ON TRIANGLE-FREE DISTANCE-REGULAR GRAPHS WITH AN EIGENVALUE MULTIPLICITY EQUAL TO THE VALENCY

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Sept. 20, 2004

Abstract

Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and intersection number $a_2 \neq 0$. Assume Γ has an eigenvalue with multiplicity k. We show that if for $d \ge 4$ we have $a_4 = 0$, then Γ is 1-homogeneous in the sense of Nomura. In particular, the following infinite family of feasible intersection arrays

> $\{2\mu^2 + \mu, 2\mu^2 + \mu - 1, \mu^2, \mu, 1; 1, \mu, \mu^2, 2\mu^2 + \mu - 1, 2\mu^2 + \mu\},\$ $\mu \in \mathbb{N},$

of double covers with diameter 5 and $4\mu^2(2\mu+3)$ vertices is 1-homogeneous. As a corollary we show that for $\mu = 4$ no such graph exists.

1 Introduction

Let Γ be a graph with diameter d and x, y its vertices. Let $D_i^j = D_i^j(x, y)$ be the **distance-set** of all the vertices at distance i from x and j from y. The set of nonempty distance-sets, i.e.,

$$\{D_i^j \mid 0 \le i, j \le d, \ D_i^j \ne \emptyset\},\$$

is called the **distance partition** of the vertices of the graph Γ corresponding to vertices xand y. Remember, that a partition of the vertices of Γ into cells is **equitable** when for any vertex and any cell the number of neighbours the vertex has in the cell is independent of the choice of the vertex in its cell. The graph Γ is called h-homogeneous in the sense of Nomura [10] when for all its vertices x and y at distance h their distance partition is equitable and the corresponding parameters are independent of the choice of vertices x and y. Therefore, the graph Γ is distance-regular if and only if it is 0-homogeneous. If the graph Γ is distanceregular and h is the distance between vertices x and y, then the set D_i^j contains p_{ij}^h elements, so |i - j| > h implies $D_i^j = \emptyset$ and |i - j| = h implies $D_i^j \neq \emptyset$.

The well known Terwilliger Tree Bound [12], cf. Brouwer, Cohen and Neumaier [1, p. 163], implies that an eigenvalue multiplicity of a triangle-free distance-regular graph is either 1 or at least its valency, and if equality holds, then the girth is at most 5. There are many interesting distance-regular graphs with an eigenvalue multiplicity equal to its valency. An important class of such examples comes from distance-regular graphs whose association scheme determined by its distance matrices is formally self-dual.

Bipartite distance-regular graphs with an eigenvalue multiplicity equal to its valency have already been classified by Nomura [11] and Yamazaki [13]. Similarly, a triangle- and pentagonfree distance-regular graphs with an eigenvalue multiplicity equal to its valency have been classified in [7]. It turns out that all such graphs are 2-homogeneous. We study trianglefree distance-regular graphs with $a_2 \neq 0$ (which implies an existence of pentagons) and an eigenvalue multiplicity equal to its valency by following the linear algebra approach, cf. Ivanov and Shpectorov [6]. It turns out that very often these graphs are 1-homogeneous. As it is obvious that distance-regular graphs with at most one *i* such that $a_i \neq 0$ are 1-homogeneous (for example, bipartite graphs, generalized Odd graphs and the Wells graph), we leave them out from the list of all known examples and feasible families that satisfy our assumptions:

- (i) {3,2,1,1,1;1,1,2,3} is intersection array of the dodecahedron, and it is a member of an infinite family of feasible intersection arrays: {2μ² + μ, 2μ² + μ 1, μ², μ, 1; 1, μ, μ², 2μ² + μ 1, 2μ² + μ}, see Section 5 for more details;
- (ii) {21, 20, 16; 1, 2, 12} is intersection array of the coset graph of doubly truncated binary Go-lay code with v = 512 = 1+21+210+280 vertices and eigenvalues 21¹, 5²¹⁰, (-3)²⁸⁰, (-11)²¹. It is a primitive graph, see Ivanov et al. [6] and Brouwer et al. [1, Thm. 11.3.6]. This graph belongs to the family of Hermitean forms graphs over GF(2²), see Brouwer et al. [1, Subsect. 9.5C], whose members are formally self-dual by Brouwer et al. [1, Thm. 8.4.3];

- (iii) {21, 20, 16, 6, 2, 1; 1, 2, 6, 16, 20, 21} is intersection array of the coset graph of once shortened and once truncated binary Golay code with v = 1024 = 1 + 21 + 210 + 560 + 210 + 21 + 1 vertices and eigenvalues 21^1 , 9^{56} , 5^{210} , 1^{336} , $(-3)^{280}$, $(-7)^{120}$, $(-11)^{21}$. This graph is a unique distance-regular double-cover of the graph in (ii).
- (iv) {21, 20, 16, 9, 2, 1; 1, 2, 3, 16, 20, 21} is intersection array of the coset graph of a subcode of the doubly truncated binary Golay code with v = 2048 = 1+21+210+1120+630+63+3 vertices and eigenvalues $21^1, 9^{168}, 5^{210}, 1^{1008}, (-3)^{280}, (-7)^{360}, (-11)^{21}$. This graph is a unique antipodal cover of the graph in (ii) with the covering index r = 4.

This paper is a part of a broader study of *i*-homogeneous graphs and it is organized as follows. In Section 2 we recall some basic facts about distance-regular graphs and cosine sequences. In Section 3 we study properties of eigenspaces of triangle-free distance-regular graphs, whose dimension equals the valency. Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_2 \neq 0$. Suppose that an eigenvalue multiplicity of Γ is equal to the valency. We show that the corresponding eigenvalue is nonzero. In Section 4 we show that the graph Γ has some additional combinatorial properties. As a corollary we show that under certain conditions the graph Γ is 1-homogeneous. In Section 5 we take a closer look at an infinite family of feasible intersection arrays of distance-regular double-covers with diameter 5. We show that a member of this family that has $c_2 = 4$ does not exist.

2 Preliminaries

In this section we review some definitions and basic concepts. See Brouwer et al. [1] and Godsil [5] for more background information.

