

# EXTENSIONS OF POLYNOMIALS AND $M$ -IDEAL PROPERTIES IN BANACH FUNCTION SPACES

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ABSTRACT. We study the norm-preserving extension of norm-attaining  $n$ -homogeneous polynomials on Banach function spaces and  $M$ -ideal properties of function and sequence Marcinkiewicz spaces. We show for a large class of Banach function spaces that the extension of  $n$ -homogeneous polynomials does not need to be unique for  $n \geq 2$  in real spaces and for  $n \geq 3$  in complex spaces. We find further a geometric condition under which every norm-attaining 2-homogeneous polynomial on a complex symmetric sequence space  $X$  depends only on finitely many variables. This geometric condition yields that a unit ball in  $X$  does not possess any complex extreme points. In particular, if  $X = m_\Psi$  is a Marcinkiewicz sequence space and  $m_\Psi^0$  is its subspace of order continuous elements, we show that such properties as: every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  depends on finitely many variables, every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  has a unique norm preserving extension to its bidual  $m_\Psi$ , and no element of a unit sphere of  $m_\Psi^0$  is a complex extreme point, are equivalent. Moreover, any of these properties is equivalent to the fact that  $\Psi$  is strictly increasing. As a corollary we obtain that  $m_\Psi$  is not rotund. We also find conditions when an order continuous subspace of either function or sequence Marcinkiewicz space is an  $M$ -ideal in its bidual. Finally we investigate the dual and  $M$ -ideal properties of  $L^1 + L^\infty$ , a particular example of Marcinkiewicz spaces.

## 1. INTRODUCTION AND PRELIMINARIES

Let  $X$  be a Banach space over a scalar field  $\mathbb{F}$ , where  $\mathbb{F}$  is either the set of real numbers  $\mathbb{R}$  or the set of complex numbers  $\mathbb{C}$ . Let further  $B_X$  (resp.  $S_X$ ) denote a unit ball (resp. unit sphere) in  $X$ . A bounded multi-linear form means an  $n$ -linear mapping  $L : X^n \rightarrow \mathbb{F}$  for  $n \in \mathbb{N}$ , with finite norm  $\|L\|$  defined as

$$\|L\| = \{|L(x_1, \dots, x_n)| : x_i \in B_X, i = 1, \dots, n\}.$$

Then a map  $P(x) = L(x, \dots, x) : X \rightarrow \mathbb{F}$  is called an  $n$ -homogeneous polynomial on  $X$  and its norm is defined by

$$\|P\| = \sup\{|P(x)| : x \in B_X\}.$$

Given a Banach space  $X$ , if  $x \in X$  and  $x^* \in X^*$  then  $\langle x^*, x \rangle$  denotes  $x^*(x)$ . We also denote by  $[x_1, \dots, x_n]$  a linear span of vectors  $\{x_i\}_{i=1}^n \subset X$ . For each subset  $M$  of  $X$ , let  $M^\perp$  be the set of all bounded linear functionals which vanish on  $M$ . A point  $x$  of a convex set  $K$  is an *extreme point* of  $K$  if  $\{x + ty : -1 \leq t \leq 1\} \subset K$  for  $y$  in  $X$  implies that  $y = 0$ . If every point of  $S_X$  is an extreme point of  $B_X$ ,  $X$  is called a *strictly convex* (or, *rotund*) space. A point  $x$  of a convex set  $K$  of a complex Banach space  $X$  is a *complex extreme point* of  $K$  if  $\{x + \zeta y : |\zeta| \leq 1, \zeta \in \mathbb{C}\} \subset K$  for  $y$  in  $X$  implies that  $y = 0$ . It is easy to see that every extreme point of  $B_X$  is a complex extreme point of  $B_X$  when  $X$  is a complex space.

Let  $(\Omega, \mu) = (\Omega, \mathcal{B}, \mu)$  be a measure space with a complete  $\sigma$ -finite measure  $\mu$  on  $\sigma$ -algebra  $\mathcal{B}$ . Let  $L^0(\mu)$  denote the space of all  $\mu$ -equivalence classes of  $\mathcal{B}$ -measurable  $\mathbb{F}$ -valued functions on  $\Omega$  with the topology of convergence in measure on  $\mu$ -finite sets.

A Banach space  $(X, \|\cdot\|)$  is said to be a *Banach function space* on  $(\Omega, \mu)$  if it is a subspace of  $L^0(\mu)$  such that there is  $h \in L^0(\mu)$  with  $h > 0$  a.e. in  $\Omega$  and it has the *ideal property* that is if  $f \in L^0(\mu)$ ,  $g \in X$  and  $|f| \leq |g|$  a.e. then  $f \in X$  and  $\|f\| \leq \|g\|$ . If in addition the unit ball  $B_X$  is closed in  $L^0(\mu)$ , then we say that  $X$  has the *Fatou property*. A Banach function space defined on  $(\mathbb{N}, 2^{\mathbb{N}}, \mu)$  with the counting measure  $\mu$  is called a *Banach sequence space*. In this case  $e_i \in X$  for all  $i \in \mathbb{N}$ , where  $e_i$  denotes a standard unit vector, that is  $e_i = (0, \dots, 0, 1, 0, \dots)$  with 1 as the  $i$ th component.

A Banach function space  $X$  on  $(\Omega, \mu)$  is said to be *rearrangement invariant* (r.i., or *symmetric*) if for every  $f \in L^0(\mu)$  and  $g \in X$  with  $\mu_f = \mu_g$ , we have  $f \in X$  and  $\|f\| = \|g\|$ , where for any  $h \in L^0(\mu)$ ,  $\mu_h$  is a *distribution function* of  $h$  defined by

$$\mu_h(t) = \mu\{\omega \in \Omega : |h(\omega)| > t\}, \quad t \geq 0.$$

If  $X$  is a Banach function space on  $(\Omega, \mu)$ , then the *associate space*  $X'$  of  $X$  is a Banach function space, which can be identified with the space of all functionals possessing an integral representation, that is,

$$X' = \{g \in L^0(\mu) : \|g\|_{X'} = \sup_{\|f\| \leq 1} \int_{\Omega} |fg| d\mu < \infty\}.$$

It is well known that if  $X$  has the Fatou property, then  $(X'', \|\cdot\|_{X''})$  coincides with  $(X, \|\cdot\|)$  [4, 11, 13].

An element  $f \in X$  is said to be *order continuous* if  $\|f_n\| \downarrow 0$  for every sequence  $\{f_n\}$  with  $|f_n| \leq |f|$  a.e. and  $|f_n| \downarrow 0$  a.e. on  $\Omega$ . A Banach function space  $X$  is said to be *order continuous* if every element of  $X$  is order continuous. It is well known that if  $X$  is an order continuous Banach function space, then  $X^*$  is order isometric to  $X'$ , and this identification will be denoted by  $X^* \simeq X'$ .

Suppose for the moment that  $X$  is a Banach function space consisting of real valued functions. An element  $\phi \in X^*$  is called an *integral functional* if for any  $\{f_n\} \subset X$  with  $0 \leq f_n \downarrow 0$  a.e.,  $\phi(f_n) \rightarrow 0$ . A linear functional  $\phi_s \in X^*$  is called a *positive singular linear functional* whenever  $\phi_s(f) \geq 0$  holds for all non-negative  $f$  in  $X$  and for every integral linear functional  $\phi$ ,  $0 \leq \phi(f) \leq \phi_s(f)$  for all non-negative  $f$  in  $X$  implies  $\phi = 0$ . A *singular linear functional* in  $X^*$  means the difference of two positive singular linear functionals in  $X^*$ . It is known that the space of integral linear functionals in  $X^*$  is order isometric to  $X'$  and a dual space  $X^*$  is order isometric to  $X' \oplus X_s^*$ , where  $X_s^*$  is the space of singular functionals on  $X$  [11, 13, 16].

Whenever  $X$  is a Banach function space,  $X_0$  (or  $X^0$ ) will denote the set of all order continuous elements of  $X$ . It is easy to show that  $X_0$  is an *order ideal*, which means that it is a closed subspace with the ideal property. Note that  $X_0$  is contained in the closure of the family of all simple functions in  $X$  with support of finite measure [4]. It is well known that if  $X$  is a Banach function space with the Fatou property and  $X_0$  contains all simple functions with support of finite measure, then  $(X_0)^* \simeq X'$ . In this case  $X^* \simeq (X_0)^* \oplus X_0^\perp$ , where  $X_0^\perp$  coincides with  $X_s^*$  when  $X$  is a Banach function space consisting of real valued functions (cf. Theorem 102.6, Theorem 102.7 in [13]).

Let  $Y$  be a closed subspace of a Banach space  $X$ .  $Y$  is called an *M-ideal* of  $X$  if there is a bounded projection  $\mathcal{P} : X^* \rightarrow X^*$  with range  $Y^\perp$  such that for each  $x^* \in X^*$ ,

$$\|x^*\| = \|\mathcal{P}x^*\| + \|(I - \mathcal{P})x^*\|.$$

We can write this decomposition as  $X^* = Y^\perp \oplus_1 Y^*$ . A Banach space  $X$  is said to be *M-embedded* if  $X$  is an *M-ideal* of its bidual  $X^{**}$ . We will use the following facts about *M-ideals* [7].

**Theorem 1.1.** *Suppose  $Y$  is a closed subspace of a Banach space  $X$ .*

- (i) *(The 3-ball property)  $Y$  is an M-ideal of  $X$  if and only if for all  $y_1, y_2, y_3 \in B_Y$ , all  $x \in B_X$  and  $\epsilon > 0$  there is  $y \in Y$  satisfying*

$$\|x + y_i - y\| \leq 1 + \epsilon \quad \text{for all } i = 1, 2, 3.$$

- (ii) *A Banach space  $X$  is M-embedded if and only if every separable subspace of  $X$  is also M-embedded.*

- (iii) *If  $X$  is an M-embedded space, then every separable subspace of  $X$  has a separable dual.*

For any real functions  $F$  and  $G$ , we say that  $F$  is equivalent to  $G$  and we write it as  $F \approx G$  whenever there are constants  $C_1, C_2 > 0$  such that  $C_1|F(u)| \leq |G(u)| \leq C_2|F(u)|$  for all  $u$  in the domain of the functions. Recall also that for  $z \in \mathbb{C}$ ,  $\text{sign } z = \bar{z}/|z|$  if  $z \neq 0$  and  $\text{sign } z = 1$  if  $z = 0$ .

The Hahn-Banach type extension of  $n$ -homogeneous polynomials has been studied in a number of papers e.g. [1, 2, 3, 5, 6, 9]. In particular, it is known that every  $n$ -homogeneous polynomial on a Banach space  $X$  has a norm-preserving extension to its bidual [1, 2, 5]. Moreover, it is well known that if a subspace  $Y$  of  $X$  is an *M-ideal*, then every bounded linear functional (i.e., 1-homogeneous

polynomial) on  $Y$  has a unique Hahn-Banach extension to  $X$  [7]. It has been shown in [3] that it is no longer true for polynomials. In fact they showed that the norm-preserving extension of an  $n$ -homogeneous polynomial on  $c_0$  to  $\ell_\infty$  does not need to be unique for  $n \geq 2$  in real spaces and for  $n \geq 3$  in complex spaces. They also showed that every norm-attaining 2-homogeneous polynomial on a complex  $c_0$  must be finite, that is it depends only on finite many variables. These results have been further generalized to certain types of Marcinkiewicz spaces in [9].

In this paper, we investigate the Hahn-Banach type extension of norm-attaining  $n$ -homogeneous polynomials on Banach function spaces, generalizing in particular the results in [3, 9]. We also examine  $M$ -ideal properties of function and sequence Marcinkiewicz spaces, including the space  $L^1 + L^\infty$ .

Let us outline briefly the content of this article. In section 2 we show for a large class of Banach function spaces  $X$  that the norm preserving extension of  $n$ -homogeneous polynomials on a subspace of  $X$  does not need to be unique for  $n \geq 2$  in real spaces and for  $n \geq 3$  for complex spaces. In particular this statement holds true for any r.i. function space with the Fatou property or an arbitrary Banach sequence space.

In section 3 we study 2-homogeneous polynomials on a complex symmetric sequence space  $X$ . We define here a notion of a finite polynomial on  $X^{**}$  and a special geometric condition of  $X$ , under which any 2-homogeneous polynomial on  $X$  attains its norm if and only if it is finite. This geometric condition yields for instance that no point of  $S_X$  is a complex extreme point of  $B_X$ . As a corollary we obtain that every 2-homogeneous norm-attaining polynomial on  $X$  has a unique norm preserving extension to its bidual  $X^{**}$ . Finally in this section we present similar results for bounded functionals that are 1-homogeneous polynomials.

In section 4 we investigate Marcinkiewicz function spaces  $M_\Psi$  on  $I = (0, 1)$  or  $I = (0, \infty)$ . After collecting some basic properties of  $M_\Psi$  and its order continuous subspace  $M_\Psi^0$ , we formulate conditions on  $\Psi$  when  $M_\Psi^0$  is an  $M$ -ideal in  $M_\Psi$ , and we show for wide class of functions  $\Psi$ , that  $M_\Psi$  is a bidual of  $M_\Psi^0$ . It appears also that for  $\Psi(t) = \max\{t, 1\}$ ,  $M_\Psi$  coincides with  $\Sigma = L^1 + L^\infty$ . It naturally leads to study  $M$ -ideal properties of  $\Sigma$ . We compute the dual norms of  $\Sigma$  equipped with two different traditional norms, and consequently we find out that  $\Sigma_0$  is not an  $M$ -ideal in  $\Sigma$  under the Marcinkiewicz norm, while it is an  $M$ -ideal under the other norm. We also prove that  $\Sigma_0$ , under either norms, is not  $M$ -embedded. Thus  $\Sigma$  is the Marcinkiewicz space, which shows that without additional assumptions on  $\Psi$  we are not able to obtain the earlier results in this section.

