n$  for some different  $O_n$  or y isn't always a element of  $O_n$ , there exists  $O_n'$  s.t y is not a element of  $O_n'$  and  $|O_n'| = |O_n|$ . so,  $|O_n' + \{x\}| \le |O_{n+1}|$ . And  $O_{n+1}$  has always vertex x Because if x can't be a element of  $O_{n+1}$ ,  $O_{n+1} \subseteq O_n$  and it is contradiction. Thus  $O_{n+1}$  has always vertex x. Let  $|O_{n+1}| \ge |O_n'| + 2$ . Because  $O_{n+1}$  has always vertex x,  $\exists O_n \ s.t \ O_{n+1} - \{x\} \subseteq O_n$ . But  $|O_{n+1} - \{x\}| \ge |O_n'| + 1$  so it is contradiction. Thus  $|O_{n+1}| = |O_n'| + 1$ 

#### Lemma 3

When  $f_n(\lambda) = \det(A_n - \lambda I_n)$ , Show that  $f_{n+1}(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda)$  with k degree of vertex 2 on the following tree

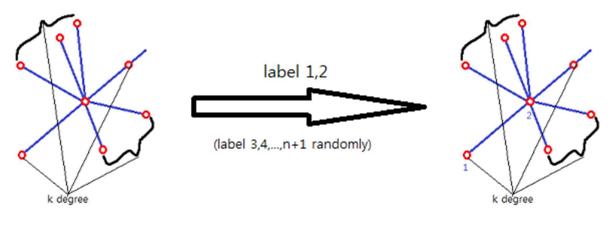


<figure 1>

(  $I_n$  is a n x n identity matrix )

#### **Proof**

Since n+1 is finite, there always exist like following form on the  $T_{n+1}$  and can label 1,2 on the form and k is greater than 2 and less than n, i.e.,  $n \ge k \ge 2$ 



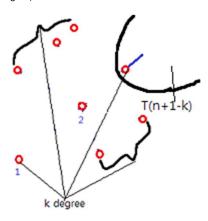
<figure 2>

$$A_{n+1} - \lambda I_{n+1} = \begin{pmatrix} -\lambda & 1 & \dots & 0 & 0 \\ 1 & -\lambda & \dots & a_{2,n} & a_{2,n+1} \\ \vdots & \ddots & \vdots & & \\ 0 & a_{n,2} & \dots & -\lambda \\ 0 & a_{n+1,2} & \dots & -\lambda \end{pmatrix} \text{ s.t } \lambda + \sum_{i=1}^n a_{i,2} = k, \ a_{i,j} = 0 \text{ for } j = 3, \dots, n+1$$
 
$$(A_{n+1} = \begin{pmatrix} a_{1,1} & \dots & a_{1,n+1} \\ \vdots & \ddots & \vdots \\ a_{n+1,1} & \dots & a_{n+1,n+1} \end{pmatrix} \text{ s.t } A_{n+1} = A_{n+1}^T \text{ and } a_{i,i} = 0 \text{ for } i = 1,2, \dots, n+1)$$

$$\begin{split} &f_{n+1}(\lambda) = \det(A_{n+1} - \lambda I_{n+1}) \\ &= -\lambda M_{1,1} - M_{(1,1),(2,2)} \\ &= -\lambda * \det\begin{pmatrix} -\lambda & \dots & a_{2,n+1} \\ \vdots & \ddots & \vdots \\ a_{n+1,2} & \dots & -\lambda \end{pmatrix} - \det\begin{pmatrix} -\lambda & a_{3,4} & \dots & a_{3,n+1} \\ a_{4,3} & \vdots & \ddots & \vdots \\ a_{n+1,2} & \dots & -\lambda \end{pmatrix}, \end{split}$$

 $(M_{i,j} \text{ is defined to be the determinant of } n \times n \text{ matrix that results from A by removing the ith row and jth column.}$  and  $M_{(1,1),(2,2)}$  is the determinant of  $(n-1) \times (n-1)$  matrix that results from  $A_{n+1}$  by removing the 1st row & 1st column and 2nd row & 2nd column)

Graph of 
$$\begin{pmatrix} 0 & a_{3,4} & \dots & a_{3,n+1} \\ a_{4,3} & 0 & \cdots & & \vdots \\ \vdots & \ddots & \vdots & & \vdots \\ a_{n+1,3} & & & 0 \end{pmatrix}$$
 is like following figure 3.