Throughout this paper, Γ will denote a finite, undirected, connected graph, without loops or multiple edges, with vertex set $V\Gamma$, edge set $E\Gamma$, shortest path-length distance function ∂ , and diameter $d := \max\{\partial(x, y) | x, y \in V\Gamma\}$. For $x \in V\Gamma$ and for an integer *i* define $\Gamma_i(x)$ to be the set of vertices of Γ at distance *i* from *x*. We abbreviate $\Gamma(x) := \Gamma_1(x)$. The graph Γ is said to be **distance-regular** whenever for all integers $h, i, j \ (0 \le h, i, j \le d)$, and all $x, y \in V\Gamma$ with $\partial(x, y) = h$, the number

$$p_{ij}^h := |\Gamma_i(x) \cap \Gamma_j(y)|$$

is independent of vertices x and y. The constants $p_{ij}^h (0 \le h, i, j \le d)$ are known as the **intersection numbers** of Γ . For notational convenience define $c_i := p_{1,i-1}^i (1 \le i \le d), a_i := p_{1i}^i (0 \le i \le d), b_i := p_{1,i+1}^i (0 \le i \le d-1), k_i := p_{ii}^0 (0 \le i \le d), \text{ and set } c_0 = 0 = b_d$. We observe $a_0 = 0$ and $c_1 = 1$. Moreover, $a_i + b_i + c_i = k \ (0 \le i \le d)$, where $k := k_1$.

Let Γ be a distance-regular graph with diameter d. We recall the distance matrices of Γ . For each i $(0 \le i \le d)$ let A_i be the matrix with rows and columns indexed by $V\Gamma$, and x, y entry of A_i equal to 1 if $\partial(x, y) = i$ and 0 otherwise. We call A_i the *i*th distance matrix of Γ . The distance matrices of Γ are symmetric, they sum to the all 1's matrix and A_0 is the identity matrix.

The matrices A_0, A_1, \ldots, A_d form a basis for a commutative semi-simple \mathbb{R} -algebra M, known as the **Bose-Mesner algebra** of the graph Γ . The algebra M has a second basis $\{E_0, E_1, \ldots, E_d\}$ such that $E_0 = |V\Gamma|^{-1}J$ where J is the all ones matrix, $E_iE_j = \delta_{ij}E_i$ $(0 \le i, j \le d), E_0 + E_1 + \cdots + E_d = I$ where I is the identity matrix, and $E_i^t = E_i$ $(0 \le i \le d)$, see for example Godsil [5, Thm. 12.2.1]. The elements E_0, E_1, \ldots, E_d are known as the **principal idempotents** of Γ , and E_0 as the **trivial** idempotent.

Set $A := A_1$, and define the real numbers $\theta_i \ (0 \le i \le d)$ by

$$A = \sum_{i=0}^{d} \theta_i E_i.$$

Then $AE_i = E_i A = \theta_i E_i$ $(0 \le i \le d)$, and $\theta_0 = k$. The scalars $\theta_0, \theta_1, \ldots, \theta_d$ are pairwise distinct, since A generates M, see Brouwer et al. [1, pp. 128]. We refer to θ_i as the **eigenvalue** of Γ associated with E_i , and call θ_0 the **trivial** eigenvalue. For each integer $i (0 \le i \le d)$, let m_i be the rank of E_i . We refer to m_i as the **multiplicity** of E_i (or θ_i). We observe $m_0 = 1$.

For notational convenience, we identify $V\Gamma$ with the standard orthonormal basis in the Euclidean space (V, \langle , \rangle) , where $V = \mathbb{R}^{|V\Gamma|}$ (column vectors), and where \langle , \rangle is the dot product

$$\langle u, v \rangle = u^t v \quad (u, v \in V).$$

We now review the cosines. Let θ be an eigenvalue of the graph Γ , and let E be the associated principal idempotent. Let w_0, w_1, \ldots, w_d be the real numbers satisfying

$$E = \frac{m_{\theta}}{|V\Gamma|} \sum_{i=0}^{d} w_i A_i, \tag{1}$$

where m_{θ} denotes the multiplicity of θ . We refer to w_i as the *i*th cosine of Γ with respect to θ (or E), and call w_0, w_1, \ldots, w_d the cosine sequence of Γ associated with θ (or E). The following basic result can be found for example in Brouwer et al. [1, Prop. 4.1.1] or Jurišić, Koolen and Terwilliger [8, Lem. 2.1].

Lemma 2.1 Let Γ be a distance-regular graph with diameter d. Let θ be an eigenvalue of Γ with multiplicity m_{θ} , the associated principal idempotent E, and the associated cosine sequence w_0, w_1, \ldots, w_d . Then the following (i), (ii) hold.

- (i) For all $x, y \in V\Gamma$ with $\partial(x, y) = i$ we have $\langle Ex, Ey \rangle = w_i m_{\theta} / |V\Gamma|$.
- (ii) The cosine sequence satisfies $w_0 = 1$ and the three-term recurrence

$$c_i w_{i-1} + a_i w_i + b_i w_{i+1} = \theta w_i \quad (0 \le i \le d),$$

where w_{-1} and w_{d+1} are indeterminates.

In particular, we have $w_1 = \theta/k$ and for $d \ge 2$ also

$$w_2 = (\theta^2 - a_1\theta - k)/(kb_1)$$
 and $kb_1(1 - w_2) = (k - \theta)(\theta + k - a_1).$

We end this section with the following definition. Let $A \subseteq V\Gamma$ and let E be the principal idempotent of Γ . Then $\langle A \rangle_E$ is the vector space spanned by $\{Ea \mid a \in A\}$.

3 On the eigenvalue multiplicity

Let Γ be a triangle-free distance-regular graph with diameter d. In this section we discus the case when Γ has an eigenvalue θ with multiplicity equal to its valency. We start with a result, which was proved in [7, Lem. 6] and then prove that θ is nonzero for $k \geq 3$.

Lemma 3.1 Let Γ be a triangle-free distance-regular graph with valency $k \geq 3$. Let $\theta \neq \pm k$ be an eigenvalue of Γ with multiplicity m_{θ} and let E be the associated principal idempotent. Then the following (i) – (iii) hold.

(i) $m_{\theta} \geq k$.

(ii) $\theta \neq 0$ if and only if $\langle \Gamma(x) \rangle_E$ has dimension k for all $x \in V\Gamma$.