Sections 5 and 6 are devoted to Marcinkiewicz sequence spaces  $m_\Psi$ . In section 5 we provide necessary and sufficient condition on  $\Psi$  for  $m_\Psi$  to be a bidual of  $m_\Psi^0$ , as well as for  $m_\Psi^0$  to be an  $M$ -ideal of  $m_\Psi$ . Section 6 is a continuation of section 3 for Marcinkiewicz sequence spaces. The main result of this section, Theorem 6.8, states several equivalent conditions for the property that every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  is finite. It says among others that it is equivalent to the condition that no element of the unit sphere of  $m_\Psi^0$  is a complex extreme point of a unit ball in  $m_\Psi$ . It is also equivalent to the fact that every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  has a unique norm preserving extension to  $m_\Psi$ . Finally any of these conditions is equivalent to the property that the sequence  $\Psi = \{\Psi(n)\}$  is strictly increasing. We then partially extend Theorem 6.8 to a symmetric sequence space  $X$ , finding a connection between behaviour of the fundamental function of  $X$  and the existence of complex extreme points of  $B_X$  as well as the condition that 2-homogeneous polynomials are finite on  $X$ . We conclude the section with a corollary stating that  $m_\Psi^0$  or  $m_\Psi$  are never rotund, and with an example of a symmetric sequence space showing that the fundamental function cannot fully determine extreme points of its unit ball.

## 2. EXTENSIONS OF POLYNOMIALS

Let  $X$  be a Banach space and  $Y$  a closed  $M$ -ideal in  $X$ . It is well known that a bounded linear functional on  $Y$  has a unique norm preserving extension to  $X$  [7]. With polynomials the situation is different. In [3] (see also [9] for some Marcinkiewicz sequence spaces), it has been shown that extension of  $n$ -homogeneous polynomials from  $c_0$  to  $\ell_\infty$  is not unique for  $n \geq 2$  for real spaces and for  $n \geq 3$  for complex spaces. We shall show a similar result for a large class of Banach function spaces.

Now let  $X$  be a Banach function space over  $(\Omega, \mu)$ , and let  $Y$  be a proper closed subspace of  $X$ . Let's assume that there exist two disjoint sets  $E_i \in \mathcal{B}$ ,  $i = 1, 2$ , such that

$$\Phi f = \varphi_1(f)\chi_{E_1} + \varphi_2(f)\chi_{E_2}, \quad f \in X,$$

is a norm-one projection on  $X$ , where for  $i = 1, 2$ ,  $\chi_{E_i} \in Y$  and

$$\varphi_i(f) = \frac{1}{\mu E_i} \int_{E_i} f, \quad f \in X.$$

If  $X$  is a real space and  $n \geq 2$ , then we can easily construct an  $n$ -homogeneous polynomial on  $Y$  which has two different norm preserving extensions to  $X$ . Indeed, let  $\varphi$  be a norm-one linear functional on  $X$  which vanishes on  $Y$ . Letting now  $\alpha = \|\chi_{E_1}\|$ ,  $n$ -homogeneous polynomial  $P(f) = (\alpha\varphi_1(f))^n$  on  $Y$  has norm one. It is clear that  $P_1(f) = (\alpha\varphi_1(f))^n$  and  $P_2(f) = (\alpha\varphi_1(f))^n - (\alpha\varphi_1(f))^{n-2}\varphi^2$  are two distinct norm preserving extensions of  $P$  on  $X$ .

In the complex case, we can find an  $n$ -homogeneous polynomial with two distinct norm preserving extensions if  $n \geq 3$ . In fact consider the set

$$S = \{(z_1, z_2) \in \mathbb{C}^2 : \|z_1\chi_{E_1} + z_2\chi_{E_2}\| \leq 1\},$$

and the function

$$\psi(z_1, z_2) = |z_1|^2 + |z_2|^2, \quad (z_1, z_2) \in S.$$

It is clear that  $\psi$  is continuous on compact set  $S$ , and so there exists  $(u_1, u_2) \in S$  such that

$$\psi(u_1, u_2) = \max_{(z_1, z_2) \in S} \psi(z_1, z_2) = |u_1|^2 + |u_2|^2 = a^2 + b^2,$$

where  $a = |u_1|$ ,  $b = |u_2|$ ,  $a^2 + b^2 \neq 0$ , and  $(a, b) \in S$ . We have the following result.

**Lemma 2.1.** *There exists  $(a, b) \in S$  such that for  $n \geq 2$  and for all  $(z_1, z_2) \in S$ ,*

$$|az_1 + bz_2|^n + |bz_1 - az_2|^n \leq (a^2 + b^2)^n.$$

*In particular for  $n \geq 2$  and  $f \in B_X$ ,*

$$|a\varphi_1(f) + b\varphi_2(f)|^n + |b\varphi_1(f) - a\varphi_2(f)|^n \leq (a^2 + b^2)^n,$$

*and so*

$$|a\varphi_1(f) + b\varphi_2(f)| \leq a^2 + b^2 \quad \text{and} \quad |b\varphi_1(f) - a\varphi_2(f)| \leq a^2 + b^2.$$

*Proof.* For  $n = 2$  and any  $(z_1, z_2) \in S$  we have

$$\begin{aligned} |az_1 + bz_2|^2 + |bz_1 - az_2|^2 &= (az_1 + bz_2)(a\bar{z}_1 + b\bar{z}_2) + (bz_1 - az_2)(b\bar{z}_1 - a\bar{z}_2) \\ &= (a^2 + b^2)(|z_1|^2 + |z_2|^2) \leq (a^2 + b^2)^2. \end{aligned}$$

Hence  $|az_1 + bz_2| \leq a^2 + b^2$  and  $|bz_1 - az_2| \leq a^2 + b^2$  on  $S$ .

For  $n > 2$  we apply induction. Assuming that the inequality is true for  $n - 1 \geq 2$ , we get for any  $(z_1, z_2) \in S$ ,

$$|az_1 + bz_2|^n + |bz_1 - az_2|^n \leq (a^2 + b^2)\{|az_1 + bz_2|^{n-1} + |bz_1 - az_2|^{n-1}\} \leq (a^2 + b^2)^n.$$

Now, since  $\Phi$  is a contraction,  $\|\varphi_1(f)\chi_{E_1} + \varphi_2(f)\chi_{E_2}\| = \|\Phi f\| \leq 1$  for any  $f \in B_X$ . Thus  $(\varphi_1(f), \varphi_2(f)) \in S$  and the proof is done.  $\square$

Now for  $n \geq 3$  define a polynomial  $P$  on  $Y$  as

$$P(f) = (a\varphi_1(f) + b\varphi_2(f))^n.$$

It is clear that  $P$  is an  $n$ -homogeneous polynomial on  $Y$  with  $\|P\| = (a^2 + b^2)^n$ . In fact it follows from Lemma 2.1, since we have  $|P(f)| \leq (a^2 + b^2)^n$  for  $f \in B_X$ , and also  $P(a\chi_{E_1} + b\chi_{E_2}) = (a^2 + b^2)^n$ . Then the following polynomials

$$P_1(f) = (a\varphi_1(f) + b\varphi_2(f))^n,$$

$$P_2(f) = (a\varphi_1(f) + b\varphi_2(f))^n + (a^2 + b^2)(b\varphi_1(f) - a\varphi_2(f))^{n-1}\varphi(f),$$

are two distinct norm preserving extensions of  $P$  from  $Y$  to  $X$ , where  $\varphi \in B_{X^*}$  is chosen in such a way that it vanishes on  $Y$  and  $(b\varphi_1(f) - a\varphi_2(f))\varphi(f) \neq 0$  for some  $f \in X$ . In view of Lemma 2.1, it is clear that  $\|P_1\| = (a^2 + b^2)^n$ . Moreover, again applying Lemma 2.1, we get for every  $f \in B_X$

$$\begin{aligned} |P_2(f)| &\leq |a\varphi_1(f) + b\varphi_2(f)|^n + |a^2 + b^2| |b\varphi_1(f) - a\varphi_2(f)|^{n-1} \\ &\leq (|a\varphi_1(f) + b\varphi_2(f)|^{n-1} + |b\varphi_1(f) - a\varphi_2(f)|^{n-1})(a^2 + b^2) \leq (a^2 + b^2)^n, \end{aligned}$$

since  $n \geq 3$ . Since we also have  $P_2(a\chi_{E_1} + b\chi_{E_2}) = (a^2 + b^2)^n$ , it follows that  $\|P_2\| = (a^2 + b^2)^n$ .

As a conclusion of the above considerations we can state the following result.

**Theorem 2.2.** *Let  $X$  be a Banach function space such that there exist two disjoint sets  $E_i$ ,  $i = 1, 2$ , such that the projection*

$$\Phi f = \left( \frac{1}{\mu E_1} \int_{E_1} f \right) \chi_{E_1} + \left( \frac{1}{\mu E_2} \int_{E_2} f \right) \chi_{E_2}, \quad f \in X,$$

*is a contractive operator on  $X$ . Moreover, assume that  $Y$  is a proper closed subspace of  $X$  with  $\chi_{E_i} \in Y$ ,  $i = 1, 2$ .*

*If  $X$  is a real space then for  $n \geq 2$ , there exists a norm-attaining  $n$ -homogeneous polynomial  $P$  on  $Y$  which has at least two norm-preserving extensions to  $X$ . In the complex case the similar statement holds true for  $n \geq 3$ .*

If  $X$  is a r.i. space with the Fatou property over non-atomic or counting measure then for any disjoint sets  $E_i$ ,  $i = 1, 2$ , the projection  $\Phi$  on  $X$  has norm one [4]. It is also clear by the lattice properties, that for a Banach sequence space  $X$ , for any distinct  $i, j \in \mathbb{N}$ , the projection  $\Phi(x) = x(i)e_i + x(j)e_j$  on  $X$  has also norm one. Thus the following corollaries are immediate consequences of the previous result.

**Corollary 2.3.** *If  $X$  is a r.i. space with the Fatou property over non-atomic or counting measure space, then the conclusion of Theorem 2.2 is valid in  $X$  for any proper closed subspace  $Y$  in  $X$  with  $\chi_{E_i} \in Y$ ,  $i = 1, 2$ .*

**Corollary 2.4.** *For any Banach sequence space  $X$  the conclusion of Theorem 2.2 is valid in  $X$  for any proper closed subspace  $Y$  in  $X$  with  $e_i, e_j \in Y$ .*

*Example 2.5.* In this example we will show that there is a non-symmetric function space with norm one projection in Theorem 2.2. Suppose that  $p : \Omega \rightarrow [1, \infty)$  is a measurable function on a  $\sigma$ -finite measure space  $(\Omega, \mathcal{B}, \mu)$  and define the functional for each  $f \in L^0$ ,

$$I(f) = \int_{\Omega} \frac{|f(t)|^{p(t)}}{p(t)} d\mu.$$

Then Nakano space  $L^{p(t)}$  is the family of all measurable functions on  $\Omega$  with the property  $I(\lambda f) < \infty$  for some  $\lambda > 0$  with norm

$$\|f\| = \inf \{ \lambda > 0 : I(f/\lambda) \leq 1 \}.$$

It is easy to show that Nakano space  $L^{p(t)}$  is a Banach function space [14] but it is in general, not symmetric.

Suppose that  $p(t)$  has constant values  $a_i \geq 1$  on disjoint measurable sets  $E_i$ ,  $i = 1, 2$ , respectively with  $0 < \mu E_1 = \mu E_2 < \infty$ . Then the projection

$$\Phi f = \left( \frac{1}{\mu E_1} \int_{E_1} f \right) \chi_{E_1} + \left( \frac{1}{\mu E_2} \int_{E_2} f \right) \chi_{E_2}, \quad f \in L^{p(t)},$$

is a contraction. Indeed, note that for any  $\lambda > 0$ ,

$$\begin{aligned} I(\lambda\Phi f) &= \int_{\Omega} \frac{|\lambda\Phi f|^{p(t)}}{p(t)} d\mu \leq \int_{\Omega} \left( \left( \frac{1}{\mu E_1} \int |\lambda f| \right)^{a_1} \frac{\chi_{E_1}}{a_1} + \left( \frac{1}{\mu E_2} \int |\lambda f| \right)^{a_2} \frac{\chi_{E_2}}{a_2} \right) d\mu \\ &\leq \frac{1}{\mu E_1} \int_{E_1} |\lambda f|^{a_1} \int_{E_1} \frac{1}{a_1} + \frac{1}{\mu E_2} \int_{E_2} |\lambda f|^{a_2} \int_{E_2} \frac{1}{a_2} \\ &\leq \int_{E_1} \frac{|\lambda f|^{a_1}}{a_1} + \int_{E_2} \frac{|\lambda f|^{a_2}}{a_2} \\ &\leq \int_{\Omega} \frac{|\lambda f(t)|^{p(t)}}{p(t)} d\mu = I(\lambda f). \end{aligned}$$

This inequality yields that  $\|\Phi f\| \leq \|f\|$  for all  $f \in L^{p(t)}$ . Moreover we can see that  $\|\chi_{E_i}\| = \left(\frac{\mu E_i}{a_i}\right)^{\frac{1}{a_i}}$ ,  $i = 1, 2$ . So if we further assume that  $\left(\frac{\mu E_1}{a_1}\right)^{\frac{1}{a_1}} \neq \left(\frac{\mu E_2}{a_2}\right)^{\frac{1}{a_2}}$  then the norms of  $\chi_{E_i}$ ,  $i = 1, 2$ , are different although they have the same distribution. Therefore we obtain a non-symmetric space with norm one projection  $\Phi$ .

### 3. 2-HOMOGENEOUS POLYNOMIALS IN R.I. SEQUENCE SPACES

In view of the results of the previous section, our attention turns to 2-homogeneous polynomials on complex spaces. Let in this section  $X$  be a r.i. Banach sequence space. We say that  $n$ -homogeneous polynomial  $P$  on  $X^{**}$  is *finite* if there exists  $m \in \mathbb{N}$  such that

$$P(x^{**}) = P\left(\sum_{i=1}^m \langle x^{**}, e_i^* \rangle e_i\right)$$

for all  $x^{**} \in X^{**}$ , where  $e_k^*$  are bounded linear functionals on  $X$  with  $\langle e_k^*, x \rangle = x(k)$ . By symmetry of  $X$ , each permutation  $\sigma$  of  $\mathbb{N}$  induces an isometric isomorphism  $T_{\sigma} : X \rightarrow X$  such that  $T_{\sigma}x = (x(\sigma(1)), \dots, x(\sigma(n)), \dots)$  for every  $x \in X$ . Then  $T_{\sigma}^{**} : X^{**} \rightarrow X^{**}$  is also an isometric isomorphism. Notice that the above definition of a finite polynomial is more general than the one used before (e.g. [3, 9]). In particular, it can be used for certain cases of non-sequence spaces, since a bidual  $X^{**}$  of a sequence space  $X$  may not be a sequence space itself.

We start with the following observation.