<figure 3>

And det( its adjacency matrix 
$$-\lambda I_{n-1}$$
) =  $\det\begin{pmatrix} -\lambda & a_{3,4} & \dots & a_{3,n+1} \\ a_{4,3} & \ddots & \vdots \\ a_{n+1,3} & \dots & -\lambda \end{pmatrix}$  =  $\det(G_{n-1})$ 

 $\det(G_{n-1}) = \sum_{j=1}^{n-1} (-1)^{i+j} * [G_{n-1}]_{i,j} * M_{i,j} \text{ for any i and if we take label number of vertex with } 0$ 

$$\text{degree into i, } \det(G_{n-1}) = \det \left( \begin{array}{cccccc} & & 0 & & & \\ & & \vdots & & & \\ 0 & \dots & 0 & -\lambda & 0 & 0 \dots & 0 \\ & & 0 & & & \\ & & 0 & & & \\ & & & 0 & & \\ \end{array} \right) = -\lambda * M'_{i,i}$$

 $(M'_{i,i})$  is the determinant of (n-2) x (n-2) matrix that results from  $G_{n-1}$  by removing ith row and ith column s.t i vertex has 0 degree)

By repeating k-2 times,  $\det(G_{n-1})=(-\lambda)^{k-2}*f_{n-k+1}(\lambda)$  s.t  $f_{n-k+1}(\lambda)=\det(A_{n-k+1}-\lambda I_{n-k+1})$  &  $A_{n-k+1}=$ adjacency matrix of  $T_{n-k+1}$ 

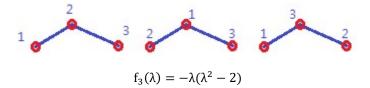
Conclusively, 
$$f_{n+1}(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda)$$

#### Lemma 4

Eigenvalues of  $A_n$  with labled isomorphic tree are same.,

#### **Proof**

Lemma 4 means that  $f_n(\lambda)$  of isomorphic labeled trees are same. For example,  $f_n(\lambda)$  of following isomorphic labled trees are same



Assume that  $f_i$  for i=1,2,...,n satisfy lemma 4. By lemma 3,  $f_{n+1}(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda)$  and  $f_n(\lambda)$ ,  $f_{n-k+1}(\lambda)$  satisfy lemma 4. If we randomly arrange from 1 to n+1 into the unlabeled tree  $T_{n+1}$ , they are always isomorphic trees and  $T_n \& T_{n-k+1}$  are always isomorphic trees. So,  $f_n(\lambda)$  and  $f_{n-k+1}(\lambda)$  are same for isomorphic trees. Therefore  $f_{n+1}(\lambda)$  is always same for isomorphic trees  $T_{n+1}$ .  $f_1(\lambda) = -\lambda$ ,  $f_2(\lambda) = \lambda^2 - 1$  are always same for isomorphic trees. By mathematical induction Lemma4 is proved

#### Lemma 5

 $f_n(\lambda)$  is expressed like following math form

For n=2t+1 (odd) for t=0,1,2,...

$$f_n(\lambda) = -\lambda(\lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_2\lambda^4 + a_1\lambda^2 + a_0)$$

for n = 2t (even) for t=1,2,3...

$$f_n(\lambda) = \lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_2\lambda^4 + a_1\lambda^2 + a_0$$

 $(a_i \text{ for } i = 0,1,...,t-1 \text{ are real})$ 

#### **Proof**

Assume that  $f_i$  for i=1,2,...,n satisfy lemma5

By lemma 4, 
$$f_{n+1}(\lambda) = f_n(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda)$$

#### case(1) n=2t+1

(1)k=2s(even)

$$\begin{split} f_{n+1}(\lambda) &= f_{2t+2}(\lambda) = -\lambda * f_{2t+1}(\lambda) - (-\lambda)^{k-2} * f_{2t-k+2}(\lambda) \\ &= \lambda^2 (\lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0) - \lambda^{2s-2} (\lambda^{2t-2s+2} + b_{t-s}\lambda^{2t-2s} + \dots + b_1\lambda^2 + b_0) \end{split}$$

For s = 1,2 respectively

$$f_{2t+2}(\lambda) = \lambda^{2t+2} + (a_{t-1} - 1)\lambda^{2t} + (a_{t-2} - b_{t-1})\lambda^{2t-2} + \dots + (a_0 - b_1)\lambda^2 + b_0$$
 for s=1

$$f_{2t+2}(\lambda) = \lambda^{2t+2} + (a_{t-1} - 1)\lambda^{2t} + (a_{t-2} - b_{t-2})\lambda^{2t-2} + \dots + (a_0 - b_0)\lambda^2 \qquad \text{ for s=2}$$

For  $s \ge 3$ 

$$f_{2t+2}(\lambda) = \lambda^{2t+2} + \ (a_{t-1}-1)\lambda^{2t} + (a_{t-2}-b_{t-s})\lambda^{2t-2} + \dots + (a_{s-2}-b_0)\lambda^{2s-2} + \dots + a_0\lambda^2$$