(iii) If $\theta = 0$ then $\langle (\{x\} \cup \Gamma(x)) \setminus \{y\} \rangle_E$ has dimension k for all $x \in V\Gamma$ and for all $y \in \Gamma(x)$.

Theorem 3.2 Let Γ be a triangle-free distance-regular graph with diameter $d \ge 2$ and valency $k \ge 3$. Let θ be an eigenvalue of Γ with multiplicity equal to k. Then $\theta \ne 0$.

Proof. Assume $\theta = 0$. If d = 2 then, by Brouwer et al. [1, Thm. 1.3.1(v)], Γ is complete multipartite graph. But since Γ is triangle-free, it must be complete bipartite. Because the multiplicity of θ equals valency k, we obtain from Brouwer et al. [1, Thm. 1.3.1(vi)] k = 2, a contradiction! Suppose now $d \geq 3$. Then $w_1 = 0$, $w_2 = 1/(1-k) \neq 0$ and $w_3 = a_2/((k-1)b_2)$ by Lemma 2.1(ii). Let $x \in V\Gamma$ and let $\{z_1, z_2, \ldots, z_k\}$ be the neighbours of x. Let y be the neighbour of z_1 , which is at distance 2 from x. We may assume $\Gamma(x) \cap \Gamma(y) = \{z_1, \ldots, z_{c_2}\}$, $\Gamma(x) \cap \Gamma_2(y) = \{z_{c_2+1}, \ldots, z_{c_2+a_2}\}$ and $\Gamma(x) \cap \Gamma_3(y) = \{z_{c_2+a_2+1}, \ldots, z_k\}$. By Lemma 3.1(iii), the set $\{Ex, Ez_2, \ldots, Ez_k\}$ is the basis of the eigenspace corresponding to θ . Therefore, there exist real numbers $\alpha_2, \ldots, \alpha_k$ and δ , such that

$$Ey = \sum_{i=2}^{k} \alpha_i E z_i + \delta E x.$$
⁽²⁾

Taking the scalar product of both sides of Equation (2) with Ex, Ez_1 and Ez_j for $j \in \{2, \ldots, k\}$ respectively, we obtain, by Lemma 2.1(i) and multiplication with $|V\Gamma|/k$, the following relations

$$w_2 = \frac{|V\Gamma|}{k} \langle Ey, Ex \rangle = \delta \frac{|V\Gamma|}{k} \langle Ex, Ex \rangle = \delta, \tag{3}$$

$$0 = w_1 = \frac{|V\Gamma|}{k} \langle Ey, Ez_1 \rangle = w_2 \sum_{i=2}^k \alpha_i, \qquad (4)$$

$$\alpha_j(w_0 - w_2) = \alpha_j(w_0 - w_2) + w_2 \sum_{i=2}^k \alpha_i = \begin{cases} w_1 & \text{if } j \in \{2, \dots, c_2\}, \\ w_2 & \text{if } j \in \{c_2 + 1, \dots, c_2 + a_2\}, \\ w_3 & \text{if } j \in \{c_2 + a_2 + 1, \dots, k\}. \end{cases}$$
(5)

Since $w_0 - w_2 = 1 - 1/(1 - k) \neq 0$, we get

$$\alpha_j = \begin{cases} 0 & \text{if } j \in \{2, \dots, c_2\}, \\ A_2 & \text{if } j \in \{c_2 + 1, \dots, c_2 + a_2\}, \\ B_2 & \text{if } j \in \{c_2 + a_2 + 1, \dots, k\}, \end{cases}$$

where $A_2 = -1/k$ and $B_2 = a_2/(kb_2)$. Since $w_2 \neq 0$, Equation (4) implies $a_2A_2 + b_2B_2 = 0$. Finally, by Lemma 2.1(i), Equation (2) and $w_1 = 0$, we obtain

$$1 = w_0 = (|V\Gamma|/k)\langle Ey, Ey \rangle = Uw_2 + Vw_0, \tag{6}$$

where $V = a_2 A_2^2 + b_2 B_2^2 + \delta^2$ and $V + U = (a_2 A_2 + b_2 B_2 + \delta)^2 = \delta^2$. Since $d \ge 3$ we have $b_2 > 0$ and we can multiply the above equation with $b_2 k(k-1)$. We get

$$b_2k(k-1) = b_2a_2 + a_2^2 + \frac{b_2k}{k-1}$$

Hence, by the integrality of the last fraction, $b_2 = k - 1$ and thus $a_2 = 0$. But now we obtain from the above equation $k(k-1)^2 = k$, which is clearly impossible. Thus, $\theta \neq 0$.

Lemma 3.3 Let Γ be a triangle-free distance-regular graph with diameter $d \geq 2$ and valency $k \geq 3$. Let θ be an eigenvalue of Γ with multiplicity equal to k. Let E be the associated principal idempotent and w_0, \ldots, w_d the associated cosine sequence. Let $x \in V\Gamma$ and $y \in \Gamma_i(x)$, where $1 \leq i \leq d$. Then

$$Ey = C_i \Big(\sum_{z \in \Gamma(x) \cap \Gamma_{i-1}(y)} Ez\Big) + A_i \Big(\sum_{z \in \Gamma(x) \cap \Gamma_i(y)} Ez\Big) + B_i \Big(\sum_{z \in \Gamma(x) \cap \Gamma_{i+1}(y)} Ez\Big),$$

where

$$C_{i} = \frac{w_{1}w_{i-1} - w_{2}w_{i}}{w_{1}(w_{0} - w_{2})}, \qquad A_{i} = \frac{w_{1}w_{i} - w_{2}w_{i}}{w_{1}(w_{0} - w_{2})}, \qquad B_{i} = \frac{w_{1}w_{i+1} - w_{2}w_{i}}{w_{1}(w_{0} - w_{2})}.$$
(7)

The denominators in (7) are nonzero.

