

POW 2010-7 Cardinality

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정성규

For convenience, we use x for x^{-1} (cardinality is unchanged)

We will only consider $x \in (\frac{1}{2}, 1)$

Given $x \in (\frac{1}{2}, 1)$, constructing $\{a_n\}$ s.t. $\sum_{n=1}^{\infty} a_n x^n = 1$ must be the following way:

$$a_n = \begin{cases} 1 & \text{if } x^{n+1} + x^{n+2} + \dots < 1 - (a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1}) \dots (a) \\ 0 & \text{if } x^n > 1 - (a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1}) \dots (b) \\ 0 \text{ or } 1 \text{ o.w.} & \dots (c) \end{cases}$$

because if (a) but $a_n = 0$ then even if $a_m = 1$ for $\forall m \geq n+1$, $\sum a_n x^n < 1$

$$\text{if (b) but } a_n = 1 \text{ then } \sum_{m=1}^{\infty} a_m x^m \geq \sum_{m=1}^n a_m x^m > 1$$

and with this rule, $\sum a_n x^n = 1$ because

i) # of 0's is infinite

for large N , $\exists n \geq N$ s.t. $a_n = 0$ (i.e., (a) does not hold) and $\epsilon > \frac{x^{n+1}}{1-x} = x^{n+1} + x^{n+2} + \dots \geq 1 - (a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1})$, $\forall \epsilon > 0$

ii) # of 1's is finite

if there are no 0, $x = \frac{1}{2}$, contradiction.

Let n be the largest number s.t. $a_n = 0$

$$\Rightarrow x^{n+1} + x^{n+2} + \dots \geq 1 - (a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1})$$

$$\Rightarrow \sum_{m=1}^{\infty} a_m x^m \geq 1 \quad (a_{n+1} = a_{n+2} = \dots = 1)$$

but since $x^m \leq 1 - (a_1 x + \dots + a_{m-1} x^{m-1})$ (not (b)) for $m \geq n+1$, $\sum_{m=1}^{\infty} a_m x^m \leq 1 \Rightarrow \sum_{m=1}^{\infty} a_m x^m = 1$

(a), (b) are disjoint since $x^{n+1} + x^{n+2} + \dots = \frac{x^{n+1}}{1-x} > x^n$ ($x > \frac{1}{2}$)

If (c), we have different sequence for the same x so it has to be removed.

We require that $x \in (\frac{1}{2}, \frac{1+\sqrt{5}}{2})$ i.e., $x^2 + x < 1$

a_1 must be 1 since $x^2 + x^3 + \dots = \frac{x^2}{1-x} < 1$, a_2 must be 1 ($x + x^2 < 1$)

Define $b_n :=$ the root of $b_n(x) = x + x^2 + a_3 x^3 + \dots + a_{n-1} x^{n-1} + x^{n+1} + x^{n+2} + \dots - 1 = 0$

$c_n :=$ the root of $c_n(x) = x + x^2 + a_3 x^3 + \dots + a_{n-1} x^{n-1} + x^n - 1 = 0$ ($n \geq 2$)

by the intermediate value theorem, b_n, c_n exists and unique (monotone)

we can see that $b_n > c_n$ if $b_n(x) < c_n(x)$ for $\forall x \in (\frac{1}{2}, \frac{1+\sqrt{5}}{2})$ and conversely.

So, $b_n < c_n$ ($b_n(x) - c_n(x) = -x^n + x^{n+1} + x^{n+2} + \dots > 0$ since $x > \frac{1}{2}$)

the condition (c) is exactly $x \in [b_n, c_n]$, (a) is $x < b_n$, (b) is $x > c_n$

So, if $a_n = 0$, we require $x > c_n$, if $a_n = 1$, we require $x < b_n$.

for convenience, let $c_1 = \frac{1}{2}$.

since $a_2 = 1$, $x \in (c_1, b_2)$

Assume that we chose a_n for $\forall n \leq N$

i) $a_N = 1$

for x to be in S , $x \in (c_{N-k}, b_N)$ for some $k \geq 1$

we can choose $a_{N+1} = 0$ with $x \in S$ because $c_{N+1} < b_N$

$$\begin{aligned} (c_{N+1}(x) > b_N(x)) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N + x^{N+1} \\ &> x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^{N+1} + x^{N+2} + \dots \\ &\Leftrightarrow x^N > x^{N+2} + x^{N+3} + \dots \Leftrightarrow x^N > \frac{x^{N+2}}{1-x} \Leftrightarrow 1 > x^2 + x \end{aligned}$$