(2)k=2s+1(odd)

$$\begin{split} &f_{n+1}(\lambda) = f_{2t+2}(\lambda) = -\lambda * f_{2t+1}(\lambda) - (-\lambda)^{k-2} * f_{2t-k+} \ (\lambda) \\ &= \lambda^2 (\lambda^{2t} + \ a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0) + \lambda^{2s-1} * -\lambda (\lambda^{2t-2s} + \ b_{t-s-1}\lambda^{2t-2s} \ + \dots + b_1\lambda^2 + b_0) \\ &= \lambda^{2t+2} + \ (a_{t-1} - 1)\lambda^{2t} + (a_{t-2} - b_{t-s-1})\lambda^{2t-2} + \dots + (a_{s-1} - b_0)\lambda^{2s} + \dots + a_0\lambda^2 \text{ for } s \geq 1 \end{split}$$

So, 
$$f_{2t+2}(\lambda)$$
 is expressed like  $\lambda^{2t+2} + c_t \lambda^{2t} + \cdots + c_2 \lambda^4 + c_1 \lambda^2 + c_0$  for  $n \ge k \ge 2$ 

#### case(2) n=2t

(1)k=2s(even)

$$\begin{split} &f_{n+1}(\lambda) = f_{2t+1}(\lambda) = -\lambda * f_{2t}(\lambda) - (-\lambda)^{k-2} * f_{2t-k+1}(\lambda) \\ &= -\lambda(\lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0) - \lambda^{2s-2} * -\lambda(\lambda^{2t-2} + b_{t-s-1}\lambda^{2t-2s-2} + \dots + b_1\lambda^2 + b_0) \\ &= -\lambda(\lambda^{2t} + (a_{t-1} - 1)\lambda^{2t-2} + (a_{t-2} - b_{t-s-1})\lambda^{2t-4} + \dots + (a_{s-1} - b_0)\lambda^{2s-2} + \dots + a_0) \ \textit{for} \ s \geq 1 \end{split}$$

(2)k=2s+1(odd)

$$\begin{split} &f_{n+1}(\lambda) = f_{2t+1}(\lambda) = -\lambda * f_{2t}(\lambda) - (-\lambda)^{k-2} * f_{2t-k+} \ (\lambda) \\ &= -\lambda(\lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0) + \lambda^{2s-1} * (\lambda^{2t-2s} + b_{t-s-1}\lambda^{2t-2s-2} + \dots + b_1\lambda^2 + b_0) \\ &= -\lambda(\lambda^{2t} + (a_{t-1} - 1)\lambda^{2t-2} + (a_{t-2} - b_{t-s-1})\lambda^{2t-4} + \dots + (a_{s-1} - b_0)\lambda^{2s-2} + \dots + a_0) \ \textit{for} \ s \geq 1 \end{split}$$

So, 
$$f_{2t+1}(\lambda)$$
 is expressed like  $-\lambda(\lambda^{2t}+c_{t-1}\lambda^{2t-2}+\cdots+c_2\lambda^4+c_1\lambda^2+c_0)$  for  $n\geq k\geq 2$ 

Conclusively, when  $f_i$  for i=1,2,...,n satisfy lemma5,  $f_{n+1}$  satisfy lemma5 and

for n=1,

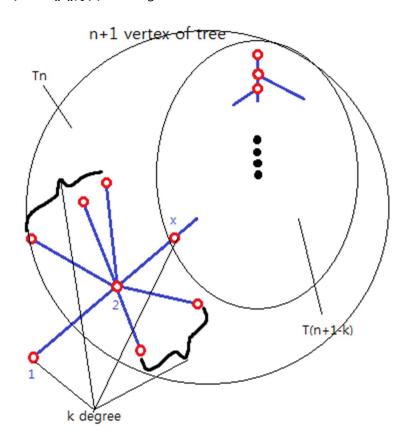
$$A_1 = (0) \rightarrow f_1(\lambda) = \det(A_1 - \lambda I_1) = -\lambda$$

For n=2,

$$A_2 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \rightarrow f_2(\lambda) = \det(A_2 - \lambda I_2) = \det\begin{pmatrix} -\lambda & 1 \\ 1 & -\lambda \end{pmatrix} = \lambda^2 - 1$$

By mathematical induction, lemma 5 is proved.