Proof. Let us first observe that $m_{\theta} = k \ge 3$ implies $\theta \ne 0$, i.e., $w_1 \ne 0$, by Theorem 3.2, and that $w_2 \ne 1 = w_0$. So the denominators in (7) are really nonzero. By Lemma 3.1(ii), there exist real numbers $\alpha_z, z \in \Gamma(x)$, such that

$$Ey = \sum_{z \in \Gamma(x)} \alpha_z Ez.$$

Taking the scalar product of both sides of the above equation with Ex and Ev, $v \in \Gamma(x)$ respectively, we obtain, by Lemma 2.1 and multiplication with $(|V\Gamma|/k)$, the following relations

$$w_{1} \sum_{z \in \Gamma(x)} \alpha_{z} = w_{i},$$

$$\alpha_{v}(w_{0} - w_{2}) + w_{i}w_{2}/w_{1} = \alpha_{v}(w_{0} - w_{2}) + w_{2} \sum_{z \in \Gamma(x)} \alpha_{z} = \begin{cases} w_{i-1} & \text{if } v \in \Gamma(x) \cap \Gamma_{i-1}(y), \\ w_{i} & \text{if } v \in \Gamma(x) \cap \Gamma_{i}(y), \\ w_{i+1} & \text{if } v \in \Gamma(x) \cap \Gamma_{i+1}(y). \end{cases}$$

Solving the last equation for α_v gives us the desired result.

The main message of the next result is that if we know that a graph has an eigenvalue with multiplicity equal to k, then we can recognize from a_d whether it is primitive or not. We need one more notation. Let Γ be a distance-regular graph with diameter d. For a distinct vertices $x, y \in V\Gamma$ with $\partial(x, y) = d$, we denote the set $\Gamma_{d-1}(x) \cap \Gamma(y)$ by C(x, y).

Proposition 3.4 Let Γ be a triangle-free distance-regular graph with diameter $d \geq 2$ and valency $k \geq 3$, for which $k_d \geq 2$. Let θ be an eigenvalue of Γ with multiplicity equal to k and let x, y, z be three distinct vertices of Γ with $\partial(x, y) = d = \partial(x, z)$. Then the following (i)–(iii) hold.

- (i) If C(z, x) = C(y, x), then Γ is bipartite or antipodal.
- (ii) Γ is bipartite or antipodal if and only if $a_d = 0$.
- (iii) If $a_d \neq 0$ then $k_d \leq \binom{k}{c_d}$.

Proof. (i) Let E be the principal idempotent associated with θ and let x_1, \ldots, x_k be the neighbours of x. Assume C(y, x) = C(z, x). In this case we have $\partial(x_i, y) = \partial(x_i, z)$ for each $i, 1 \leq i \leq k$. Hence $\langle Ex_i, Ey \rangle = \langle Ex_i, Ez \rangle$ for each $i, 1 \leq i \leq k$. But, by Theorem 3.2 and Lemma 3.1, the set $\{Ex_i \mid i = 1, \ldots, k\}$ is the basis of the eigenspace associated with θ . Therefore, Ey = Ez and so we obtain

$$1 = w_0 = \frac{|V\Gamma|}{k} \langle Ey, Ey \rangle = \frac{|V\Gamma|}{k} \langle Ey, Ez \rangle = w_{\partial(y,z)}.$$

Observe that $\theta \notin \{k, -k\}$. Hence, by Brouwer et al. [1, Prop 4.4.7], Γ is either bipartite or antipodal.

(ii) If Γ is bipartite or antipodal, then clearly $a_d = 0$. Suppose now $a_d = 0$. In this case we have $\partial(w, y) = \partial(w, z) = d - 1$ for every $w \in \Gamma(x)$. Hence $C(y, x) = C(z, x) = \Gamma(x)$ and, by (i), the graph Γ is bipartite or antipodal.

(iii) Suppose $a_d \neq 0$. Then Γ is neither antipodal nor bipartite. By (i), $C(y', x) \neq C(z', x)$ for every $y', z' \in \Gamma_d(x)$. Since $|C(y', x)| = c_d$ for every $y' \in \Gamma_d(x)$ and since there are $\binom{k}{c_d}$ subsets of $\Gamma(x)$ with c_d elements, the statement follows.

4 The 1-homogeneous property

Let Γ be a triangle-free distance-regular graph with diameter $d \geq 2$ and an eigenvalue multiplicity equal to its valency $k \geq 3$. In this section, we will focus our investigation on the 1-homogeneous property. However, in the case d = 2 the graph Γ obviously has the 1homogeneous, so we show that it also has the 2-homogeneous property. Then we consider the case $d \neq 2$.

Let Γ be a distance-regular graph with diameter d and let x, y be its vertices. Let us repeat that for integers i and j we defined $D_i^j = D_i^j(x, y)$ by $D_i^j = \Gamma_i(x) \cap \Gamma_j(y)$. Suppose x and y are adjacent. Then $D_i^j = \emptyset$ unless $0 \le i, j \le d$ and $|i-j| \le 1$. Moreover, $|D_i^j| = p_{ij}^1$ for $0 \le i, j \le d$ implies $D_{i-1}^i \ne \emptyset \ne D_i^{i-1}$ and $D_i^i = \emptyset$ if and only if $a_i = 0$ for $1 \le i \le d$.

Lemma 4.1 (Jurišić et al. [8, Lem. 2.11]) Let Γ be a distance-regular graph with diameter d. Fix adjacent vertices $x, y \in V\Gamma$, and pick an integer $i \in \{1, \ldots, d\}$. Then the following (i) and (ii) hold, see Figure 4.1.



Figure 4.1: The distance partition of the vertex set $V\Gamma$ corresponding to an edge. For $i \in \{1, \ldots, d\}$ let $z \in D_i^i$ and $w \in D_{i-1}^i$. Then $\tau_i(z) = |\Gamma(z) \cap D_{i+1}^{i+1}|$, $\sigma_i(z) = |\Gamma(z) \cap D_{i-1}^{i-1}|$ and $\rho_i(w) = |\Gamma(w) \cap D_{i-1}^{i-1}|$.