**Proposition 3.1.** *An  $n$ -homogeneous polynomial  $P$  on  $X^{**}$  is finite if and only if  $P \circ T_{\sigma}^{**}$  is finite.*

*Proof.* Suppose that  $P$  is a finite  $n$ -homogeneous polynomial. Then the projection

$$\mathcal{R}x^{**} = \sum_{j=1}^m \langle x^{**}, e_j^* \rangle e_j$$

is such that  $P\mathcal{R}x^{**} = Px^{**}$ . Let  $Q = P \circ T_{\sigma}^{**}$ . Note that for every  $k \in \mathbb{N}$ ,  $\langle T_{\sigma}^{**}e_k^*, x \rangle = \langle e_k^*, T_{\sigma}x \rangle = x(\sigma(k))$ , and so  $T_{\sigma}^{**}e_k^* = e_{\sigma(k)}^*$ . Therefore

$$\begin{aligned} Q(x^{**}) &= P(T_{\sigma}^{**}x^{**}) = P(\mathcal{R}T_{\sigma}^{**}x^{**}) = P\left(\sum_{i=1}^m \langle T_{\sigma}^{**}x^{**}, e_i^* \rangle e_i\right) \\ &= P\left(\sum_{i=1}^m \langle x^{**}, T_{\sigma}^{**}e_i^* \rangle e_i\right) \\ &= P\left(\sum_{i=1}^m \langle x^{**}, e_{\sigma(i)}^* \rangle e_i\right). \end{aligned}$$

Letting  $s = \max\{\sigma(i) : i = 1, \dots, m\}$ , define

$$\mathcal{R}_s x^{**} = \sum_{j=1}^s \langle x^{**}, e_j^* \rangle e_j.$$

Clearly  $s \geq m$  and in view of the above equations

$$\begin{aligned} Q(\mathcal{R}_s x^{**}) &= P\left(\sum_{i=1}^m \langle \mathcal{R}_s x^{**}, e_{\sigma(i)}^* \rangle e_i\right) \\ &= P\left(\sum_{i=1}^m \sum_{j=1}^s \langle x^{**}, e_j^* \rangle \langle e_j, e_{\sigma(i)}^* \rangle e_i\right) \\ &= P\left(\sum_{i=1}^m \langle x^{**}, e_{\sigma(i)}^* \rangle e_i\right) = Q(x^{**}). \end{aligned}$$

Hence  $Q = P \circ T_\sigma^{**}$  is finite. The converse is clear since  $P = P \circ T_\sigma^{**} \circ T_{\sigma^{-1}}^{**}$ .  $\square$

In the case of 2-homogeneous norm-attaining polynomials we can state the following result.

**Theorem 3.2.** *Let  $X$  be a complex r.i. Banach sequence space. Suppose that for each  $x \in B_X$ , there are  $n \in \mathbb{N}$  and  $\epsilon > 0$  such that  $X^{**} = [e_1, \dots, e_n] \oplus G$  and*

$$(3.1) \quad x + \epsilon B_G \subset B_{X^{**}}.$$

*Then 2-homogeneous polynomial  $P$  on  $X^{**}$  is norm-attaining on  $X$ , i.e.,  $P(x_0) = \|P\|$  for some  $x_0 \in B_X$  if and only if  $P$  is finite.*

*Proof.* Suppose that  $P$  is finite. Then the values of  $P$  are completely determined by the elements on finite dimensional subspace of  $X$  spanned by  $\{e_1, \dots, e_n\}$  for some  $n$ , which shows that  $P$  is norm-attaining on  $X$ .

Conversely suppose that  $P(x_0) = \|P\| = 1$  for  $x_0 \in B_X$ . By the assumption, we can choose the following projection

$$\mathcal{R}_n x^{**} = \sum_{i=1}^n \langle x^{**}, e_i^* \rangle e_i.$$

Let  $\mathcal{S}_n = I - \mathcal{R}_n$ . Then

$$(\mathcal{R}_n|_X)^{**} = \mathcal{R}_n, (\mathcal{S}_n|_X)^{**} = \mathcal{S}_n,$$

and since both  $\mathcal{R}_n|_X$  and  $\mathcal{S}_n|_X$  are contractions, so  $\|\mathcal{R}_n\| = \|\mathcal{S}_n\| = 1$ . Thus

$$|P(x_0 + \lambda \mathcal{S}_n x^{**})| = |1 + 2\lambda \check{P}(x_0, \mathcal{S}_n x^{**}) + \lambda^2 P(\mathcal{S}_n x^{**})| \leq |P(x_0)| = 1,$$

for all  $x^{**} \in B_{X^{**}}$ , and for all  $|\lambda| < \epsilon$ , where  $\check{P}$  is the unique symmetric bilinear form associated with  $P$ . By the Maximum Modulus Theorem,

$$\check{P}(x_0, \mathcal{S}_n x^{**}) = P(\mathcal{S}_n x^{**}) = 0 \text{ for } x^{**} \in B_{X^{**}}.$$

Take  $y_0 = (0, \dots, 0, x_0(n+1), x_0(n+2), \dots)$ . Then  $y_0 \in B_X$  and  $\mathcal{S}_n(y_0) = y_0$ . Hence  $P(y_0) = \check{P}(x_0, y_0) = 0$ , which means that

$$P(x_0(1), \dots, x_0(n), 0, \dots) = P(x_0 - y_0) = P(x_0) + P(y_0) - 2\check{P}(x_0, y_0) = 1.$$

Let

$$N = \min\{|J| : P\left(\sum_{i \in J} x_0(i) e_i\right) = 1, J \subset \{1, \dots, n\}\},$$

where  $|J|$  denotes cardinality of  $J$ . Now choose a permutation  $\sigma : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\sigma(\{1, \dots, n\}) = \{1, \dots, n\}$ ,  $|x_0(\sigma(1))| \geq \dots \geq |x_0(\sigma(n))|$  and  $\sigma(i) = i$  for all  $i \geq n+1$ . Obviously  $N \leq n$  and  $|x_0(\sigma(N))| > 0$  and  $|x_0(\sigma(k))| = 0$  for all  $k \geq N+1$ . Let  $Q = P \circ T_{\sigma^{-1}}^{**}$ . In view of Proposition 3.1 we need only to show that  $Q$  is finite.

Now take

$$v = (x_0(\sigma(1)), \dots, x_0(\sigma(N)), 0, \dots).$$

It is clear that  $Q(v) = 1$  and  $v \in B_X$ . Thus by the assumption, there exist  $m \in \mathbb{N}$  and  $\epsilon > 0$  such that

$$(3.2) \quad |Q(v + \lambda \mathcal{S}_m x^{**})| = |Q(v) + 2\lambda \check{Q}(v, \mathcal{S}_m x^{**}) + \lambda^2 Q(\mathcal{S}_m x^{**})| \leq |Q(v)| = 1,$$

for all  $x^{**} \in B_{X^{**}}$  and for all  $|\lambda| < \epsilon$ . Again the Maximum Modulus Theorem says that  $\check{Q}(v, \mathcal{S}_m x^{**}) = Q(\mathcal{S}_m x^{**}) = 0$  for all  $x^{**} \in B_{X^{**}}$ . If  $m < N$ , then applying the similar argument as above we could show that  $Q(v_0) = 1$  where  $v_0 = (v(1), \dots, v(m), 0, \dots)$ . The latter however is a contradiction to the choice of  $N$  since

$$1 = Q(v_0) = P \circ T_{\sigma^{-1}}(v_0) = P\left(\sum_{i \in M_0} x_0(i)e_i\right)$$

for some  $M_0 \subset \mathbb{N}$  with  $|M_0| < N$ . So  $m \geq N$ . Suppose that  $m > N$ . Then we know that for every  $x \in B_X$ ,  $|\lambda| < \epsilon$ ,

$$\|v + \lambda \mathcal{S}_m x\| \leq 1.$$

Since  $X$  is a r.i. Banach sequence space,

$$\|v + \lambda \mathcal{S}_N x\| \leq 1 \text{ for all } x \in B_X.$$

Note that  $\mathcal{S}_N$  is weak\*-to-weak\* continuous. So weak\*-lower semi-continuity of norm and density of  $B_X$  in  $B_{X^{**}}$  in weak\* topology, imply that

$$(3.3) \quad \|v + \lambda \mathcal{S}_N x^{**}\| \leq 1 \text{ for all } x^{**} \in B_{X^{**}}.$$

So (3.2) holds for  $m = N$ . Therefore we may assume that  $m = N$ .

Now let  $z_1 = (v(1), \dots, v(m))$ ,  $z_2 = (v(1), v(2) - mv(2), \dots, v(m))$ ,  $\dots$ ,  $z_m = (v(1), \dots, v(m) - mv(m))$ . And let  $\tilde{z}_j = (z_j, 0, \dots)$  for  $1 \leq j \leq m$ . Note that  $\tilde{z}_1 = v$ .

For any vector  $x = (x(1), \dots, x(m)) \in \mathbb{C}^m$  we have the identity

$$\begin{aligned} (x(1), \dots, x(m)) &= \frac{1}{m} \frac{x(1)}{t(1)} (z_1 + \dots + z_m) + \sum_{j=2}^m \frac{1}{m} \frac{x(j)}{t(j)} (z_1 - z_j) \\ &= \frac{1}{m} \left( \frac{x(1)}{t(1)} + \dots + \frac{x(m)}{t(m)} \right) z_1 + \frac{1}{m} \sum_{j=2}^m \left( \frac{x(1)}{t(1)} - \frac{x(j)}{t(j)} \right) z_j. \end{aligned}$$

Therefore for  $x = (x(1), \dots, x(m), 0, \dots)$ , and each  $x^{**} \in B_{X^{**}}$ ,

$$\begin{aligned} Q(x + \mathcal{S}_m x^{**}) &= Q(x) + \frac{2}{m} \sum_{j=2}^m \left( \frac{x(1)}{t(1)} - \frac{x(j)}{t(j)} \right) \check{Q}(\tilde{z}_j, \mathcal{S}_m x^{**}) \\ &= Q(x) + \sum_{j=2}^m \left( \frac{x(1)}{t(1)} - \frac{x(j)}{t(j)} \right) \psi_j(\mathcal{S}_m x^{**}), \end{aligned}$$

where  $\psi_j(\cdot) = \frac{2}{m} \check{Q}(\tilde{z}_j, \cdot) \in X^{***}$ .

For each  $x^{**} \in B_{X^{**}}$  we will show that  $\psi_j(\mathcal{S}_m x^{**}) = 0$ . For such an  $x^{**}$ , for each  $|\lambda| < \epsilon$ , the similar argument as before (3.3) shows

$$\|v_\theta + \lambda e^{i\theta_1} \mathcal{S}_m x^{**}\| \leq 1,$$

and for each  $\theta > 0$ , there is an  $\theta_1$  such that

$$\begin{aligned} |Q(v_\theta + \lambda e^{i\theta_1} \mathcal{S}_m x^{**})| &= |Q(v_\theta) + (1 - e^{i\theta}) \psi_2(\lambda e^{i\theta_1} \mathcal{S}_m x^{**})| \\ &= |Q(v_\theta)| + |1 - e^{i\theta}| |\psi_2(\lambda \mathcal{S}_m x^{**})| \\ &\leq 1, \end{aligned}$$

where  $v_\theta = (v(1), e^{i\theta} v(2), \dots, v(m), 0, \dots)$ . Let now  $f(\theta) = |Q(v_\theta)|$  and let  $g(\theta) = |1 - e^{i\theta}| = 2 \sin(\theta/2)$  for small  $\theta > 0$ . Then  $|\psi_2(\lambda \mathcal{S}_m x^{**})| \leq \frac{1-f(\theta)}{g(\theta)}$  for any  $\lambda < \epsilon$ . Therefore

$$\sup\{|\psi_2(\mathcal{S}_m x^{**})| : x^{**} \in \epsilon B_{X^{**}}\} \leq \lim_{\theta \downarrow 0} \frac{1-f(\theta)}{g(\theta)} = \lim_{\theta \downarrow 0} \frac{-f'(\theta)}{g'(\theta)} = 0.$$

This implies that for  $x^{**} \in B_{X^{**}}$ ,  $\psi_2(\mathcal{S}_m x^{**}) = 0$ . Similar calculations show that  $\psi_3(\mathcal{S}_m x^{**}) = \dots = \psi_m(\mathcal{S}_m x^{**}) = 0$ . i.e.,  $Q(x + \mathcal{S}_m x^{**}) = Q(x)$ . Taking  $x = \mathcal{R}_m x^{**}$ ,  $Q(x^{**}) = Q(\mathcal{R}_m x^{**} + \mathcal{S}_m x^{**}) = Q(\mathcal{R}_m x^{**})$ , which shows that  $Q$  is finite and completes the proof.  $\square$



The geometric assumption (3.1) on  $X^{**}$  in the above theorem says among others that no point of  $S_X$  is a complex extreme point of  $B_X$ .

Recall that every  $n$ -homogeneous polynomial on a Banach space  $X$  has a norm-preserving extension to its bidual  $X^{**}$  [1, 2, 5].

**Corollary 3.3.** *Suppose that  $X$  is a complex r.i. sequence space and  $X$  satisfies (3.1). Then a 2-homogeneous polynomial  $P$  on  $X$  attains its norm if and only if it is finite.*

*Proof.* Let  $Q$  be a norm-preserving extension of  $P$  to  $X^{**}$ . Then  $Q$  attains its norm on  $B_X$ , and by Theorem 3.2,  $Q$  is finite. So there is  $m \in \mathbb{N}$  such that for every  $x \in X$ ,

$$P(x) = Q(x) = Q\left(\sum_{i=1}^m x(i)e_i\right) = P\left(\sum_{i=1}^m x(i)e_i\right),$$

which completes the proof.  $\square$

**Corollary 3.4.** *Suppose that  $X$  is a complex r.i. sequence space and  $X$  satisfies (3.1). Every 2-homogeneous norm-attaining polynomial  $P$  on  $X$  has a unique norm-preserving extension to its bidual  $X^{**}$ .*

*Proof.* Let  $Q_1$  and  $Q_2$  be norm-preserving extensions of  $P$  from  $X$  to  $X^{**}$ . Then, by Theorem 3.2,  $Q_1$  and  $Q_2$  are finite. So there are  $m_1, m_2 \in \mathbb{N}$  such that for each  $x^{**} \in X^{**}$ ,

$$Q_1(x^{**}) = Q_1\left(\sum_{i=1}^{m_1} \langle x^{**}, e_i^* \rangle e_i\right) = \sum_{i=1}^{m_1} \sum_{j=1}^i a_{ij} \langle x^{**}, e_i^* \rangle \langle x^{**}, e_j^* \rangle,$$

$$Q_2(x^{**}) = Q_2\left(\sum_{s=1}^{m_2} \langle x^{**}, e_s^* \rangle e_s\right) = \sum_{s=1}^{m_2} \sum_{t=1}^s b_{st} \langle x^{**}, e_s^* \rangle \langle x^{**}, e_t^* \rangle,$$

for some complex numbers  $a_{ij}, b_{st}$ . They are equal on  $X$  so that there is  $l \leq \min\{m_1, m_2\}$  such that  $a_{ij} = b_{ij}$  for all  $1 \leq j \leq i \leq l$  and  $a_{ij} = 0 = b_{st}$  otherwise. So  $Q_1(x^{**}) = Q_2(x^{**})$  for every  $x^{**} \in X^{**}$ . This completes the proof.  $\square$

It is easy to show that  $c_0$  satisfies the assumptions of Theorem 3.2, and thus we get immediately by Corollary 3.4, the following result proved in [3].