I will derive the number of nonnegative eigenvalues of  $A_{n+1}$  equals to the size of  $O_{n+1}$  by mathematical induction. Assume that the number of nonnegative eigenvalues of  $A_i$  for i=1,2,...,n equals to the size of  $O_n$ . We can make the labeled  $T_{n+1}$  by adding vertex (n+1) and edge( n+1,p) to  $T_n$  and By lemma 4, change the vertex n+1,p respectively to the vertex 1,2. It means that we can make labeled  $T_{n+1}$  of <figure 1> by adding vertex 1 and edge(1,2) to  $T_n$ . By lemma 3,  $f_{n+1}(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda)$  with figure 1



<figure 1>

For  $k \ge 3$ , vertex 2 is a element of some different  $O_n$  or is not always a element of  $O_n$ . Let

vertex  $2 \in O_n$  for all possible  $O_n$  but there exists  $O_n' = O_n - \{2\} + \sum \{v\}$  s.t v is adjacent to  $2(v \neq v)$  vertex 1) and  $|O_n'| \ge |O_n|$ . It is contradiction. Thus vertex 2 is case(2) of Lemma 2.

Therefore,

$$|0_{n+1}| = |0_n| + 1 \& |0_{n-k+1}| = |0_n| - (k-2)$$
 for  $k \ge 3$  ... (a)&(b)

#### Proof of (a)&(b)

because vertex 2 is case(2) of lemma 2, (a) is proved. when vertex x is case(1) of Lemma 2 with  $T_{n-k+1}$ ,  $O_{n-k+1} + \sum \{v\} = O_n$  s.t v is adjacent to  $2(v \neq \text{vertex 1})$  because  $O_{n-k+1} + \sum \{v\}$  is the biggest size of possible independent set. when vertex x is case(2) of Lemma 2 with  $T_{n-k+1}$ , also  $O_{n-k+1} + \sum \{v\} = O_n$  by same above reason. So, whether vertex x is case(1) or case(2) of Lemma 2,

$$|O_{n-k+1}| = |O_n| - |\sum \{v\}| = |O_n| - (k-2)$$
. (b) is proved

For k=2, vertex 2 can be case(1) or case(2) of Lemma 2.

(1) x is case(1) of lemma 2 (
$$|O_n| = |O_{n-k+1}| = |O_{n-1}|$$
)

Obviously, vertex 2 is case(2) of lemma 2. So,  $|O_{n+1}| = |O_n| + 1$ 

(2) x is case(2) of lemma 2 ( $|O_n| = |O_{n-k+1}| + 1 = |O_{n-1}| + 1$ )

Because x is case(2) of lemma2, vertex 2 is always a element of  $O_n$ . So, vertex 2 is case(1) of lemma 2 and  $|O_{n+1}| = |O_n|$ 

Conclusively, we can know that whether x is case(1) or not case(2) of lemma 2,

$$|O_{n+1}| = |O_{n-1}| + 1$$

#### case(1) n=2t

By lemma 1,  $f_{2t}(\lambda)$  has 2t real eigenvalues and By lemma 5,  $f_{2t}(\lambda) = f_{2t}(-\lambda)$ . So we can order its eigenvalues like this

$$\lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_t \ge 0 \ge -\lambda_t \ge \dots \ge -\lambda_2 \ge -\lambda_1$$
$$(f_{2t}(\lambda) = \lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0)$$

Let  $\lambda_{t-q+1} = \dots = \lambda_{t-1} = \lambda_t = 0$ . ( # of zero eigenvalues = 2q).

Then, 
$$f_{2t}(\lambda) = \lambda^{2t} + \dots + a_0 = \lambda^{2q} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q)$$
 s.t  $a_q \neq 0$ 

(1)k=2s for s=2,3,...

By using similar way, eigenvalues of  $f_{2t-2}$  ( $\lambda$ ) can be ordered like this

$$\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_{t-s} \ge \lambda_0 = 0 \ge -\lambda_{t-s} \ge \cdots \ge -\lambda_2 \ge -\lambda_1$$
$$(t-s \ge 1, \mathbf{f}_{2t-2s} \quad (\lambda) = -\lambda(\lambda^{2t-2} + b_{t-s-1}\lambda^{2t-2s-2} + \cdots + \mathbf{b}_0))$$

Let 
$$\lambda_{t-s-q'+1} = ... = \lambda_{t-s-1} = \lambda_{t-s} = 0$$
. ( # of zero eigenvalues =  $2q'+1$  )   
 Then,  $f_{2t-2s}$  ( $\lambda$ ) =  $-\lambda^{2q'+1}(\lambda^{2t-2s-2q'}+b_{t-s-1}\lambda^{2t-2s-2-2q'}+\cdots+b_{q'})$  s.t  $b_{q'} \neq 0$ 

By assumption,  $|O_{2t}| = \#$  of nonnegative eigenvalues = t + q,  $|O_{2t-2s+1}| = t - s + q' + 1$   $|O_{n-k+1}| = |O_n| - (k-2) \rightarrow |O_{2t-2s}| = |O_{2t}| - (2s-2) \rightarrow t - s + q' + 1 = t + q - (2s-2)$   $\rightarrow q' = q - s + 1$ 