4.1 The case d = 2

Let us suppose Γ is a triangle-free distance-regular graph with diameter d = 2. i.e., a connected triangle-free strongly regular graph with parameters (n, k, λ, μ) where $\lambda = 0$. Then it is obviously 1-homogeneous. Let us now assume that Γ also has an eigenvalue multiplicity equal to its valency k. Let θ_1 and θ_2 be the nontrivial eigenvalues of Γ with $\theta_1 > \theta_2$ and multiplicities m_1 and m_2 respectively. We recall, see for example Brouwer et al. [1, Thm. 1.3.1], that $\mu = -(\theta_1 + \theta_2), \ k = -(\theta_1 + \theta_2 + \theta_1\theta_2)$ and $m_1 = k(k - \theta_2)(\theta_2 + 1)/(\mu(\theta_2 - \theta_1)), \ m_2 = k(k - \theta_1)(\theta_1 + 1)/(\mu(\theta_1 - \theta_2))$. If Γ is a conference graph, then $0 = \lambda = \mu - 1$ and $k = 2\mu$, see for example Brouwer et al. [1, Sec. 1.3]. Hence in this case $\mu = 1, \ k = 2$ and Γ is isomorphic to the 5-cycle.

Let us now suppose Γ is not a conference graph. Then $0 \leq \theta_1 \in \mathbb{Z}$ and $-2 \geq \theta_2 \in \mathbb{Z}$, see Brouwer et al. [1, Thm. 1.3.1]. In the case $m_1 = k$, i.e., $\theta_1 = -\theta_2(\theta_2 + 2)$, we have $\theta_2 = -2$ and $\theta_1 = 0$, so Γ is the 4-cycle. In the case $m_2 = k$, i.e., $(k - \theta_1)(\theta_1 + 1) = \mu(\theta_1 - \theta_2)$, we have $\theta_2 = -\theta_1(\theta_1 + 2)$ and $k = t(t^2 + 3t + 1)$, $\mu = t(t + 1)$, where $t = \theta_1 \in \mathbb{N}$. For t = 1 we get the folded 5-cube, and for t = 2 the Higman-Sims graph. If t > 2 then the existence of Γ is still open, cf. Cameron and Van Lint [3, pp. 29].

Since Γ is a generalized Odd graph, there exists its bipartite double $\overline{\Gamma}$. In the case $m_2 = k$ the multiplicity of the second largest eigenvalue of $\overline{\Gamma}$ is equal to its valency, so, by Yamazaki [13], $\overline{\Gamma}$ is 2-homogeneous. Therefore, also Γ is 2-homogeneous. In particular, the second subconstituent graph of Γ is a triangle-free strongly regular graph with parameters $k' = t^2(t+2)$ and $\mu' = t^2$. For t = 1 we get the Petersen graph, and for t = 2 we get a unique strongly regular graph with parameters (77, 16, 0, 4), see Brouwer et al. [1, pp. 394].

4.2 The case $d \neq 2$

For the rest of this section, we assume that Γ is a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_2 \neq 0$.

Theorem 4.2 Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_2 \neq 0$. Then $a_2 \geq c_2$. Let θ be an eigenvalue of Γ with multiplicity equal to k, let w_0, w_1, \ldots, w_d be the associated cosine sequence and let x and y be adjacent vertices of Γ . Then the following (i)-(iii) hold.

- (i) For all $z \in D_2^2$ $|\Gamma(z) \cap D_3^2| (c_2 - k + c_2\theta + \theta^2)^2 + b_2^2 (c_2(\theta + 1)^2 - (k - 1)(k + \theta)) = 0.$ (8)
- (ii) If $w_2 \neq w_3$ then the number $|\Gamma(z) \cap D_3^2|$ is independent of vertices x, y, z.
- (iii) $a_2 = c_2$ if and only if Γ is the dodecahedron.

Proof. The set D_2^2 is nonempty by $a_2 \neq 0$, so let $z \in D_2^2$. Set $S_i^j = S_i^j(x, y, z) = \Gamma(z) \cap D_i^j$ for $i, j \in \{1, 2, 3\}$ and $s = |S_3^2|$. Observe $S_1^1 = \emptyset$, $|S_1^2| = |S_2^1| = c_2$, $|S_2^3| = s$, $|S_3^3| = b_2 - s$ and $|S_2^2| = a_2 - c_2 - s$ by Lemma 4.1. The last equality implies $a_2 \geq c_2$, and $a_2 = c_2$ implies s = 0. We postpone the treatment of the equality case until the end of this proof. (i) Let E be the principal idempotent associated with θ . Then $\theta \neq 0$ by Theorem 3.2. Therefore, we have, by Lemma 3.3,

$$\begin{split} Ex &= C \sum_{v \in S_2^1} Ev + A \sum_{v \in S_1^2 \cup S_2^2 \cup S_3^2} Ev + B \sum_{v \in S_2^3 \cup S_3^3} Ev, \\ Ey &= C \sum_{v \in S_1^2} Ev + A \sum_{v \in S_2^1 \cup S_2^2 \cup S_2^3} Ev + B \sum_{v \in S_3^2 \cup S_3^3} Ev, \end{split}$$

where $C = C_2$, $A = A_2$ and $B = B_2$ are as defined in Lemma 3.3. Then $\langle Ex, Ey \rangle |V\Gamma|/k = Uw_2 + V$, where $V = 2c_2AC + (a_2 - c_2 - s)A^2 + 2sAB + (b_2 - s)B^2$ and $U + V = (c_2C + a_2A + b_2B)^2$. By Lemma 2.1(i), we obtain $w_1 = Uw_2 + V$, i.e., $Uw_2 + V - w_1 = 0$, which, by Lemma 2.1(ii) and $\theta \neq k$, translates to (8).

(ii) The coefficient beside s is nonzero if and only if $w_2 \neq w_3$, in which case s is independent of choice of vertices x, y and z.

(iii) It is clear that $a_2 = c_2$ in the dodecahedron. Let us now assume $a_2 = c_2$ and recall that in this case s = 0. By Brouwer et al. [1, Lem. 5.5.5], we have $a_2 = 1 = c_2$ and (8) implies $\theta^2 - \theta(k-3) - k^2 + k + 1 = 0$, i.e.,

$$\theta = \frac{k - 3 \pm (k - 1)\sqrt{5}}{2}$$

Since the above possible values for θ are conjugate algebraic numbers, they are both the eigenvalues of Γ . But if $k \geq 5$ then $(k - 3 + (k - 1)\sqrt{5})/2 > k$, which is impossible. Hence k = 3 or k = 4. By Brouwer et al. [1, Thm. 7.5.1], the only distance-regular graph with valency 3 and with $c_2 = a_2 = 1$ is the dodecahedron. Similarly, by Brouwer and Koolen [2], we find that there is no triangle-free distance-regular graph with valency 4 and $c_2 = a_2 = 1$.

Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_2 \neq 0$. Let θ be an eigenvalue of Γ with multiplicity equal to k, The above identity (8) implies that the eigenvalue θ is a zero of a polynomial of degree at most 4, therefore there are at most 4 eigenvalues with multiplicity k and if $\Gamma(z) \cap D_3^2 = \emptyset$ for some $z \in D_2^2$, then there are at most 2. We believe the following conjecture is true.

Conjecture 4.3 Let Γ be a primitive distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_1 = 0$. Assume Γ has a nonzero eigenvalue θ with multiplicity equal to k. Then Γ is 1-homogeneous and $\theta \in \{\theta_1, \theta_d\}$.

Let Γ be an antipodal distance-regular graph with diameter 3 and eigenvalues $\theta_0 > \cdots > \theta_3$. Then $\theta_2 = -1$ has multiplicity k. There is an infinite family of such graphs that is triangle-free, see De Caen, Mathon and Moorhouse [4].

Given a nonbipartite distance-regular graph, there exist integers h and ℓ , such that $a_i \neq 0$ if and only if $i \in \{h, \ldots, \ell\}$, see Brouwer et al. [1, Prop. 5.5.7]. Moreover, $h + \ell \geq d$.

Theorem 4.4 Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$ and $a_2 \neq 0$. Let θ be an eigenvalue of Γ with multiplicity equal to k, let w_0, w_1, \ldots, w_d be the associated cosine sequence, and let x and y be adjacent vertices of Γ . Let $\ell \geq 2$ be such an integer that $a_i \neq 0$ if and only if $i \in \{2, \ldots, \ell\}$. Let $t \in D_{\ell}^{\ell}$ and if $\ell \geq 3$ also $u \in D_i^{i-1} \cup D_{i-1}^i$ for $i \in \{3, \ldots, \ell\}$. If for $d \geq 4$ and $\ell \geq 3$, we have $w_2 \neq \cdots \neq w_{\min\{\ell, d-1\}}$, then the numbers

 $|\Gamma(t) \cap D_{\ell-1}^{\ell-1}| \quad and \quad if \ \ell \ge 3 \ also \quad |\Gamma(u) \cap D_{i-1}^{i-1}|$

are independent of vertices x, y, t and u.

Proof. If $w_{d-1} = w_d$, then, by Lemma 2.1 and $m_{\theta} = k \ge 2$, we have $c_d w_d + (k - c_d) w_d = \theta w_d$, i.e., $w_d = 0$, which implies a contradiction: $0 = w_d = w_{d-1} = \cdots = w_1 \ne 0$. Therefore, $w_{d-1} \ne w_d$.

Since we will now follow closely the approach of the first part of the proof of Theorem 4.2, we record only the essential steps. We set $S_i^j = S_i^j(x, y, t) = \Gamma(t) \cap D_i^j$ and have

$$Ex = C_{\ell} \sum_{v \in S_{\ell-1}^{\ell} \cup S_{\ell-1}^{\ell-1}} Ev + A_{\ell} \sum_{v \in S_{\ell}^{\ell-1} \cup S_{\ell}^{\ell} \cup S_{\ell}^{\ell+1}} Ev + B_{\ell} \sum_{v \in S_{\ell+1}^{\ell}} Ev,$$

$$Ey = C_{\ell} \sum_{v \in S_{\ell}^{\ell-1} \cup S_{\ell-1}^{\ell-1}} Ev + A_{\ell} \sum_{v \in S_{\ell-1}^{\ell} \cup S_{\ell}^{\ell} \cup S_{\ell+1}^{\ell}} Ev + B_{\ell} \sum_{v \in S_{\ell}^{\ell+1}} Ev.$$

If $\ell = d$ then $S_{\ell+1}^{\ell} = \emptyset = S_{\ell}^{\ell+1}$ and recall that we assumed $b_d = 0$. We calculate the scalar product $\langle Ex, Ey \rangle$ as $(Uw_2 + Vw_0)m_{\theta}/|V\Gamma|$, where

$$V = 2(c_{\ell} - \sigma_{\ell})A_{\ell}C_{\ell} + \sigma_{\ell}C_{\ell}^{2} + (a_{\ell} - c_{\ell} + \sigma_{\ell})A_{\ell}^{2} + 2b_{\ell}A_{\ell}B_{\ell}, \qquad U + V = (c_{\ell}C_{\ell} + a_{\ell}A_{\ell} + b_{\ell}B_{\ell})^{2}$$

and notice that $Uw_2 + Vw_0 = w_1$ is a linear equation for σ_ℓ , with a nonzero coefficient beside σ_ℓ if and only if $A_\ell \neq C_\ell$ if and only if $w_{\ell-1} \neq w_\ell$.

In the last case we set $S_{j'}^j = S_{j'}^j(x, y, u) = \Gamma(u) \cap D_{j'}^j$. We assume $u \in D_{i-1}^i$ (in the case $u \in D_i^{i-1}$ the proof is similar) and have

$$Ex = C_{i} \sum_{v \in S_{i-2}^{i-1} \cup S_{i-1}^{i-1} \cup S_{i}^{i-1}} Ev + A_{i} \sum_{v \in S_{i-1}^{i} \cup S_{i}^{i}} Ev + B_{i} \sum_{v \in S_{i}^{i+1}} Ev,$$

$$Ey = C_{i-1} \sum_{v \in S_{i-2}^{i-1}} Ev + A_{i-1} \sum_{v \in S_{i-1}^{i-1} \cup S_{i-1}^{i}} Ev + B_{i-1} \sum_{v \in S_{i}^{i-1} \cup S_{i}^{i} \cup S_{i}^{i+1}} Ev$$

If i = d then $S_i^{i+1} = \emptyset$ (recall again that we assumed $b_d = 0$). Again we calculate the scalar product $\langle Ex, Ey \rangle$ as $(Uw_2 + Vw_0)m_{\theta}/|V\Gamma|$, where

$$V = c_{i-1}C_{i-1}C_i + \rho_i A_{i-1}C_i + (c_i - c_{i-1} - \rho_i)B_{i-1}C_i + (a_{i-1} - \rho_i)A_{i-1}A_i + (a_i - a_{i-1} + \rho_i)B_{i-1}A_i + b_i B_{i-1}B_i, U + V = (c_iC_i + a_iA_i + b_iB_i)(c_{i-1}C_{i-1} + a_{i-1}A_{i-1} + b_{i-1}B_{i-1})$$

and notice that $Uw_2 + Vw_0 = w_1$ is a linear equation for ρ_i , with a nonzero coefficient beside ρ_i if and only if $C_i \neq A_i$ and $A_{i-1} \neq B_{i-1}$ if and only if $w_{i-1} \neq w_i$.