**Corollary 3.5.** [3] *Every norm-attaining 2-homogeneous polynomial on a complex  $c_0$  has a unique norm-preserving extension to  $\ell_\infty$ . In particular, the polynomial is finite.*

It is worth also to add here that at the end of section 6 we state a stronger result (Corollary 6.5) for some renormings of  $c_0$  and  $\ell_\infty$ .

The following example shows that the assumptions on  $X$  in Theorem 3.2 are essential.

*Example 3.6.* Consider the space  $\ell_\infty$  with the equivalent norm

$$\|x\| = |x(1)| + |x(2)| + \sup\{|x(n)| : n \geq 3\}.$$

It is not difficult to see that  $(\ell_\infty, \|\cdot\|)$  is not symmetric and does not satisfy the assumption (3.1) of Theorem 3.2. It is also clear that  $c_0$  is an order continuous subspace of  $(\ell_\infty, \|\cdot\|)$ . So  $(c_0, \|\cdot\|)^{**} = (\ell_\infty, \|\cdot\|)$ . Define on  $\ell_\infty$ , 2-homogeneous polynomials

$$P(x) = x(1)^2, \quad Q(x) = x(1)^2 + x(2) \sum_{k=3}^{\infty} \frac{x(k)}{2^{k-2}}.$$

Then  $P$  is norm-attaining on  $c_0$  and  $\|P\| = 1$ . Note that for each  $\|x\| \leq 1, x \in \ell_\infty$ ,

$$Q(e_1) = 1 \quad \text{and} \quad |Q(x)| \leq 1.$$

This shows that  $Q$  is norm-attaining on  $c_0$  but it is not finite. In addition, choose a norm one linear functional  $\varphi$  on  $\ell_\infty$  which vanishes on  $c_0$ . Letting

$$P_1(x) = x(1)^2 \quad \text{and} \quad P_2(x) = x(1)^2 + x(2)\varphi(x),$$

they are both norm-preserving extensions of  $P$  to  $\ell_\infty$ . Thus the conclusions of Theorem 3.2 and Corollary 3.4 are not valid for  $(\ell_\infty, \|\cdot\|)$  and  $(c_0, \|\cdot\|)$ , respectively. We shall provide another example of this sort at the end of section 6 (cf. Example 6.6).

As for the norm-attaining bounded linear functional, we can obtain the following result.

**Proposition 3.7.** *Suppose  $X$  is a complex r.i. sequence space and  $X$  satisfies (3.1). Then a bounded linear functional  $\varphi$  on  $X$  attains its norm if and only if it is finite. Moreover, every norm-attaining bounded linear functional on  $X$  has a unique norm-preserving extension to  $X^{**}$ .*

*Proof.* If  $\varphi$  is finite, then it is clearly norm-attaining since its values depends only on a finite dimensional subspace of  $X$ .

Conversely, suppose that  $\varphi(x_0) = \|\varphi\| = 1$  for some  $x_0 \in B_X$ . Then by the assumption, there are  $n \in \mathbb{N}$  and  $\epsilon > 0$  so that for every  $|\lambda| < \epsilon$  and for every  $y = (0, \dots, 0, y(n+1), \dots) \in B_X$ ,

$$|\varphi(x_0 + \lambda y)| = |\varphi(x_0) + \lambda \varphi(y)| \leq 1.$$

By the Maximum Modulus Theorem  $\varphi(y) = 0$  for such an  $y$ . So for every  $x \in X$ ,  $\varphi(\mathcal{S}_n x) = 0$  and thus

$$\varphi(x) = \varphi(\mathcal{R}_n x) = \sum_{i=1}^n x_i \varphi(e_i) = \sum_{i=1}^n \langle e_i^*, x \rangle \varphi(e_i),$$

which means that  $\varphi$  is finite. Moreover it has a natural extension  $\bar{\varphi}$  to  $X^{**}$ , defined by

$$\bar{\varphi}(x^{**}) = \sum_{i=1}^n \langle x^{**}, e_i^* \rangle \varphi(e_i).$$

Now, if  $\varphi$  has a norm-preserving extension  $\phi$  to  $X^{**}$ , then similar arguments as above applied to  $\phi$  and it shows that  $\phi$  is finite. Since  $\bar{\varphi}$  and  $\phi$  are equal on  $X$ , so they must be equal on  $X^{**}$  too. The proof is done.  $\square$

#### 4. $M$ -IDEAL PROPERTIES OF MARCINKIEWICZ FUNCTION SPACES $M_\Psi$ , $L^1 + L^\infty$ AND $L^1 \cap L^\infty$

Let  $L^0 = L^0(I, \mathcal{B}, \mu)$  be the space of all Lebesgue measurable functions on  $I$ , where  $I = (0, 1)$  or  $I = (0, \infty)$ ,  $\mu$  is the Lebesgue measure on  $\sigma$ -algebra  $\mathcal{B}$  of the Lebesgue measurable subsets of  $I$ . For any  $f \in L^0$  the *decreasing rearrangement* of  $f$  is the function  $f^*$  defined by

$$f^*(t) = \inf\{\lambda > 0 : \mu_f(\lambda) \leq t\},$$

where  $\mu_f$  is the distribution function of  $f$ .

*Definition 4.1.* Let  $\Psi : [0, \infty) \rightarrow [0, \infty)$ ,  $\Psi(0) = 0$ ,  $\Psi$  be increasing, and  $\Psi(u) > 0$  for  $u > 0$ . Then the *Marcinkiewicz space*  $M_\Psi$  (called also *weak Lorentz space*) is the collection of all functions  $f \in L^0$  such that

$$\|f\| = \|f\|_{M_\Psi} = \sup_{t>0} \frac{\int_0^t f^*}{\Psi(t)} < \infty.$$

Without loss of generality we can add (and we will) in the above definition the assumption that the function  $\Psi(t)/t$  is decreasing on  $(0, \infty)$ . In fact, let's define

$$\widehat{\Psi}(t) = t \inf\{\Psi(s)/s : 0 < s \leq t\}, \quad t > 0.$$

Then it is not hard to show that  $\widehat{\Psi}$  is increasing and  $\widehat{\Psi}(t)/t$  is decreasing. For instance, if  $0 < t_1 < t_2$  then

$$\begin{aligned} \widehat{\Psi}(t_2) &= t_2 \min\{\inf\{\Psi(s)/s : 0 < s \leq t_1\}, \inf\{\Psi(s)/s : t_1 \leq s \leq t_2\}\} \\ &= \min\{t_2 \inf\{\Psi(s)/s : 0 < s \leq t_1\}, \Psi(t_1)\} \\ &\geq t_1 \min\{\inf\{\Psi(s)/s : 0 < s \leq t_1\}, \Psi(t_1)/t_1\} = \widehat{\Psi}(t_1). \end{aligned}$$

Notice also that  $M_\Psi$  is not trivial if and only if  $\widehat{\Psi}(t) > 0$  for  $t > 0$ . Finally we have that  $M_\Psi = M_{\widehat{\Psi}}$  with equality of norms. In fact, since  $\widehat{\Psi}(t) \leq \Psi(t)$ ,  $\|f\|_{M_\Psi} \leq \|f\|_{M_{\widehat{\Psi}}}$ . On the other hand for any  $0 < s \leq t$ ,

$$t \frac{1}{s} \int_0^s f^* = t \frac{\Psi(s)}{s} \frac{\int_0^s f^*}{\Psi(s)} \leq t \frac{\Psi(s)}{s} \|f\|_{M_\Psi},$$

and so

$$\int_0^t f^* = t \inf \left\{ \frac{1}{s} \int_0^s f^* : 0 < s \leq t \right\} \leq t \inf_{0 < s \leq t} \frac{\Psi(s)}{s} \|f\|_{M_\Psi} = \widehat{\Psi}(t) \|f\|_{M_\Psi},$$

which yields  $\|f\|_{M_{\widehat{\Psi}}} \leq \|f\|_{M_\Psi}$ .

In view of the above remarks we shall assume further in this section that  $\Psi : [0, \infty) \rightarrow [0, \infty)$ ,  $\Psi(0) = 0$ ,  $\Psi(t) > 0$  for  $t > 0$ ,  $\Psi$  is increasing and  $\Psi(t)/t$  is decreasing on  $(0, \infty)$  i.e.,  $\Psi$  is *quasi-concave*.

*Definition 4.2.*  $M_\Psi^0$  is a subspace of  $M_\Psi$  consisting of all  $f \in M_\Psi$  satisfying

$$\lim_{t \rightarrow 0^+} \frac{\int_0^t f^*}{\Psi(t)} = 0 \quad \text{in case when } I = (0, 1),$$

and

$$\lim_{t \rightarrow 0^+, \infty} \frac{\int_0^t f^*}{\Psi(t)} = 0 \quad \text{in case when } I = (0, \infty).$$

Most of the following basic facts about  $M_\Psi$  and  $M_\Psi^0$  are well known (cf. [11]). We collect them here for the sake of completeness.

**Theorem 4.3.** (1)  $M_\Psi$  is a r.i. Banach function space with the Fatou property.

(2)  $M_\Psi^0 \neq \{0\}$  if and only if  $\inf_{t>0} \frac{t}{\Psi(t)} = 0$ . If  $I = (0, 1)$  (resp.  $I = (0, \infty)$ ) then the support of  $M_\Psi^0$  is equal to  $(0, 1)$  (resp.  $(0, \infty)$ ), that is there exists  $h \in M_\Psi^0$  with  $h > 0$  a.e. in  $I$ , if and only if

$$(4.1) \quad \inf_{t>0} \frac{t}{\Psi(t)} = 0$$

$$(4.2) \quad \left( \text{resp. } \inf_{t>0} \frac{t}{\Psi(t)} = 0 \quad \text{and} \quad \sup_{t>0} \Psi(t) = \infty \right).$$

(3) If  $\Psi$  satisfies condition (4.1) when  $I = (0, 1)$  (resp. (4.2) when  $I = (0, \infty)$ ), then  $M_\Psi^0$  is the subspace of all order continuous elements of  $M_\Psi$ .

(4) If  $\Psi$  satisfies condition (4.1) when  $I = (0, 1)$  (resp. (4.2) when  $I = (0, \infty)$ ), then  $M_\Psi^0$  is the closure of all simple (or bounded) functions with support of finite measure.

*Proof.* (1) It can be shown directly by definition and the properties of decreasing rearrangement  $f^*$  (cf. [4]). For (2), it is enough to observe that if  $\chi_{(0,a)} \in M_\Psi^0$  then for  $0 < t < a$

$$\frac{\int_0^t \chi_{(0,a)}}{\Psi(t)} = \frac{t}{\Psi(t)},$$

and for  $t > a$

$$\frac{\int_0^t \chi_{(0,a)}}{\Psi(t)} = \frac{a}{\Psi(t)}.$$

We shall show (3), (4) only in the case when  $I = (0, \infty)$ . Let  $0 < f_n \leq f \in M_\Psi^0$  and  $f_n \downarrow 0$ . Given  $\epsilon > 0$ , there exist  $0 < t_0 < t_1 < \infty$  such that

$$\sup_{0 < t < t_0} \frac{\int_0^t f^*}{\Psi(t)} < \epsilon \quad \text{and} \quad \sup_{t_1 < t < \infty} \frac{\int_0^t f^*}{\Psi(t)} < \epsilon.$$

By the dominated Lebesgue theorem, there exists  $N$  such that for all  $n > N$

$$\int_0^{t_1} f_n^* < \epsilon \Psi(t_0).$$

Hence for  $n > N$ ,

$$\|f_n\| \leq \sup_{0 < t < t_0} \frac{\int_0^t f^*}{\Psi(t)} + \sup_{t_1 < t < \infty} \frac{\int_0^t f^*}{\Psi(t)} + \frac{\int_0^{t_1} f_n^*}{\Psi(t_0)} < 3\epsilon.$$

So every element in  $M_\Psi^0$  is order continuous. This means that  $M_\Psi^0$  is contained in the closure of all simple (or bounded) functions with support of finite measure. If conditions (4.2) are satisfied, then the closure of the set of all simple functions with support of finite measure is  $M_\Psi^0$ . This proves

(4). Moreover  $M_{\Psi}^0$  is the subspace of all order continuous elements in  $M_{\Psi}$ . This shows (3) and completes the proof.  $\square$

Now, we investigate when  $M_{\Psi}^0$  is an  $M$ -ideal in  $M_{\Psi}$ . The next theorem extends the already known result for some functions  $\Psi$  (cf. [7]).

**Theorem 4.4.** *If  $I = (0, 1)$  and  $\Psi$  satisfies condition (4.1), then  $M_{\Psi}^0$  is an  $M$ -ideal in  $M_{\Psi}$ .*

*If  $I = (0, \infty)$  and  $\Psi$  satisfies conditions (4.2) and additional condition  $\inf_{t>0} \Psi(t)/t = 0$ , then  $M_{\Psi}^0$  is an  $M$ -ideal in  $M_{\Psi}$ .*

*Proof.* In the proof we shall use the 3-ball property (see Theorem 1.1), that is we show that for every  $f \in B_{M_{\Psi}}$ , every  $f_i \in B_{M_{\Psi}^0}$ ,  $i = 1, 2, 3$ , and  $\epsilon > 0$  there exists  $g \in B_{M_{\Psi}^0}$  such that  $\|f + f_i - g\| \leq 1 + \epsilon$ ,  $i = 1, 2, 3$ .