Thus, 
$$f_{2t+1}(\lambda) = -\lambda * f_{2t}(\lambda) - (-\lambda)^{2s-2} * f_{2t-2s+1}(\lambda)$$
  

$$= -\lambda^{2q+1} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q) + \lambda^{2s-2}\lambda^{2q'+1} (\lambda^{2t-2s-2q'} + b_{t-s-1}\lambda^{2t-2s-2-2q'} + \dots + b_{q'})$$

$$= -\lambda^{2q+1} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q) + \lambda^{2q+1} (\lambda^{2t-2-2} + b_{t-s-1}\lambda^{2t-4-2q} + \dots + b_{q-s+1})$$

$$= -\lambda^{2q+1} (\lambda^{2t-2q} + (a_{t-1}-1)\lambda^{2t-2-2q} + (a_{t-2} - b_{t-s-1})\lambda^{2t-4-2} + \dots + (a_q - b_{q-s+1}))$$

(2)k=2s+1 for s=1,2,...

eigenvalues of  $f_{2t-2s}(\lambda)$  can be ordered like this,

$$\lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_{t-s} \ge 0 \ge -\lambda_{t-s} \ge \dots \ge -\lambda_2 \ge -\lambda_1$$
  
$$(f_{2t-2s}(\lambda) = \lambda^{2t-2s} + b_{t-s-1}\lambda^{2t-2s-2} + \dots + b_0)$$

Let  $\lambda_{t-s-q'+1}=...=\lambda_{t-s-1}=\lambda_{t-s}=0$  ( # of zero eigenvalues = 2q' )

Then, 
$$f_{2t-2s}(\lambda) = \lambda^{2q'} \left( \lambda^{2t-2s-2q'} + b_{t-s-1} \lambda^{2t-2s-2-2q'} + \dots + b_{q'} \right) \text{ s.t } b_{q'} \neq 0$$

By assumption,  $|O_{2t}| = \#$  of nonnegative eigenvalues = t + q,  $|O_{2t-2s}| = t - s + q'$ 

$$|O_{n-k+1}| = |O_n| - (k-2) \rightarrow |O_{2t-2s}| = |O_{2t}| - (2s-1) \rightarrow t - s + q' = t + q - (2s-1)$$
  
  $\rightarrow q' = q - s + 1$ 

Thus, 
$$\begin{split} &f_{2t+1}(\lambda) = -\lambda * f_{2t}(\lambda) - (-\lambda)^{2s-1} * f_{2t-2}(\lambda) \\ &= -\lambda^{2q+1} \big( \lambda^{2t-2q} + a_{t-1} \lambda^{2t-2-2q} + \cdots + a_q \big) + \lambda^{2s-1} \lambda^{2q'} (\lambda^{2t-2s-2q'} + b_{t-s-1} \lambda^{2t-2s-2-2q'} + \cdots + b_{q'}) \\ &= -\lambda^{2q+1} \big( \lambda^{2t-2q} + a_{t-1} \lambda^{2t-2-} + \cdots + a_q \big) + \lambda^{2q+1} (\lambda^{2t-2-2q} + b_{t-s-1} \lambda^{2t-4-} + \cdots + b_{q-s+1}) \\ &= -\lambda^{2q+1} \big( \lambda^{2t-2} + a_{t-1} \lambda^{2t-2-2q} + \cdots + a_q \big) + \lambda^{2q+1} (\lambda^{2t-2-2} + b_{t-s-1} \lambda^{2t-4-} + \cdots + b_{q-s+1}) \\ &= -\lambda^{2q+1} \big( \lambda^{2t-2} + a_{t-1} \lambda^{2t-2-2q} + \cdots + a_q \big) + \lambda^{2q+1} (\lambda^{2t-2-2} + b_{t-s-1} \lambda^{2t-4-2} + \cdots + a_q - b_{q-s+1}) \\ &= -\lambda^{2q+1} \big( \lambda^{2t-2} + (a_{t-1}-1) \lambda^{2t-2-2q} + (a_{t-2}-b_{t-s-1}) \lambda^{2t-4-2q} + \cdots + (a_q-b_{q-s+1}) \big) \end{split}$$

Therefore, for  $3 \le k \le n$ ,  $f_{2t+1}(\lambda)$  has at least 2q+1 zero eigenvalues.