Corollary 4.5 Let Γ be a triangle-free distance-regular graph with diameter $d \geq 3$, valency $k \geq 3$, $a_2 \neq 0 \neq a_3$ and when $d \geq 4$ also $a_4 = 0$. Assume Γ has an eigenvalue θ with multiplicity equal to k. Let w_0, w_1, \ldots, w_d be the associated cosine sequence, and suppose $w_2 \neq w_3$. Then Γ is 1-homogeneous.

Proof. Fix adjacent vertices $x, y \in V\Gamma$ and pick $z \in D_2^2$, $t \in D_3^3$ and $u \in D_2^3 \cup D_3^2$. By Theorems 4.2 and 4.4, the numbers $|\Gamma(z) \cap D_3^3|$, $|\Gamma(t) \cap D_2^2|$ and $|\Gamma(u) \cap D_2^2|$ are independent of the choice of x, y, z, t, u. If $d \ge 4$, then $a_4 = 0$ implies $a_i = 0$ for $4 \le i \le d$ by Brouwer et al. [1, Prop. 5.5.7]. The assertion now follows from Lemma 4.1.

There is a unique distance-regular graph with intersection array $\{21, 20, 16; 1, 2, 12\}$, see Ivanov et al. [6], Brouwer et al. [1, Thm. 11.3.6], known as the coset graph of doubly truncated binary Golay code. It has spectrum $21^1, (-11)^{21}, 5^{210}, (-3)^{280}$, so it satisfies the assumption of the above statement. Beside the folded 7-cube this is the only known example of a graph with diameter 3 that satisfies the above result. Since these two graphs have classical parameters, they are *Q*-polynomial and they are 1-homogeneous also by [9, Cor. 5.4].



Figure 4.2: The distance partition corresponding to an edge of folded 7-cube and the coset graph of the doubly truncated binary Golay code. The second subconstituent of the second graph consists of 21 disjoint Petersen graphs, see Section 5.

5 An infinite family of diameter 5

There is an infinite family of feasible intersection arrays

$$\{2\mu^{2} + \mu, 2\mu^{2} + \mu - 1, \mu^{2}, \mu, 1; 1, \mu, \mu^{2}, 2\mu^{2} + \mu - 1, 2\mu^{2} + \mu\}$$
(9)

of distance-regular double-covers with diameter 5 and $4\mu^2(2\mu + 3)$ vertices. The antipodal quotients of the graphs with these parameters are strongly regular graphs with parameters $(2\mu^2(2\mu + 3), 2\mu^2 + \mu, 0, \mu)$, see Brouwer et al. [1, pp. 417]. Let Γ be a distance-regular graph with the above intersection array. Then Γ has $a_1 = a_4 = 0$ and $a_2 = a_3 = \mu^2 \neq 0$, so it is not Q-polynomial by [9, Thm. 6.3]. Its spectrum is

$$k^1, \ \theta^k, \ \mu^{(2\mu-1)(2\mu+1)(2\mu+3)/3}, \ 0^{2\mu(\mu+1)(2\mu-1)}, \ (-2\mu)^{2\mu(\mu+1)(2\mu+1)/3}, \ (-\theta)^k,$$

where $k = \mu(2\mu + 1)$ and $\theta = \mu\sqrt{2\mu + 3}$. The cosine sequence for the eigenvalue θ is

$$w_0 = 1$$
, $w_1 = \frac{\sqrt{2\mu + 3}}{2\mu + 1}$, $w_2 = \frac{1}{2\mu + 1}$, $w_3 = \frac{-1}{2\mu + 1}$, $w_4 = -\frac{\sqrt{2\mu + 3}}{2\mu + 1}$, $w_5 = -1$.

- (i) In the case $\mu = 1$ the graph Γ is realized uniquely by the dodecahedron.
- (ii) In the case μ = 2 the existence of the graph Γ was first ruled out in Brouwer et al. [1, Prop. 11.4.5], using the structure of the underlying antipodal quotient, namely the Gewirtz graph.



Figure 5.1: The distance-partition corresponding to an edge is equitable: (a) the dodecahedron and (b) an antipodal 2-cover of the Gewirtz graph, whose nonexistence we show in Theorem 5.3.

- (iii) In the case $\mu = 3$ the graph Γ has parameters $\{21, 20, 9, 3, 1; 1, 3, 9, 20, 21\}$, v = 1 + 21 + 140 + 140 + 21 + 1 vertices, eigenvalues 21^1 , 9^{21} , 3^{105} , 0^{120} , -6^{56} , -9^{21} and the antipodal quotient is a strongly regular graph with parameters (162, 21, 0, 3). In this case the existence of Γ is still open.
- (iv) In the case $\mu = 4$ the graph Γ has parameters $\{36, 35, 16, 4, 1; 1, 4, 16, 35, 36\}$, v = 1 + 36 + 315 + 36 + 1 vertices, eigenvalues 36^1 , $(4\sqrt{7})^{36}$, 4^{231} , 0^{280} , -8^{120} , $-(4\sqrt{7})^{36}$ and the antipodal quotient is a strongly regular graph with parameters (325, 36, 0, 4). In this case the nonexistence of Γ is shown in Theorem 5.3, despite the fact that we do not know the existence of its quotient.



Figure 5.3: The distance partition corresponding to an edge of (c) the graph Γ for $\mu = 3$, (d) the graph Γ for $\mu = 4$.

The following result is an immediate consequence of Corollary 4.5, Theorems 4.2, 4.4 and Lemma 4.1.