Let first  $I = (0, 1)$ . By density of bounded functions in  $M_{\Psi}^0$  we can take  $f_i$  bounded. By the assumption  $\inf_{t>0} t/\Psi(t) = 0$ , there exists  $b > 0$  such that for all  $0 < t \leq b$

$$\frac{\int_0^t f_i^*}{\Psi(t)} \leq \frac{Mt}{\Psi(t)} \leq \frac{Mb}{\Psi(b)} < \epsilon,$$

where  $|f_i(x)| \leq M$ ,  $x \in (0, 1)$ ,  $i = 1, 2, 3$ . Also we choose  $0 < c \leq b$  such that

$$\frac{\int_0^c f^*}{\Psi(b)} \leq \epsilon.$$

Setting

$$g = f\chi_{\{s: |f(s)| \leq f^*(c)\}},$$

it is clear that  $g \in B_{M_{\Psi}^0}$ . Moreover, for  $0 < t \leq b$ ,  $i = 1, 2, 3$

$$\frac{\int_0^t (f_i + f - g)^*}{\Psi(t)} \leq \frac{\int_0^t f_i^*}{\Psi(t)} + \frac{\int_0^t (f - g)^*}{\Psi(t)} \leq \epsilon + \frac{\int_0^t f^*}{\Psi(t)} \leq 1 + \epsilon.$$

We also have

$$(f - g)^*(s) \leq f^*\chi_{(0,c)}(s), \quad s \in I.$$

Hence for  $t \geq b$ ,  $i = 1, 2, 3$

$$\frac{\int_0^t (f_i + f - g)^*}{\Psi(t)} \leq \|f_i\| + \frac{\int_0^c f^*}{\Psi(b)} \leq 1 + \epsilon.$$

Combining the above inequalities we get  $\|f_i + f - g\| \leq 1 + \epsilon$ .

Now let  $I = (0, \infty)$ . Note that for every  $f \in M_{\Psi}$

$$\limsup_{t \rightarrow \infty} \frac{\int_0^t f^*}{\Psi(t)} = \limsup_{t \rightarrow \infty} \frac{\frac{1}{t} \int_0^t f^*}{\frac{\Psi(t)}{t}} \leq \sup_{t > 0} \frac{\int_0^t f^*}{\Psi(t)} < \infty,$$

which means that

$$\lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t f^* = \lim_{t \rightarrow \infty} f^*(t) = 0.$$

Since  $f_i \in M_{\Psi}^0$ , there are  $0 < b_1 < b_2$  such that for all  $t < b_1$  or all  $t > b_2$ ,

$$\frac{\int_0^t f_i^*}{\Psi(t)} < \epsilon,$$

for  $i = 1, 2, 3$ . Choose  $\eta > 0$  so small that  $\eta \frac{b_2}{\Psi(b_1)} < \epsilon$  and take  $0 < c \leq b_1$  for which

$$\frac{\int_0^c f^*}{\Psi(b_1)} \leq \epsilon.$$

Setting

$$g = f\chi_{\{s: \eta < |f(s)| \leq f^*(c)\}},$$

we have  $g \in M_{\Psi}^0$ . Indeed, there is  $T > 0$  such that

$$f^*(T) = \inf\{s > 0 : \mu_f(s) \leq T\} < \eta.$$

So there is  $0 < s < \eta$  such that  $\mu_f(s) \leq T$ . Hence  $\mu_f(\eta) = \mu\{|f| > \eta\} \leq T$  and

$$\lim_{t \rightarrow \infty} \frac{\int_0^t g^*}{\Psi(t)} \leq \lim_{t \rightarrow \infty} \frac{\int_0^T f^*}{\Psi(t)} = 0.$$

Moreover,

$$\lim_{t \rightarrow 0^+} \frac{\int_0^t g^*}{\Psi(t)} \leq \lim_{t \rightarrow 0^+} \frac{tf^*(c)}{\Psi(t)} = 0.$$

For  $i = 1, 2, 3$  and  $0 < t \leq b_1$  or  $t \geq b_2$ ,

$$\frac{\int_0^t (f_i + f - g)^*}{\Psi(t)} \leq \frac{\int_0^t f_i^*}{\Psi(t)} + \frac{\int_0^t f^*}{\Psi(t)} \leq 1 + \epsilon.$$

For  $i = 1, 2, 3$  and  $b_1 \leq t \leq b_2$ ,

$$\begin{aligned} \frac{\int_0^t (f_i + f - g)^*}{\Psi(t)} &\leq \frac{\int_0^t (f_i + f\chi_{\{|f| \leq \eta\}} \cup \{|f| > f^*(c)\})^*}{\Psi(t)} \leq \frac{\int_0^t f_i^* + \int_0^t (f\chi_{\{|f| \leq \eta\}})^* + \int_0^t (f\chi_{\{|f| > f^*(c)\}})^*}{\Psi(t)} \\ &\leq \frac{\int_0^{b_1} f_i^* + \int_{b_1}^t f_i^* + \int_0^c f^* + t\eta}{\Psi(t)} \leq \frac{\int_0^{b_1} f_i^* + b_1\eta}{\Psi(t)} + \frac{\int_{b_1}^t f_i^* + (t - b_1)\eta}{\Psi(t)} + \frac{\int_0^c f^*}{\Psi(b_1)} \\ &\leq \frac{\int_0^{b_1} f_i^* + b_1\eta}{\Psi(b_1)} + \frac{\int_{b_1}^t f_i^* + \eta(b_2 - b_1)}{\Psi(t)} + \epsilon \leq \epsilon + \eta \frac{b_1}{\Psi(b_1)} + 1 + \eta \frac{(b_2 - b_1)}{\Psi(b_1)} + \epsilon \\ &< 1 + 4\epsilon. \end{aligned}$$

These inequalities complete the proof.  $\square$

We will see later (Remark 4.8) that the assumption  $\inf_{t>0} \Psi(t)/t = 0$  in the case of  $I = (0, \infty)$  cannot be skipped.

It is well known that if  $\Psi$  is quasi-concave, then there is an increasing concave function  $\tilde{\Psi}$  on  $I$  such that  $\Psi(t) \leq \tilde{\Psi}(t) \leq 2\Psi(t)$  on  $I$  (cf. Proposition 5.10 in [4]). It is easy to show that  $\|\cdot\|_{M_{\tilde{\Psi}}} \approx \|\cdot\|_{M_{\Psi}}$ . So we can obtain an equivalent norm on  $M_{\Psi}$ , which is induced by an increasing concave function on  $I$ .

**Theorem 4.5.** *If  $\Psi$  satisfies (4.1) in the case when  $I = (0, 1)$  (resp. (4.2) and  $\inf_{t>0} \Psi(t) = 0$  in the case when  $I = (0, \infty)$ ), then  $M_{\Psi}$  is the bidual of  $M_{\Psi}^0$ .*

*Proof.* Assume first that  $\Psi$  is concave. By conditions (4.1) (resp. (4.2)),  $M_{\Psi}^0$  is the set of all order continuous elements of  $M_{\Psi}$  and contains all characteristic functions with support of finite measure. It follows that  $(M_{\Psi}^0)^* = (M_{\Psi})'$ , where  $(M_{\Psi})'$  is the associate space of  $M_{\Psi}$ .

If  $\|f\|_{M_{\Psi}} \leq 1$ , then for all  $t > 0$ ,

$$\int_0^t f^* \leq \Psi(t).$$

Take a simple function  $g^* = \sum_{i=1}^n a_i \chi_{(0, t_i]}$ , where  $0 < t_1 < \dots < t_n$ , and  $a_i \geq 0$ . Then

$$\int_I g^* f^* \leq \sum_{i=1}^n a_i \Psi(t_i) = \int_I g^* d\Psi,$$

where the Lebesgue-Stieltjes integral is well-defined since  $\Psi$  is continuous on  $[0, \infty)$ . By the Fatou property for all  $g$  in  $L^0$ ,

$$\|g\|_{(M_{\Psi})'} \leq \int_I g^* d\Psi.$$

Since  $\Psi(t)$  is a continuous concave function on  $I$ , there is an integral representation

$$\Psi(t) = \int_0^t h^*(s) ds,$$

on  $I$  for some  $h$  in  $L^0$  [4]. Then  $\|h\|_{M_{\Psi}} \leq 1$ , and for any  $g \in L^0$ ,

$$\int_I h^* g^* = \int_I g^* d\Psi.$$

So we get the reverse inequality

$$\|g\|_{(M_\Psi)'} \geq \int_I g^* d\Psi.$$

Therefore the associate space

$$(M_\Psi)' = \left\{ g \in L^0 : \int_I g^* d\Psi < \infty \right\},$$

which is a Lorentz space, must be order continuous [11]. In general, if  $\Psi$  is not concave then  $\| \cdot \|_{M_\Psi} \approx \| \cdot \|_{M_{\tilde{\Psi}}}$ , and hence  $\| \cdot \|_{(M_\Psi)'} \approx \| \cdot \|_{(M_{\tilde{\Psi}})'}$ . Since  $(M_{\tilde{\Psi}})'$  is order continuous,  $(M_\Psi)'$  is order continuous too. Then order continuity of  $(M_\Psi)'$  implies  $(M_\Psi^0)^{**} = (M_\Psi)'^* = (M_\Psi)'' = M_\Psi$ , by the Fatou property of  $\| \cdot \|_{M_\Psi}$ . This completes the proof.  $\square$

Notice that the assumption  $\inf_{t>0} \Psi(t) = 0$  cannot be skipped in the above theorem (cf. Remark 4.10).

Now let's turn our attention to spaces

$$\Sigma = L^1 + L^\infty \quad \text{and} \quad \Delta = L^1 \cap L^\infty,$$

on  $I = (0, \infty)$ . They are equipped with the following norms.

$$(4.3) \quad \|f\|_\Sigma = \inf\{\|g\|_1 + \|h\|_\infty : f = g + h, g \in L^1, h \in L^\infty\} = \int_0^1 f^*,$$

$$\|f\|_\Sigma = \inf\{\max\{\|g\|_1, \|h\|_\infty\} : f = g + h, g \in L^1, h \in L^\infty\},$$

$$\|f\|_\Delta = \max\{\|f\|_1, \|f\|_\infty\},$$

$$\|f\|_\Delta = \|f\|_1 + \|f\|_\infty.$$

It is obvious that  $\| \cdot \|$  and  $\| \cdot \|$  are equivalent. The equality in (4.3) is well known and can be found e.g. in [4]. It is also well known [8] that  $(\Sigma, \| \cdot \|_\Sigma)' = (\Delta, \| \cdot \|_\Delta)$  and  $(\Sigma, \| \cdot \|_\Sigma)' = (\Delta, \| \cdot \|_\Delta)$ . Moreover,

$$\Sigma_0 = \{f \in \Sigma : \lim_{t \rightarrow \infty} f^*(t) = 0\},$$

where  $\Sigma_0$  is a subspace of all order continuous elements of  $\Sigma$  (cf. [4, 11]).

It appears that for certain choice of  $\Psi$ , the Marcinkiewicz space  $M_\Psi$  coincides with  $\Sigma$ , and  $M_\Psi^0$  with  $\Sigma_0$ . In fact we have the following result.

**Proposition 4.6.** *The norms  $\| \cdot \|_{M_\Psi}$  and  $\| \cdot \|_\Sigma$  are equal if and only if for  $t > 0$*

$$\Psi(0) = 0 \quad \text{and} \quad \Psi(t) = \max\{t, 1\},$$

*and they are equivalent if and only if for  $t > 0$*

$$\Psi(0) = 0 \quad \text{and} \quad \Psi(t) \approx \max\{t, 1\}.$$

*Consequently if  $I = (0, \infty)$  and  $\lim_{t \rightarrow 0^+} \Psi(t) = \alpha > 0$  and  $\lim_{t \rightarrow \infty} \Psi(t)/t = \beta > 0$  then the spaces  $M_\Psi^0$  and  $\Sigma_0$  coincide as sets with equivalent norms.*

*Proof.* If  $\| \cdot \|_{M_\Psi}$  and  $\| \cdot \|_\Sigma$  are equal, then for  $t > 0$ ,

$$\|\chi_{(0,t)}\|_\Psi = \frac{t}{\Psi(t)} = \|\chi_{(0,t)}\|_\Sigma = \min\{t, 1\}.$$

Hence  $\Psi(t) = \max\{t, 1\}$ , for  $t > 0$ . Conversely suppose that  $\Psi(t) = \max\{t, 1\}$  for  $t > 0$ . Then

$$\sup_{t>0} \frac{\int_0^t f^*}{\max\{t, 1\}} = \max \left\{ \sup_{0<t \leq 1} \int_0^t f^*, \sup_{t>1} \frac{1}{t} \int_0^t f^* \right\} = \int_0^1 f^*,$$

which shows that the two norms are equal. The similar calculation shows the condition for the equivalence of the norms.  $\square$

Let  $\|\cdot\|$  be an equivalent norm to  $\|\cdot\|_\Sigma$  or to  $\|\cdot\|_\Delta$ . Then it is not difficult to see that  $\ell_1$  is isomorphically embedded in  $(\Sigma_0, \|\cdot\|)$ . Therefore (see Theorem 1.1)  $(\Sigma_0, \|\cdot\|)$  is not an  $M$ -embedded space.

In the next two propositions we calculate the exact norms of the duals  $(\Sigma, \|\cdot\|_\Sigma)^*$  and  $(\Sigma, \|\cdot\|_\Delta)^*$ , which provide the answer to the question when  $\Sigma_0$  is an  $M$ -ideal in  $\Sigma$ . In the sequel  $\|\cdot\|_1$  and  $\|\cdot\|_\infty$  will denote the norms in  $L^1$  or  $L^\infty$ , respectively.

**Proposition 4.7.** *The following equalities hold true.*

$$(\Sigma, \|\cdot\|_\Sigma)^* = \Sigma_0^* \oplus \Sigma_0^\perp \simeq (\Delta, \|\cdot\|_\Delta) \oplus \Sigma_0^\perp.$$

Moreover for any  $F \in \Sigma^*$ ,

$$F = F_1 + F_2,$$

with  $F_2 \in \Sigma_0^\perp$  and

$$F_1(g) = \int g f_1,$$

for some  $f_1 \in (\Delta, \|\cdot\|_\Delta)$ , and

$$\|F\| = \max\{\|f_1\|_\infty, \|f_1\|_1 + \|F_2\|\}.$$

Consequently,  $\Sigma_0$  is not an  $M$ -ideal of  $(\Sigma, \|\cdot\|_\Sigma)$ .