And By interlacing theorem,

$$\lambda_1' \geq \lambda_1 \geq \lambda_2' \geq \cdots \geq \lambda_{t-q+1}' \geq \lambda_{t-q+1} \geq \lambda_{t-q+2}' \geq \cdots \geq \lambda_t' \geq \lambda_t \geq \lambda_0' = 0 \geq -\lambda_t' \geq \cdots \geq -\lambda_1'$$

$$(\text{s.t. } f_{2t+1}(\pm \lambda_i') = 0 \text{ for } i=0,1,...,t \text{ and } f_{2t}(\pm \lambda_i) = 0 \text{ for } i=1,2,...t \ \& \ \lambda_{t-q+1} = \cdots = \lambda_t = 0 \ )$$

If  $\lambda'_{t-q}=0$ ,  $\lambda'_{t-q}\geq\lambda_{t-q}\geq\lambda'_{t-q+1}\to\lambda_{t-q}=0$ . Because  $\lambda_{t-q}>\lambda_{t-q+1}=0$ , It is contradiction. So,  $\lambda'_{t-q+1}=0\to$ ,  $f_{2t+1}(\lambda)$  has t+q+1 nonnegative eigenvalues. And By (a),  $|0_{2t+1}|=|0_{2t}|+1=t+q+1$ 

Conclusively,  $|0_{2t+1}| = \#$  of nonnegative eigenvalues of  $A_{2t+1}$  for  $3 \le k \le n = 2t$ 

(3)k=2

(1) x is case(1) of lemma 2 (
$$|O_n| = |O_{n-k+1}| = |O_{n-1}|$$
)

Because vertex 2 is case(2) of lemma 2, it is proved by above k=2s for s=1

(2) x is case(2) of lemma 2 ( $|O_n| = |O_{n-k+1}| + 1 = |O_{n-1}| + 1$ )

By using similar way,

$$f_{n+1}(\lambda) = -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda) \quad \rightarrow \quad f_{2t+1} = -\lambda * f_{2t}(\lambda) - f_{2t-1}(\lambda)$$

$$\begin{split} f_{2t}(\lambda) &= \lambda^{2q} \big( \lambda^{2t-} \quad + \, a_{t-1} \lambda^{2t-2-2q} + \cdots + \, a_q \big) \text{ s. t } a_q \neq 0 \ \, ( \ \, \text{# of zero eigenvalues} \, = \, 2q \, ) \\ \\ f_{2t-1}(\lambda) &= -\lambda^{2q'+1} (\lambda^{2t-2-2} \ ' \, + \, b_{t-2} \lambda^{2t-4-2} \ ' \, + \cdots + \, b_{q'} ) \ \, \text{s.t } b_{q'} \neq 0 \, ( \ \, \text{# of zero eigenvalues} \, = \, 2q'+1 \, ) \end{split}$$

By assumption,  $|O_{2t}| = t + q$ ,  $|O_{2t-1}| = t + q'$  and x is case(2) of lemma 2

$$|O_n| = |O_{n-1}| + 1 \rightarrow |O_{2t}| = |O_{2t-1}| + 1 \rightarrow t + q = t + q' + 1$$
  
  $\rightarrow q' = q - 1$ 

Thus, 
$$f_{2t+1} = -\lambda * f_{2t}(\lambda) - f_{2t-1}(\lambda)$$
  

$$= -\lambda^{2q+1} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-} + \dots + a_q) + \lambda^{2q'+1} (\lambda^{2t-2-2} + b_{t-2}\lambda^{2t-4-2} + \dots + b_{q'})$$

$$= -\lambda^{2q+1} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q) + \lambda^{2q-1} (\lambda^{2t-2q} + b_{t-2}\lambda^{2t-2-2q} + \dots + b_{q-1})$$

$$= -\lambda^{2q-1} (\lambda^{2t-2q} + (a_{t-1} - 1)\lambda^{2t-2q} + (a_{t-2} - b_{t-2})\lambda^{2t-2-} \dots + (a_q - b_q)\lambda^2 - b_{q-1})$$

therefore  $b_{q-1} \neq 0$  and  $f_{2t+1}(\lambda)$  has 2q-1 zero eigenvalues for k=2.

Thus,  $f_{2t+1}(\lambda)$  has t+q nonnegative eigenvalues and since vertex 2 is case(1) of lemma 2,  $|0_{n+1}| = |0_n| \rightarrow |0_{2t+1}| = |0_{2t}| = t + q$ 

Conclusively,  $|0_{2t+1}| = \#$  of nonnegative eigenvalues of  $A_{2t+1}$  for  $2 \le k \le n = 2t$ 

I will show case(2) n=2t+1 by using same method. It's just simple calculation for proof case(2) n=2t+1