Corollary 5.1 Let Γ be a distance-regular graph with intersection array (9). Then Γ is 1-homogeneous with parameters as on Figure 5.2(b), where $s = \mu(\mu - 1)/2$.



Figure 5.2: The distance partition corresponding to an edge of (a) the antipodal quotient of Γ and (b) the graph Γ that is a double-cover.

Lemma 5.2 Let Γ be a distance-regular graph with intersection array (9) and fix vertices $x \in V\Gamma$ and $y \in \Gamma_2(x)$. Then there exist a set of vertices $\{v_1, \ldots, v_\mu\} \subset \Gamma_2(x) \cap \Gamma(y)$, such that all the vertices of this set have a common neighbour in $\Gamma(x)$.

Proof. Let us choose a vertex $w \in \Gamma(x) \cap \Gamma_2(y) \neq \emptyset$. Since $\partial(w, y) = 2$, the vertices y and w must have μ common neighbours. But Γ is triangle-free, so all of this common neighbours are in $\Gamma_2(x)$. Their common neighbour is the vertex w.

Theorem 5.3 There are no distance-regular graphs with intersection arrays

 $\{10, 9, 4, 2, 1; 1, 2, 4, 9, 10\}$ and $\{36, 35, 16, 4, 1; 1, 4, 16, 35, 36\}$.

Proof. Let Γ be a distance-regular graph with intersection array (9) and assume $\mu > 1$. Let $x \in V\Gamma$, $y \in \Gamma_2(x)$ and let us choose vertices $v_1, v_2 \in \Gamma_2(x) \cap \Gamma(y), v_1 \neq v_2$. Then $\partial(v_1, v_2) = 2$. Let γ_j (resp. α_j, β_j) be the number of vertices in $D_j^2(v_1, y) \cap \Gamma(x)$ at distance 1 (resp. 2, 3) from the vertex v_2 . Then we have

$$\begin{aligned} \gamma_1 + \gamma_2 + \gamma_3 &= |S_1^2(v_2, y, x)| = \mu, & \alpha_1 + \alpha_2 + \alpha_3 = |S_2^2(v_2, y, x)| = m, \\ \beta_1 + \beta_2 + \beta_3 &= |S_3^2(v_2, y, x)| = m, & \alpha_1 + \beta_1 + \gamma_1 = |S_1^2(v_1, y, x)| = \mu, \\ \alpha_2 + \beta_2 + \gamma_2 &= |S_2^2(v_1, y, x)| = m, & \alpha_3 + \beta_3 + \gamma_3 = |S_3^2(v_1, y, x)| = m. \end{aligned}$$

Finally, let ω be the number of vertices in $D_2^3(v_1, y)$, which are at distance 2 from the vertex v_2 . The scalar product $\langle \overline{v}_1, \overline{v}_2 \rangle |V\Gamma|/k$ can be written as $Uw_2 + Vw_0$, where

$$V = A^{2}(\mu + \alpha_{2} + \omega) + B^{2}(\beta_{3} + \mu + \omega) + C^{2}\gamma_{1} + AB(2m - 2\omega + \beta_{2} + \alpha_{3}) + BC(\beta_{1} + \gamma_{3}) + AC(\gamma_{2} + \alpha_{1}),$$
$$U + V = (a_{2}A + b_{2}B + c_{2}C)^{2} = ((2m + \mu)(A + B) + \mu C)^{2},$$

 $A = A_2, B = B_2$ and $C = C_2$. Then the relation $\langle \overline{v}_1, \overline{v}_2 \rangle = (k/|V\Gamma|)w_2$ is equivalent to

$$(\gamma_3 - \alpha_1)(\sqrt{2\mu + 3} + 1) = \mu(1 - \mu) + \gamma_1(\mu + 1) + 2(\alpha_2 + \omega).$$
(10)

Suppose $\sqrt{2\mu+3}$ is irrational. Then we have $\gamma_3 = \alpha_1$ and $\mu(\mu-1) = \gamma_1(\mu+1) + 2(\alpha_2 + \omega)$.

Suppose first $\mu = 2$, i.e., the graph Γ has intersection array $\{10, 9, 4, 2, 1; 1, 2, 4, 9, 10\}$. Since $\sqrt{7}$ is irrational, we obtain from Equation (10)

$$2 = 3\gamma_1 + 2(\alpha_2 + \omega),$$

implying $\gamma_1 = 0$ for all pairs of distinct elements in $\Gamma_2(x) \cap \Gamma(y)$. But this is of course not possible by Lemma 5.2.

Assume now $\mu = 4$, i.e., Γ has intersection array $\{36, 35, 16, 4, 1; 1, 4, 16, 35, 36\}$. Since $\sqrt{11}$ is irrational, we obtain from Equation (10)

$$12 = 5\gamma_1 + 2(\alpha_2 + \omega),$$

implying γ_1 is even. By Lemma 5.2, there exist vertices v_1, v_2, v_3, v_4 in $\Gamma_2(x) \cap \Gamma(y)$, which have a common neighbour $w \in \Gamma(x) \cap \Gamma_2(y)$. So $\gamma_1 \geq 1$ for all pairs of distinct elements in $\{v_1, v_2, v_3, v_4\}$. But since γ_1 is even, this implies $\omega \in \{0, 1\}$ for all pairs of distinct elements in $\{v_1, v_2, v_3, v_4\}$. Let $P := \Gamma(x) \cap \Gamma_3(y)$ and $P_i := P \cap \Gamma_2(v_i), i \in \{1, 2, 3, 4\}$. Observe |P| = 16and $|P_i| = 6$ for $i \in \{1, 2, 3, 4\}$. Furthermore, since $\omega \in \{0, 1\}$, we have $|P_i \cap P_j| \leq 1$ for $1 \leq i < j \leq 4$. This is a contradiction, because $4 \times 6 - {4 \choose 2} = 18 > 16$.

Remark 5.4 In the case $\mu = 3$ the condition (10) is equivalent to $9 = 4\gamma_1 + 2\gamma_2 + 2\alpha_1 + \alpha_2 + \omega$, so we have only one condition instead of two (in the irrational case).

We end the paper with the following conjecture.

Conjecture 5.5 A distance-regular graph with intersection array (9) exists if and only if $\mu = 1$.

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