*Proof.* The equalities  $(\Sigma, \|\cdot\|_\Sigma)^* = \Sigma_0^* \oplus \Sigma_0^\perp \simeq (\Delta, \|\cdot\|_\Delta) \oplus \Sigma_0^\perp$  up to equivalence in norms is a consequence of the well known results on duals in Banach function spaces (cf. Theorem 102.6, Theorem 102.7 in [13]).

Now let  $F \in \Sigma^*$  and let  $\tilde{F}_1 = F|_{\Sigma_0}$ . Then there is  $f_1 \in \Sigma_0^* = \Sigma'$  such that  $\tilde{F}_1(g) = \int f_1 g$  for all  $g \in \Sigma_0$  and  $\|\tilde{F}_1\| = \|f_1\|_{\Sigma'} = \|f_1\|_\Delta$ . Then define  $F_1(g) = \int f_1 g$  for all  $g \in \Sigma$ , and let  $F_2 = F - F_1$ . Then  $F_2|_{\Sigma_0} = 0$  and  $\|\tilde{F}_1\| = \|F_1\|$ .

For each  $f = g + h$  with  $g \in L^1$  and  $h \in L^\infty$ , we have  $F_2(g) = 0$ , and so

$$\begin{aligned} |F(g+h)| &\leq \left| \int f_1 g \right| + \left| \int f_1 h \right| + |F_2(h)| \\ &\leq \|f_1\|_\infty \|g\|_1 + \|f_1\|_1 \|h\|_\infty + \|F_2\| \|h\|_\Sigma \\ &\leq \|f_1\|_\infty \|g\|_1 + (\|f_1\|_1 + \|F_2\|) \|h\|_\infty \\ &\leq (\|g\|_1 + \|h\|_\infty) \max\{\|f_1\|_\infty, \|f_1\|_1 + \|F_2\|\} \end{aligned}$$

Therefore,  $\|F\| \leq \max\{\|f_1\|_\infty, \|f_1\|_1 + \|F_2\|\}$ .

Conversely, given  $\epsilon > 0$  there exist  $g \in L^1$ ,  $h \in L^\infty$  such that  $\|g\|_1 + \|h\|_\infty \leq 1 + \epsilon$  and  $\|F_2\| \leq \operatorname{Re} F_2(h) + \epsilon$ . For each  $N \geq 1$ , Let  $f = \operatorname{sign}(f_1)\chi_{[0,N]} + h\chi_{[N,\infty)}$ . Then  $|f| = \chi_{[0,N]} + |h|\chi_{[N,\infty)}$ , and so  $\|f\|_\Sigma = \int_0^1 f^* \leq 1 + \epsilon$ . Thus

$$\begin{aligned} \operatorname{Re} F(f) &= \int_0^N |f_1| + \operatorname{Re} \left( \int_N^\infty f_1 h \right) + \operatorname{Re} F_2(\operatorname{sign}(f_1)\chi_{[0,N]} + h\chi_{[N,\infty)}) \\ &= \int_0^N |f_1| + \operatorname{Re} \left( \int_N^\infty f_1 h \right) + \operatorname{Re} F_2(h) \\ &\geq \int_0^N |f_1| + \operatorname{Re} \left( \int_N^\infty f_1 h \right) + \|F_2\| - \epsilon \end{aligned}$$

Therefore

$$\|F\| \geq \frac{1}{1+\epsilon} (\|F_2\| - \epsilon + \operatorname{Re} \left( \int_N^\infty f_1 h \right) + \int_0^N |f_1|)$$

for all  $\epsilon > 0$  and all  $N \geq 1$ . Since  $\int_N^\infty f_1 h \rightarrow 0$  as  $N \rightarrow \infty$ , so  $\|F\| \geq \|F_2\| + \|f_1\|_1$ . Clearly,  $\|F\| \geq \|\tilde{F}_1\| = \|f_1\|_\Delta \geq \|f_1\|_\infty$ . Hence  $\|F\| = \max\{\|f\|_\infty, \|f_1\|_1 + \|F_2\|\}$ .

Now suppose that  $\Sigma_0$  is an  $M$ -ideal of  $\Sigma$ . Then there is a projection  $P : \Sigma^* \rightarrow \Sigma^*$  such that the range of  $P$  is  $\Sigma_0^\perp$  and for each  $F \in \Sigma^*$ ,  $\|F\| = \|PF\| + \|(I-P)F\|$ . Note that  $PF = F_2$  and  $(I-P)F = F_1$  so that we can choose  $f_1 = \chi_{[0,1/2)}$  and  $F_2$  with  $\|F_2\| = 1$ . Then by the above calculations  $\|F\| = 3/2$ . But on the other hand we must have  $\|F\| = \|PF\| + \|(I-P)F\| = \|F_2\| + \|f_1\|_\Delta = 2$ , which is a contradiction.  $\square$

*Remark 4.8.* By Proposition 4.6,  $(\Sigma, \|\cdot\|_\Sigma) = M_\Psi$ , where  $\Psi(t) = \max\{t, 1\}$ ,  $t > 0$ . Thus  $\inf_{t>0} \Psi(t)/t = 1$ , and so the assumption in Theorem 4.4 is not satisfied. Since  $\Sigma_0$  is not an  $M$ -ideal in  $(\Sigma, \|\cdot\|_\Sigma)$ , we see that the assumption  $\inf_{t>0} \Psi(t)/t = 0$  cannot be omitted in Theorem 4.4.

The following proposition shows that if we use another equivalent norm in  $\Sigma$ , the  $M$ -ideal properties are remarkably changed.

**Proposition 4.9.** *The following equalities are satisfied*

$$(\Sigma, \|\cdot\|_\Sigma)^* = \Sigma_0^* \oplus \Sigma_0^\perp = (\Delta, \|\cdot\|_\Delta) \oplus \Sigma_0^\perp.$$

Moreover for  $F \in \Sigma^*$ ,

$$F = F_1 + F_2,$$

where  $F_2 \in \Sigma_0^\perp$  and

$$F_1(g) = \int g f_1,$$

for some  $f_1 \in (\Delta, \|\cdot\|_\Delta)$ , and

$$\|F\| = \|F_1\| + \|F_2\| = \|f_1\|_\infty + \|f_1\|_1 + \|F_2\|.$$

Therefore  $\Sigma_0$  is an  $M$ -ideal of  $(\Sigma, \|\cdot\|_\Sigma)$ .

*Proof.* By the same method as in the proof of the previous proposition, we can get a decomposition  $F = F_1 + F_2$  with  $F_2|_{\Sigma_0} = 0$ ,  $F_1(g) = \int f_1 g$  for all  $g \in \Sigma$ , and  $\|F_1\| = \|f_1\|_\Delta$ .

For each  $f = g + h \in \Sigma$  with  $g \in L^1$  and  $h \in L^\infty$ ,

$$\begin{aligned} |F(g+h)| &\leq \left| \int f_1(g+h) \right| + |F_2(h)| \\ &\leq (\|f\|_1 + \|f_1\|_\infty) \max\{\|g\|_1, \|h\|_\infty\} + \|F_2\| \|h\|_\Sigma \\ &\leq (\|f\|_1 + \|f_1\|_\infty) \max\{\|g\|_1, \|h\|_\infty\} + \|F_2\| \|h\|_\infty \\ &\leq \max\{\|g\|_1, \|h\|_\infty\} (\|f_1\|_\infty + \|f_1\|_1 + \|F_2\|) \end{aligned}$$

Therefore  $\|F\| \leq \|f_1\|_\infty + \|f_1\|_1 + \|F_2\|$ .

Conversely suppose that  $\|f_1\|_\infty \neq 0$ . For large enough  $n \in \mathbb{N}$ , choose  $E_n \subset \{|f_1| > \|f_1\|_\infty - 1/n\}$  with  $0 < \mu E_n < \infty$ . Let

$$g_n = \text{sign}(f_1) \frac{\chi_{E_n}}{\mu E_n}.$$

Given  $\epsilon > 0$ , choose  $g \in L^1$  and  $h \in L^\infty$  so that  $\max\{\|g\|_1, \|h\|_\infty\} \leq 1 + \epsilon$  and  $\|F_2\| \leq \text{Re } F_2(h) + \epsilon$ . Let

$$h_n = h \chi_{[n, \infty)} + \text{sign}(f_1) \chi_{[0, n)}.$$

Then  $\|h_n\|_\infty \leq 1 + \epsilon$  and  $\|g_n\|_1 \leq 1$ . Hence  $f_n = g_n + h_n$  we have  $\|f_n\|_\Sigma \leq 1 + \epsilon$ . Consequently

$$\begin{aligned} \text{Re } F(f_n) &= \text{Re} \int f_1 g_n + \text{Re} \int f_1 h_n + \text{Re } F_2(h_n) \\ &= \int_{E_n} \frac{|f_1|}{\mu E_n} + \int_0^n |f_1| + \text{Re} \int_n^\infty f_1 h + \text{Re } F_2(h_n - \text{sign}(f_1) \chi_{[0, n)} + h \chi_{[0, n)}) \\ &\geq \|f\|_\infty - 1/n + \int_0^n |f_1| + \text{Re} \int_n^\infty f_1 h + \text{Re } F_2(h) \\ &\geq \|f\|_\infty - 1/n + \int_0^n |f_1| + \text{Re} \int_n^\infty f_1 h + \|F_2\| - \epsilon. \end{aligned}$$

Therefore  $\|F\| \geq \frac{1}{1+\epsilon} (\|f\|_\infty - \frac{1}{n} + \int_0^n |f_1| + \text{Re} \int_n^\infty f_1 h + \|F_2\| - \epsilon)$ . Note that  $h$  is independent of  $n$ . Since  $\lim_{n \rightarrow \infty} \int_n^\infty f_1 h = 0$  and  $\epsilon$  is arbitrary we obtain  $\|F\| \geq \|f_1\|_\infty + \|f_1\|_1 + \|F_2\|$ , and this completes the proof.  $\square$

*Remark 4.10.* Note that we have the following equalities (with equivalence of norms)

$$\Sigma_0^{**} \simeq (\Sigma')^* = \Delta^* \simeq \Delta' \oplus \Delta_s^* = \Sigma \oplus \Delta_s^*,$$



where  $\Delta_s^* \neq \{0\}$  since  $\Delta$  is not order continuous. Thus the bidual of  $\Sigma_0 = M_\Psi^0$  with  $\Psi(t) = \max\{t, 1\}$ ,  $t > 0$ , is not equal to  $\Sigma = M_\Psi$ . It shows that the assumption  $\inf_{t>0} \Psi(t) = 0$  in Theorem 4.5 cannot be omitted.

It is also interesting to observe that if we define  $\Delta_b$  as the closure of all simple functions with support of finite measure in  $(\Delta, \|\cdot\|_\Delta)$ , then  $\Delta_b$  also contains an isomorphic copy of  $\ell_1$  which has a non-separable dual. Therefore  $\Delta_b$  with any equivalent norm to  $\|\cdot\|_\Delta$  is not  $M$ -embedded.

### 5. $M$ -IDEAL PROPERTIES OF MARCINKIEWICZ SEQUENCE SPACES

In this section we will consider Marcinkiewicz sequence spaces. Assume further that  $\Psi = \{\Psi(n)\} = \{\Psi(n)\}_{n=0}^\infty$  is a sequence such that  $\Psi(0) = 0$ ,  $\{\Psi(n)\}$  is increasing,  $\Psi(n) > 0$  for  $n > 0$  and  $\{\Psi(n)/n\}$  is decreasing.

*Definition 5.1.* By analogy to the function spaces, the *Marcinkiewicz sequence space*  $m_\Psi$  consists of all sequences  $x = \{x(n)\} = \{x(n)\}_{n=1}^\infty$  such that

$$\|x\| = \|x\|_{m_\Psi} = \sup_{n \geq 1} \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)},$$

where  $x^* = \{x^*(n)\}$  is a decreasing rearrangement of  $\{x(n)\}$ .

Similarly define  $m_\Psi^0$  as a subspace of  $m_\Psi$  consisting of all  $x \in m_\Psi$  satisfying

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)} = 0.$$

Notice that reasoning analogously as in the previous section for function spaces, the assumption that  $\{\Psi(n)/n\}$  is a decreasing sequence is not a real restriction.

We have the following basic facts about  $m_\Psi$  and  $m_\Psi^0$ .

**Theorem 5.2.** (1)  $m_\Psi$  is a r.i. Banach function space with the Fatou property.

(2)  $m_\Psi^0 \neq \{0\}$  if and only if  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ .

(3) If  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ , then  $m_\Psi^0$  is a non-trivial subspace of all order continuous elements of  $m_\Psi$ .

(4) The following conditions are equivalent.

(a)  $\|x\|_{m_\Psi} = \|x\|_\infty$  for all  $x \in \ell_\infty$  (resp.  $\|x\|_{m_\Psi} \approx \|x\|_\infty$  for all  $x \in \ell_\infty$ ).

(b)  $\|x\|_{m_\Psi} = \|x\|_\infty$  for all  $x \in c_0$  (resp.  $\|x\|_{m_\Psi} \approx \|x\|_\infty$  for all  $x \in c_0$ ).

(c)  $\Psi(n) = n$  for all  $n \in \mathbb{N}$  (resp.  $\Psi(n) \approx n$  for all  $n \in \mathbb{N}$ ).

*Proof.* Condition (1) is immediate and (2) is clear if we note that  $e_1 \in m_\Psi^0$  is equivalent to  $\lim_{n \rightarrow \infty} 1/\Psi(n) = 0$ . For (3), note that  $m_\Psi^0$  contains all characteristic functions with support of finite measure by (2), so it contains all order continuous elements [4]. The proof that any  $x \in m_\Psi^0$  is order continuous is very similar to the function case, so we omit it. Finally we shall prove that 4(a) is equivalent to 4(c). Let's assume first that two norms are equal. Then for  $n \in \mathbb{N}$ ,

$$\|e_1 + \cdots + e_n\|_{m_\Psi} = \frac{n}{\Psi(n)} = 1.$$

For the converse, if we assume  $\Psi(n) = n$  for  $n \in \mathbb{N}$ , then for any  $x \in \ell_\infty$ ,

$$\|x\|_\infty = x^*(1) = \sup_{n \geq 1} \frac{1}{n} \sum_{k=1}^n x^*(k) = \|x\|_{m_\Psi}.$$

The remaining equivalences can be proved in a similar way. □

Given the sequence  $\{\Psi(n)\}$  define the function  $\Psi(t) = \sum_{i=0}^\infty \Psi(i)\chi_{[i, i+1)}(t)$  on  $[0, \infty)$ . Obviously  $\Psi|_{\mathbb{N} \cup \{0\}}$  coincides with  $\{\Psi(n)\}$ . The following result we shall use further.