$\Rightarrow x \in (c_{N+1}, b_N)$

then, we can have two possibilities for a_{N+2} with $x \in S$,

because $c_{N+1} < b_{N+2}$, $c_{N+2} < b_N$

$$\begin{aligned} (c_{N+1}(x) > b_{N+2}(x)) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N + x^{N+1} \\ &> x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N + x^{N+2} + x^{N+3} + \dots \\ &\Leftrightarrow x^{N+1} > x^{N+3} + x^{N+4} + \dots \Leftrightarrow 1 > x^2 + x \end{aligned}$$

$$\begin{aligned} (c_{N+2}(x) > b_N(x)) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N + x^{N+2} \\ &> x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^{N+1} + x^{N+2} + \dots \\ &\Leftrightarrow x^N > x^{N+1} + x^{N+3} + x^{N+4} + \dots \\ &\Leftrightarrow 1 > x + x^3 + x^4 + \dots \Leftrightarrow x < b_2 \end{aligned}$$

ii) $a_N = 0$

for x to be in S , x has to be in (c_N, b_{N-k}) for some $k \geq 1$

we can choose $a_{N+1} = 1$ with $x \in S$ because $c_N < b_{N+1}$

$$\begin{aligned} (c_N(x) > b_{N+1}(x)) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N \\ &> x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^{N+2} + x^{N+3} + \dots \\ &\Leftrightarrow x^N > x^{N+2} + x^{N+3} + \dots \end{aligned}$$

$\Rightarrow x \in (c_N, b_{N+1})$

then, we can have two possibilities for a_{N+2} with $x \in S$,

because $c_N < b_{N+2}$, $c_{N+2} < b_{N+1}$

$$\begin{aligned} (c_N(x) > b_{N+2}(x)) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^N > x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} \\ &+ x^{N+1} + x^{N+3} + x^{N+4} + \dots \end{aligned}$$

$$\Leftrightarrow x^N > x^{N+1} + x^{N+3} + x^{N+4} + \dots$$

$$\begin{aligned} C_{N+2}(x) > b_{N+1}(x) &\Leftrightarrow x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^{N+1} + x^{N+2} \\ &> x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1} + x^{N+2} + x^{N+3} + \dots \\ &\Leftrightarrow x^{N+1} > x^{N+3} + x^{N+4} + \dots \end{aligned}$$

By above, there are infinitely many n 's s.t. a_n can be 0 and can be 1 regardless of prior terms.

\Rightarrow # of $\{a_n\}$'s constructed in this way $\stackrel{\text{bijective}}{\simeq} \{\{b_n\} \mid b_n = 0 \text{ or } 1\}$ which is uncountable.

It remains to show that # of open intervals to which x has to be restricted in the constructing process (call it I_n) has nonempty intersection (i.e., $\bigcap_{n=1}^{\infty} I_n \neq \emptyset$) is uncountable.

$$\bigcap_{n=1}^{\infty} \bar{I}_n \neq \emptyset \quad (\bar{I}_1 \supset \bar{I}_2 \supset \dots)$$

If $\bar{x} \in \bigcap_{n=1}^{\infty} \bar{I}_n - \bigcup_{n=1}^{\infty} \partial I_n \subset \bigcup_{n=1}^{\infty} \partial I_n \Rightarrow \bar{x} \in \partial I_N$ for some N i.e., $\bar{x} = b_N$ or c_N
($\sum_{n=1}^{\infty} a_n \bar{x}^n = 1$ because clearly, each \bar{I}_n is an appropriate interval to choose a_n in constructing)

$$\begin{aligned} \text{If } \bar{x} = b_N, \text{ which is a root of } \frac{x^{N+1}}{1-x} &= 1 - (x + x^2 + a_3 x^3 + \dots + a_{N-1} x^{N-1}) \\ &\Leftrightarrow x^{N+1} - (1 - (x + x^2 + \dots + a_{N-1} x^{N-1}))(1-x) = 0 \end{aligned}$$

\bar{x} is an algebraic integer.

If $\bar{x} = c_N$, which is a root of $x^N + a_{N-1} x^{N-1} + \dots + a_3 x^3 + x^2 + x - 1 = 0$

\bar{x} is an algebraic integer.

Since the set of algebraic integer is countable, if we remove all $\{a_n\}$'s corresponding an algebraic integer from all $\{a_n\}$'s constructed, remaining $\{a_n\}$'s has corresponding I_n which satisfies $\bigcap_{n=1}^{\infty} I_n \neq \emptyset$ and is uncountable, so we are done.