 $f_{2t+1}(\lambda)$  can be ordered like following,

$$\lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_t \ge \lambda_0 = 0 \ge -\lambda_t \ge \dots \ge -\lambda_2 \ge -\lambda_1$$

$$(f_{2t+1}(\lambda) = -\lambda(\lambda^{2t} + a_{t-1}\lambda^{2t-2} + \dots + a_1\lambda^2 + a_0))$$

Let 
$$\lambda_{t-q+1} = ... = \lambda_{t-1} = \lambda_t = 0$$
. (# of zero eigenvalues =  $2q + 1$ ).   
 Then,  $f_{2t+1}(\lambda) = -\lambda^{2q+1} (\lambda^{2t-2} + a_{t-1} \lambda^{2t-2-2q} + \cdots + a_q)$  s.t  $a_q \neq 0$ 

(1)k=2s for s=2,3,...

eigenvalues of  $f_{2t-2s+2}(\lambda)$  can be ordered like this

$$\lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_{t-s+1} \ge 0 \ge -\lambda_{t-s+1} \ge \dots \ge -\lambda_2 \ge -\lambda_1$$

$$(f_{2t-2s} \quad (\lambda) = \lambda^{2t-2s} \quad + b_{t-s}\lambda^{2t-2s} + \dots + b_0)$$

Let  $\lambda_{t-s-q'+2} = \dots = \lambda_{t-s-1} = \lambda_{t-s+1} = 0$ . (# of zero eigenvalues = 2q')

Then, 
$$f_{2t-2s}$$
 ( $\lambda$ ) =  $\lambda^{2q'}(\lambda^{2t-2s+2-2q'} + b_{t-s}\lambda^{2t-2s-2q'} + \dots + b_{q'})$  s.t  $b_{q'} \neq 0$ 

By assumption,  $|O_{2t+1}| = t + q + 1$ ,  $|O_{2t-2s+2}| = t - s + 1 + q'$  and  $|O_{n-k+1}| = |O_n| - (k-2)$ 

$$\rightarrow q' = q - s + 2$$

Thus, 
$$f_{2t+2}(\lambda) = -\lambda * f_{2t+1}(\lambda) - (-\lambda)^{2s-2} * f_{2t-2s+2}(\lambda)$$
  
=  $\lambda^{2q+2}(\lambda^{2t-2q} + (a_{t-1}-1)\lambda^{2t-2-2q} + (a_{t-2} - b_{t-s})\lambda^{2t-4-} + \dots + (a_q - b_{q-s+2}))$ 

(2)k=2s+1 for s=1,2,...

eigenvalues of  $f_{2t-2s+1}(\lambda)$  can be ordered like this, (# of zero eigenvalues = 2q' + 1)

$$\lambda_1 \ge \lambda_2 \ge \cdots \ge \lambda_{t-s} \ge \lambda_0 = 0 \ge -\lambda_{t-s} \ge \cdots \ge -\lambda_2 \ge -\lambda_1$$
$$(t-s \ge 1, \mathbf{f}_{2t-2s+1}(\lambda) = -\lambda(\lambda^{2t-2} + b_{t-s-1}\lambda^{2t-2s} + \cdots + b_0))$$

Let 
$$\lambda_{t-s-q'+1}=...=\lambda_{t-s-1}=\lambda_{t-s}=0$$

Then, 
$$f_{2t-2s+1}(\lambda) = -\lambda^{2q'+1}(\lambda^{2t-2s-2q'} + b_{t-s-1}\lambda^{2t-2s-2-2q'} + \dots + b_{q'})$$
 s.t  $b_{q'} \neq 0$ 

By assumption,  $|O_{2t+1}| = t + q + 1$ ,  $|O_{2t-2s+1}| = t - s + 1 + q'$  and  $|O_{n-k+1}| = |O_n| - (k-2)$ 

$$\rightarrow q' = q - s + 2$$

Thus, 
$$f_{2t+2}(\lambda) = -\lambda * f_{2t+1}(\lambda) - (-\lambda)^{2s-1} * f_{2t-2s}(\lambda)$$

$$=\lambda^{2q+2}(\lambda^{2t-2q}+(a_{t-1}-1)\lambda^{2t-2-2q}+(a_{t-2}-b_{t-s})\lambda^{2t-4-2q}+\cdots+(a_q-b_{q-s+2}))$$

Therefore, for  $3 \le k \le n$ ,  $f_{2t+2}(\lambda)$  has at least 2q+2 zero eigenvalues.