**Lemma 5.3.** *There is a concave continuous function  $\tilde{\Psi}$  on  $[0, \infty)$  such that  $\Psi \leq \tilde{\Psi} \leq 3\Psi$  on  $[1, \infty)$  and  $\tilde{\Psi}(0) = 0$ .*

*Proof.* Fix  $x \geq 1$ . For  $0 < t \leq x$ ,

$$\frac{\Psi(t)}{t} \leq \frac{\Psi(x)}{x},$$

and for  $[x] \leq [t]$ ,

$$\frac{\Psi(t)}{t} \leq \frac{\Psi([t])}{[t]} \leq \frac{\Psi([x])}{[x]} = \frac{x}{[x]} \frac{\Psi(x)}{x} \leq 2 \frac{\Psi(x)}{x},$$

where for real  $y \in \mathbb{R}$ ,  $[y]$  is the greatest integer less than or equal to  $y$ . Hence for every  $t \geq 0$  and  $x \geq 1$ ,

$$\Psi(t) \leq \left(1 + \frac{2t}{x}\right)\Psi(x) \quad \text{and} \quad \Psi(t) \leq t\Psi(1).$$

Therefore there is a minimal concave function  $\tilde{\Psi}$  such that for each  $t \geq 0$ ,  $x \geq 1$ ,

$$\Psi(t) \leq \tilde{\Psi}(t) \leq \min\left\{\left(1 + \frac{2t}{x}\right)\Psi(x), t\Psi(1)\right\}.$$

Then for every  $x \geq 1$  and  $t > 0$ ,

$$\tilde{\Psi}(x) \leq \left(1 + \frac{2x}{x}\right)\Psi(x) = 3\Psi(x) \quad \text{and} \quad \tilde{\Psi}(t) \leq t\Psi(1).$$

So  $\lim_{t \rightarrow 0^+} \tilde{\Psi}(t) = 0$ . Therefore  $\tilde{\Psi}(t)$  is a continuous concave function on  $[0, \infty)$ .  $\square$

Now, we are ready to investigate when  $m_\Psi$  is the bidual of  $m_\Psi^0$  and when  $m_\Psi^0$  is an  $M$ -ideal of  $m_\Psi$ . The following theorems show that the situation is simpler than that of the non-atomic case.

**Theorem 5.4.** *The space  $m_\Psi$  is the bidual of  $m_\Psi^0$  if and only if  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ .*

*Proof.* If  $\lim_{n \rightarrow \infty} \Psi(n) < \infty$ , then by Theorem 5.2 (2),  $m_\Psi^0 = \{0\}$ . So  $m_\Psi$  cannot be the bidual of  $m_\Psi^0$  since  $m_\Psi \neq \{0\}$ .

For the converse, suppose that  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ . Then by Theorem 5.2 (2) and (3),  $m_\Psi^0$  is the order continuous subspace of  $m_\Psi$  and it contains all simple functions with support of finite measure. Hence  $(m_\Psi^0)^* \simeq (m_\Psi)'$ . So if we show that  $(m_\Psi)'$  is order continuous, then  $(m_\Psi^0)^{**} \simeq ((m_\Psi)')^* \simeq (m_\Psi)'' = m_\Psi$ , and the proof is done.

Note that by Lemma 5.3, there is an equivalent norm induced by the concave function  $\tilde{\Psi}$ , that is

$$\|x\|_{m_{\tilde{\Psi}}} = \sup_{n \geq 1} \frac{\sum_{k=1}^n x^*(k)}{\tilde{\Psi}(n)}.$$

If  $\|x\|_{m_{\tilde{\Psi}}} \leq 1$ , then

$$\sum_{k=1}^n x^*(k) \leq \tilde{\Psi}(n),$$

for all  $n \geq 1$ . For any decreasing sequence

$$y^* = (y^*(1), \dots, y^*(n), 0, \dots),$$

the summation by parts shows that

$$\sum_{k=1}^n x^*(k)y^*(k) \leq \sum_{k=1}^n y^*(k)(\tilde{\Psi}(k) - \tilde{\Psi}(k-1)).$$

Then by the Fatou property, for any  $y = \{y(k)\}$ ,

$$\|y\|_{(m_{\tilde{\Psi}})'} \leq \sum_{k=1}^{\infty} y^*(k)(\tilde{\Psi}(k) - \tilde{\Psi}(k-1)).$$

Note that there is an integral representation  $\tilde{\Psi}(t) = \int_0^t h^*(s)ds$  for some  $h \in L^0$ . This shows that, if we take  $x(k) = \tilde{\Psi}(k) - \tilde{\Psi}(k-1)$  for all  $k \in \mathbb{N}$ , then the sequence  $\{x(k)\}$  is decreasing and for each  $n \in \mathbb{N}$ ,

$$\frac{\sum_{k=1}^n x^*(k)}{\tilde{\Psi}(n)} = \frac{\tilde{\Psi}(n)}{\tilde{\Psi}(n)} = 1.$$

This means that  $\|x\| = 1$  and for all  $y$ ,

$$\sum_{k=1}^{\infty} x^*(k)y^*(k) = \sum_{k=1}^{\infty} y^*(k)(\tilde{\Psi}(k) - \tilde{\Psi}(k-1)).$$

Hence

$$\|y\|_{(m_{\tilde{\Psi}})'} \geq \sum_{k=1}^{\infty} y^*(k)(\tilde{\Psi}(k) - \tilde{\Psi}(k-1)),$$

for all  $y$ . Therefore we obtain the following formula

$$\|y\|_{(m_{\tilde{\Psi}})'} = \sum_{k=1}^{\infty} y^*(k)(\tilde{\Psi}(k) - \tilde{\Psi}(k-1))$$

and this implies that  $(m_{\tilde{\Psi}})'$  and hence  $(m_{\Psi})'$  is order continuous [11].  $\square$

In view of Theorem 5.2 (4), if  $\Psi(n) = n$ , then  $m_{\Psi}^0 = c_0$  and  $m_{\psi} = \ell_{\infty}$  with equality of norms, and thus  $m_{\Psi}^0$  is an  $M$ -ideal of  $m_{\Psi}$  [7]. The next theorem extends this result to a broader class of functions  $\Psi$  and improves already existing results in certain class of  $m_{\Psi}$  (cf. [7]).

**Theorem 5.5.** *Assume that  $\lim_{n \rightarrow \infty} \frac{\Psi(n)}{n} = 0$  and  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ . Then  $m_{\Psi}^0$  is an  $M$ -ideal in its bidual  $m_{\Psi}$ .*

*Proof.* First observe that if  $x \in m_{\Psi}$ , then

$$\limsup_{n \rightarrow \infty} \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)} = \limsup_{n \rightarrow \infty} \frac{\frac{1}{n} \sum_{k=1}^n x^*(k)}{\frac{1}{n} \Psi(n)} \leq \sup_n \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)} < \infty,$$

and in view of the assumption  $\lim_{n \rightarrow \infty} \frac{\Psi(n)}{n} = 0$ ,

$$\lim_{n \rightarrow \infty} x^*(n) = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n x^*(k) = 0.$$

In the proof we shall use the 3-ball property (cf. Theorem 1.1) and the same technique as in [7], that is we show that for every  $x = \{a(n)\} \in B_{m_{\Psi}}$ , every  $x_i = \{x_i(n)\} \in B_{m_{\Psi}^0}$  with finite support,  $i = 1, 2, 3$ , and  $\epsilon > 0$  there is  $y \in m_{\Psi}^0$  such that  $\|x + x_i - y\| \leq 1 + \epsilon$ ,  $i = 1, 2, 3$ . First assume that for all  $i = 1, 2, 3$ ,

$$\max\{j : x_i^*(j) \neq 0\} =: k_i = k,$$

and

$$\sum_{j=1}^k x_i^*(j) \leq \sum_{j=1}^k a^*(j).$$

Next pick up  $N$  such that for all  $n \geq N$ ,  $x_i(n) = 0$  and

$$|a(n)| \leq \min\{\delta, a^*(k)\},$$

where  $\delta = \min_i x_i^*(k)$ . Then define the sequence  $y = \{y(n)\}$  by  $y(n) = a(n)$  if  $n \leq N$  and  $y(n) = 0$  otherwise. If  $z_i(n) = a(n) + x_i(n) - y(n)$ , then  $z_i^*(j) = x_i^*(j)$  for  $j \leq k$  and  $z_i^*(j) \leq a^*(j)$  for  $j > k$ . Hence for  $n \leq k$ ,

$$\frac{\sum_{j=1}^n z_i^*(j)}{\Psi(n)} \leq 1,$$

and for  $n > k$ ,

$$\frac{\sum_{j=1}^n z_i^*(j)}{\Psi(n)} \leq \frac{\sum_{j=1}^n a^*(j)}{\Psi(n)} \leq 1.$$

Therefore  $\|x + x_i - y\| \leq 1$ .

In general case, we may assume that  $x$  is not an element of  $m_{\Psi}^0$ . In this case, we cannot have  $x \in \ell_1$ . Hence we can find  $l \geq k_i$  for all  $i = 1, 2, 3$ , such that

$$\sum_{j=1}^{k_i} x_i^*(j) < \sum_{j=1}^l a^*(j).$$

Define  $\xi$  as follows: If  $x_i(n) \neq 0$  then let  $\xi_i(n) = x_i(n)$ . At  $l - k_i$  indices where  $x_i(n) = 0$ , let  $\xi_i(n) = \alpha$  ( $\alpha > 0$  is chosen later), otherwise let  $\xi_i(n) = 0$ . The number  $\alpha$  should be chosen so small that for all  $i = 1, 2, 3$ ,  $\|x_i - \xi_i\| \leq \epsilon$  and

$$\sum_{j=1}^n \xi_i^*(j) \leq \sum_{j=1}^l a^*(j).$$

By the first part of the proof, there exists  $y \in m_\Psi^0$  such that

$$\|x + \frac{\xi_i}{1 + \epsilon} - y\| \leq 1.$$

Hence  $\|x + x_i - y\| \leq 1 + 2\epsilon$ , which completes the proof.  $\square$

Theorem 5.2 (4) shows that  $\lim_{n \rightarrow \infty} \frac{\Psi(n)}{n} = \beta > 0$  if and only if  $m_\Psi^0 = c_0$  up to equivalent norms. Therefore if  $\lim_{n \rightarrow \infty} \frac{\Psi(n)}{n} = \beta > 0$ , then  $m_\Psi$  can be renormed so that  $m_\Psi^0$  is an  $M$ -ideal of its bidual  $m_\Psi$ , since  $c_0$  is an  $M$ -ideal of  $\ell_\infty$ . But  $m_\Psi^0$  with its original norm does not need to be an  $M$ -ideal of  $m_\Psi$  if we drop the assumption  $\lim_{n \rightarrow \infty} \Psi(n)/n = 0$ , as we can see in the following example.

*Example 5.6.* Let  $\Psi(0) = 0$ ,  $\Psi(n) = \max\{\frac{2n}{3}, 1\}$  for  $n \in \mathbb{N}$ . Then  $m_\Psi = \ell_\infty$  with norm

$$\|x\|_\Psi = \sup \left\{ x^*(1), \frac{3(x^*(1) + x^*(2))}{4}, \dots, \frac{3 \sum_{k=1}^n x^*(k)}{2n}, \dots \right\}$$

that is equivalent to  $\|\cdot\|_\infty$ -norm. Then  $(c_0, \|\cdot\|_\Psi)$  is not an  $M$ -ideal of  $(\ell_\infty, \|\cdot\|_\Psi)$ .

*Proof.* Let  $x_1 = e_1 + \frac{1}{3}e_2$ ,  $x_2 = e_1 - \frac{1}{3}e_2$ ,  $x_3 = -e_1 + \frac{1}{3}e_2$ , and let  $x \equiv 2/3$ . Note that  $\|x_i\| = \|x\| = 1$ . Then there is no  $y \in c_0$  such that  $\|x_i + x - y\|_\Psi < \frac{5}{4}$ . Observe the following formulas for any  $y \in c_0$ ,

$$\begin{aligned} |x_1 + x - y| &= (|5/3 - y(1)|, |1 - y(2)|, |2/3 - y(3)|, \dots), \\ |x_2 + x - y| &= (|5/3 - y(1)|, |0 - y(2)|, |2/3 - y(3)|, \dots), \\ |x_3 + x - y| &= (|1/3 + y(1)|, |1 - y(2)|, |2/3 - y(3)|, \dots). \end{aligned}$$

Then  $\max\{|5/3 - y(1)|, |1/3 + y(1)|\} \geq 1$  for all scalars  $y(1)$ . Therefore for each  $y \in c_0$  there is  $i$  such that  $(x_i + x - y)^*(1) \geq 1$  and note that  $\lim_{n \rightarrow \infty} |2/3 - y(n)| = 2/3$ , so that  $(x_i + x - y)^*(2) \geq 2/3$  for all  $i = 1, 2, 3$ . This means that for every  $y \in c_0$  there is some  $i$  such that  $\|x_i + x - y\|_\Psi \geq 3/4(1 + 2/3) = 5/4$ . This completes the proof.  $\square$

This example shows that we cannot omit the additional conditions in Theorem 5.5.

## 6. POLYNOMIALS ON MARCINKIEWICZ SEQUENCE SPACES

This section is a continuation of section 3 in the case of Marcinkiewicz sequence spaces. Let  $\Psi = \{\Psi(n)\} = \{\Psi(n)\}_{n=0}^\infty$  be like in section 5, that is  $\Psi(0) = 0$ ,  $\Psi$  is increasing,  $\Psi(n) > 0$  for  $n > 0$  and  $\{\Psi(n)/n\}$  is decreasing. Note first that if  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$ , then  $m_\Psi^0$  is a non-trivial proper ideal of  $m_\Psi$ . Indeed, for  $\tilde{\Psi}$  from Lemma 5.3,

$$\{\tilde{\Psi}(k) - \tilde{\Psi}(k-1)\}_{k \geq 1} \in m_{\tilde{\Psi}} = m_\Psi \quad \text{but} \quad \{\tilde{\Psi}(k) - \tilde{\Psi}(k-1)\}_{k \geq 1} \notin m_{\tilde{\Psi}}^0 = m_\Psi^0.$$

Notice that  $\{\tilde{\Psi}(k) - \tilde{\Psi}(k-1)\}_{k \geq 1}$  is a decreasing sequence, since  $\tilde{\Psi}$  is concave. In section 5 we also showed that if in addition  $\Psi$  satisfies one of the conditions

$$\Psi(n) = n \quad \text{or} \quad \lim_{n \rightarrow \infty} \frac{\Psi(n)}{n} = 0,$$

then  $m_\Psi^0$  is an  $M$ -ideal of its bidual  $m_\Psi$ . As we know, this implies the uniqueness of the Hahn-Banach extension of bounded linear functionals from  $m_\Psi^0$  to  $m_\Psi$  [7].