And By interlacing theorem,

$$\lambda_1' \geq \lambda_1 \geq \lambda_2' \geq \cdots \geq \lambda_{t-q+1}' \geq \lambda_{t-q+1} \geq \lambda_{t-q+2}' \geq \cdots \geq \lambda_t \geq \lambda_{t+1}' \geq \lambda_0 = 0 \geq -\lambda_{t+1}' \geq \cdots \geq -\lambda_1'$$

(s.t 
$$f_{2t+2}(\pm \lambda_i') = 0$$
 for  $i=1,...,t,t+1$  and  $f_{2t+1}(\pm \lambda_i) = 0$  for  $i=0,1,2,...t$  &  $\lambda_{t-q+1} = \cdots = \lambda_t = 0$ )

If  $\lambda'_{t-q}=0$ ,  $\lambda'_{t-q}\geq\lambda_{t-q}\geq\lambda'_{t-q+1}\to\lambda_{t-q}=0$ . Because  $\lambda_{t-q}>\lambda_{t-q+1}=0$ , It is contradiction. So,  $\lambda'_{t-q+1}=0\to f_{2t+2}(\lambda)$  has t+q+2 nonnegative eigenvalues. And By (a),  $|O_{2t+2}|=|O_{2t+1}|+1=t+q+2$ 

Conclusively,  $|0_{2t+2}| = \#$  of nonnegative eigenvalues of  $A_{2t+1}$  for  $3 \le k \le n$ 

(3)k=2

(1) x is case(1) of lemma 2 (
$$|O_n| = |O_{n-k+1}| = |O_{n-1}|$$
)

Because vertex 2 is case(2) of lemma 2, it is proved by above k=2s for s=1

(2) x is case(2) of lemma 2 ( $|O_n| = |O_{n-k+1}| + 1 = |O_{n-1}| + 1$ )

By using similar way,

$$\begin{split} f_{n+1}(\lambda) &= -\lambda * f_n(\lambda) - (-\lambda)^{k-2} * f_{n-k+1}(\lambda) \quad \to \quad f_{2t+2} = -\lambda * f_{2t+1}(\lambda) - f_{2t}(\lambda) \\ f_{2t+1}(\lambda) &= -\lambda^{2q+1} \left( \lambda^{2t-2q} + a_{t-1} \lambda^{2t-2-} \right. \\ &+ \cdots + a_q \right) \text{s.t } a_q \neq 0 \ ( \ \# \ \text{of zero eigenvalues} = \ 2q + 1 \, ) \\ f_{2t}(\lambda) &= -\lambda^{2q'} \left( \lambda^{2t-2q'} + \ b_{t-1} \lambda^{2t-2-2q'} + \cdots + b_{q'} \right) \text{ s.t } b_{q'} \neq 0 \ ( \ \# \ \text{of zero eigenvalues} = \ 2q' \, ) \end{split}$$

By assumption,  $|O_{2t+1}| = t + q + 1$ ,  $|O_{2t}| = t + q'$  and x is case(2) of lemma 2

$$|O_n| = |O_{n-1}| + 1 \rightarrow |O_{2t+1}| = |O_{2t}| + 1 \rightarrow t + q + 1 = t + q' + 1$$
  
  $\rightarrow q' = q$ 

Thus, 
$$f_{2t+2} = -\lambda * f_{2t+1}(\lambda) - f_{2t}(\lambda)$$
  

$$= \lambda^{2q+2} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q) - \lambda^{2q'} (\lambda^{2t-2q'} + b_{t-1}\lambda^{2t-2-2} + \dots + b_{q'})$$

$$= \lambda^{2q+2} (\lambda^{2t-2q} + a_{t-1}\lambda^{2t-2-2q} + \dots + a_q) - \lambda^{2q} (\lambda^{2t-2} + b_{t-1}\lambda^{2t-2-2q} + \dots + b_q)$$

$$= \lambda^{2q} (\lambda^{2t+2-2q} + (a_{t-1} - 1)\lambda^{2t-2} + (a_{t-2} - b_{t-1})\lambda^{2t-2-2q} + \dots + (a_q - b_{q+1})\lambda^2 - b_q)$$

therefore  $b_q \neq 0$  and  $f_{2t+1}(\lambda)$  has 2q zero eigenvalues for k = 2.

Thus,  $f_{2t+1}(\lambda)$  has t+1+q nonnegative eigenvalues and since vertex 2 is case(1) of lemma 2,

$$|0_{n+1}| = |0_n| \rightarrow |0_{2t+2}| = |0_{2t+1}| = t + q + 1$$

Conclusively,  $\ |0_{2t+2}| = \ \#$  of nonnegative eigenvalues of  $A_{2t+2}$  for  $2 \le k \le n = 2t+1$ 

For n=1,2,3, its  $f_n(\lambda)=-\lambda$ ,  $\lambda^2-1$ ,  $-\lambda(\lambda^2-2)$  and  $|O_n|=1,1,2$  respectively. It can show easily. So by mathematical induction, the number of nonnegative eigenvalues of  $A_n$  equals to the size of the largest independent set of  $T_n$