On the other hand, the  $M$ -ideal property does not affect too much the uniqueness of  $n$ -homogeneous polynomial norm-preserving extension when  $n \geq 2$ . In section 2, we showed that in real case, for every  $n \geq 2$ , we could construct an  $n$ -homogeneous polynomial on  $m_\Psi^0$  which had two different norm-preserving extensions to  $m_\Psi$ , and in complex case, we could find an  $n$ -homogeneous polynomial with two distinct norm-preserving extensions if  $n \geq 3$ . In the following lemma, we state

the conditions when  $m_{\Psi}^0$  satisfies the assumptions of Theorem 3.2. This in turn gives interesting conclusions about norm-preserving extension to  $m_{\Psi}$  of norm-attaining 2-homogeneous polynomials on  $m_{\Psi}^0$ .

**Lemma 6.1.** *Assume that  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$  and  $\{\Psi(n)\}$  is strictly increasing. Then for each  $x \in B_{m_{\Psi}^0}$ , there exist  $n \in \mathbb{N}$  and  $\epsilon > 0$  such that for each  $y \in B_{m_{\Psi}}$ ,  $y = (0, \dots, 0, y(n+1), y(n+2), \dots)$ , and for each  $|\lambda| \leq \epsilon$ ,  $\|x + \lambda y\| \leq 1$  holds.*

*Proof.* We may assume that  $\|x\| = 1$ . Since  $\lim_{k \rightarrow \infty} \frac{\sum_{i=1}^k x^*(i)}{\Psi(k)} = 0$ , we can find the maximum integer  $n_1 \in \mathbb{N}$  such that

$$\|x\| = 1 = \frac{\sum_{i=1}^{n_1} x^*(i)}{\Psi(n_1)}.$$

Thus for every  $k \geq n_1 + 1$ ,

$$\sum_{i=1}^{n_1} x^*(i) = \Psi(n_1) \quad \text{and} \quad \sum_{i=1}^k x^*(i) < \Psi(k).$$

Take

$$a = 1 - \max \left\{ \frac{\sum_{i=1}^k x^*(i)}{\Psi(k)} : k \geq n_1 + 1 \right\} > 0.$$

We note that  $x^*(n_1) \neq 0$ . Indeed, if we suppose that  $x^*(n_1) = 0$ , then

$$\sum_{i=1}^{n_1} x^*(i) = \sum_{i=1}^{n_1-1} x^*(i) = \Psi(n_1) \leq \Psi(n_1 - 1),$$

which is a contradiction to the fact that  $\Psi$  is strictly increasing.

Note that for  $x \in m_{\Psi}^0$ ,

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)} = \lim_{n \rightarrow \infty} \frac{\frac{1}{n} \sum_{k=1}^n x^*(k)}{\frac{1}{n} \Psi(n)} = 0,$$

which yields

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^n x^*(k) = \lim_{n \rightarrow \infty} x^*(n) = \lim_{i \rightarrow \infty} |x(i)| = 0.$$

Thus we can choose  $n > n_1$  so that for all  $i \geq n + 1$ ,

$$|x(i)| < \frac{1}{2} x^*(n_1).$$

Take  $\epsilon = \min \left\{ \frac{x^*(n_1) \|e_1\|}{2}, a \right\} > 0$  and let  $y = (0, \dots, 0, y(n+1), y(n+2), \dots) \in B_{m_{\Psi}}$ . Fix  $\lambda$  with  $|\lambda| < \epsilon$ . Then for  $i \geq n + 1$ ,  $\|e_i\| |y(i)| \leq 1$  and so

$$|x(i) + \lambda y(i)| < \frac{x^*(n_1)}{2} + \frac{x^*(n_1) |y(i)| \|e_1\|}{2} \leq x^*(n_1).$$

Thus for each  $k \leq n_1$ ,

$$\sum_{i=1}^k (x + \lambda y)^*(i) = \sum_{i=1}^k x^*(i) \leq \Psi(k),$$

and for each  $k > n_1$ ,

$$\sum_{i=1}^k (x + \lambda y)^*(i) \leq \sum_{i=1}^k x^*(i) + a \sum_{i=1}^k y^*(i) \leq (1 - a) \Psi(k) + a \Psi(k) = \Psi(k).$$

Therefore  $\|x + \lambda y\| \leq 1$  and the proof is completed.  $\square$

Lemma 6.1 and Theorem 3.2 imply the following result.

**Theorem 6.2.** *Let  $\{\Psi(n)\}$  satisfy the assumptions of Lemma 6.1. Let  $P$  be a 2-homogeneous polynomial on complex  $m_{\Psi}$ . Then there exists  $x_0 \in B_{m_{\Psi}^0}$  such that  $P(x_0) = \|P\|$  if and only if  $P$  is finite.*

So Corollaries 3.3 and 3.4 can be applied to  $m_\Psi^0$ . The next corollary is a generalization of the analogous result in [9] for the spaces  $m_\Psi$  with strictly concave  $\Psi$ .

**Corollary 6.3.** *Let  $\{\Psi(n)\}$  satisfy the assumptions of Lemma 6.1. A 2-homogeneous polynomial on complex space  $m_\Psi^0$  attains its norm if and only if it is a finite polynomial. Furthermore it has a unique norm-preserving extension to its bidual  $m_\Psi$ .*

The next result on norm-attaining bounded linear functionals on  $m_\Psi^0$ , follows from Lemma 6.1 and Proposition 3.7.

**Corollary 6.4.** *Let  $\{\Psi(n)\}$  satisfy the assumptions of Lemma 6.1. A bounded linear functional on complex space  $m_\Psi^0$  attains its norm if and only if it is a finite polynomial. Furthermore it has a unique norm-preserving extension to its bidual  $m_\Psi$ .*

In view of Theorem 5.2 and the preceding corollaries, we get the following result.

**Corollary 6.5.** *If  $\lim_{n \rightarrow \infty} \Psi(n)/n > 0$ , then  $m_\Psi^0 = c_0$  and  $m_\Psi = \ell_\infty$  up to norm equivalence. Suppose that  $\{\Psi(n)\}$  satisfies the assumptions of Lemma 6.1 and  $\lim_{n \rightarrow \infty} \Psi(n)/n > 0$ . Then every norm-attaining bounded linear functional on complex  $(c_0, \|\cdot\|_{m_\Psi})$  is finite and has a unique extension to its bidual  $(\ell_\infty, \|\cdot\|_{m_\Psi})$ . Moreover, every 2-homogeneous norm-attaining polynomial on complex  $(c_0, \|\cdot\|_{m_\Psi})$  is finite and has a unique extension to its bidual  $(\ell_\infty, \|\cdot\|_{m_\Psi})$ .*

Note that  $m_\Psi^0$  in the above corollary may not be an  $M$ -ideal of  $m_\Psi$  as we could see in Example 5.6. Moreover, not every renorming of  $c_0$  and  $\ell_\infty$  guarantees the hypothesis of Corollary 6.5. In fact, in Example 3.6 we constructed a non-symmetric norm  $\|\cdot\|$  equivalent to  $\|\cdot\|_\infty$  such that the last conclusion of Corollary 6.5 failed. However we can ask another question, whether or not, in  $c_0$  equipped with an equivalent symmetric norm, every 2-homogeneous norm-attaining polynomial is finite and has a unique extension to its bidual  $\ell_\infty$ ? But, as we see below, both answers are negative.

*Example 6.6.* Let  $\Psi(0) = 0$ ,  $\Psi(n) = \max\{n, 2\}$  for  $n \in \mathbb{N}$ . Then, by Theorem 5.2(4),  $m_\Psi = \ell_\infty$  and  $m_\Psi^0 = c_0$  with norm  $\|x\| = \frac{x^*(1)+x^*(2)}{2}$ , which is equivalent to  $\|\cdot\|_\infty$ -norm. Consider 2-homogeneous polynomials on  $\ell_\infty$ ,

$$P(x) = \frac{x(1)^2}{4} \quad \text{and} \quad Q(x) = \frac{x(1)^2}{4} + \frac{x(2)}{2} \sum_{k=2}^{\infty} \frac{x(2k-1) + x(2k)}{2^k}.$$

Clearly,  $P$  is a norm-attaining polynomial at  $x = 2e_1$  and  $\|P\| = 1$ . Moreover, for every  $x \in B(\ell_\infty, \|\cdot\|_{m_\Psi})$ ,

$$\begin{aligned} |Q(x)| &\leq \left| \frac{x(1)}{2} \right|^2 + \left| \frac{x(2)}{2} \right| \sum_{k=2}^{\infty} \frac{|x(2k-1)| + |x(2k)|}{2^k} \\ &\leq \frac{|x(1)|}{2} + \frac{|x(2)|}{2} \sum_{k=2}^{\infty} \frac{x^*(1) + x^*(2)}{2^k} \\ &\leq \frac{|x(1)| + |x(2)|}{2} \leq \frac{x^*(1) + x^*(2)}{2} \leq 1. \end{aligned}$$

Therefore  $Q$  is also norm-attaining at  $2e_1 \in B(c_0, \|\cdot\|_{m_\Psi})$  and  $\|Q\| = 1$ . But  $Q$  is not finite. Furthermore, choose a norm one linear functional  $\varphi$  on  $(\ell_\infty, \|\cdot\|_{m_\Psi})$  which vanishes on  $c_0$ . Letting

$$P_1(x) = \frac{x(1)^2}{4} \quad \text{and} \quad P_2(x) = \frac{x(1)^2}{4} + \frac{x(2)}{2} \varphi(x),$$

we obtain two distinct norm-preserving extensions of  $P$  from  $c_0$  to  $\ell_\infty$ .

So if  $\Psi$  is not strictly increasing we cannot, in general, obtain Lemma 6.1 and its consequences. Note also that  $m_\Psi$  is a symmetric space not satisfying the assumption (3.1) of Theorem 3.2.

*Example 6.7.* Let  $\Psi(0) = 0$ ,  $\Psi(n) = \max\{\sqrt{n}, 2\}$  for  $n \in \mathbb{N}$ . Then  $m_\Psi^0$  is an  $M$ -ideal of its bidual  $m_\Psi$  (see Theorem 5.5) with norm

$$\|x\| = \|x\|_\Psi = \max \left\{ \max_{k \in \{1,2,3,4\}} \frac{\sum_{i=1}^k x^*(i)}{2}, \sup_{k \geq 5} \frac{\sum_{i=1}^k x^*(i)}{\sqrt{k}} \right\}.$$

Then exactly the same  $P, Q, P_i, i = 1, 2$ , as in the previous example, can be used to show that  $P_i, i = 1, 2$ , are two distinct norm-preserving extensions of  $P$  from  $m_\Psi^0$  to  $m_\Psi$ . Notice also that  $Q$  is norm-attaining on  $m_\Psi^0$  but is not finite.

So even though  $m_\Psi^0$  is an  $M$ -ideal of  $m_\Psi$ , we cannot obtain the result similar to Corollary 6.4 without the assumption (3.1) of Theorem 3.2.

We can see that the preceding examples are parts of the general situation.

**Theorem 6.8.** *Let  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$  and  $m_\Psi, m_\Psi^0$  be complex spaces. The following conditions are equivalent.*

- (1)  $\Psi$  is strictly increasing.
- (2) For each  $x \in B_{m_\Psi^0}$ , there are  $n \in \mathbb{N}$  and  $\epsilon > 0$  such that for every  $y = (0, \dots, 0, y(n+1), \dots) \in B_{m_\Psi}$  and for every  $|\lambda| < \epsilon$ ,  $\|x + \lambda y\| \leq 1$ .
- (3) No element in  $S_{m_\Psi^0}$  is a complex extreme point of  $B_{m_\Psi^0}$ .
- (4) No element in  $S_{m_\Psi^0}$  is a complex extreme point of  $B_{m_\Psi}$ .
- (5) Every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  is finite.
- (6) Every norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  has a unique norm-preserving extension to  $m_\Psi$ .
- (7) Every norm-attaining bounded linear functional on  $m_\Psi^0$  is finite.

*Proof.* By Lemma 6.1, (1)  $\Rightarrow$  (2) holds and (2)  $\Rightarrow$  (3)  $\Rightarrow$  (4) is clear by definition.

Suppose for the rest of the proof that  $\Psi$  is not strictly increasing. Then there is  $n \in \mathbb{N}$  such that  $\Psi(n) = \Psi(n+1)$ . Set

$$x_0 = \sum_{i=1}^n \frac{\Psi(n)}{n} e_i.$$

We know that  $\frac{\Psi(n)}{n} \leq \frac{\Psi(k)}{k}$  for each  $k, 1 \leq k \leq n$ . This yields

$$\sup_{k \geq 1} \frac{\sum_{i=1}^k x_0^*(i)}{\Psi(k)} = \sup_{k \geq 1} \frac{k\Psi(n)}{n\Psi(k)} = 1.$$

So  $x_0 \in S_{m_\Psi^0}$ . We shall show that  $x_0$  is a complex extreme point of  $B_{m_\Psi}$ . Suppose that there is  $y \in m_\Psi$  such that  $\|x_0 + \zeta y\| \leq 1$  for all  $|\zeta| \leq 1$ . Then

$$\frac{1}{\Psi(n)} \sum_{i=1}^n \left| \frac{\Psi(n)}{n} + \zeta y(i) \right| \leq \sum_{i=1}^n \frac{(x_0 + \zeta y)^*(i)}{\Psi(n)} \leq 1, \quad \text{for all } |\zeta| \leq 1.$$

Consider the analytic function  $f : B_{\mathbb{C}} \rightarrow \ell_1$ , defined by

$$f(\zeta) = \frac{1}{\Psi(n)} \sum_{i=1}^n \left( \frac{\Psi(n)}{n} + \zeta y(i) \right) e_i.$$

Then  $\|f(\zeta)\|_1$  has maximum 1 at  $\zeta = 0$ . Since  $S_{\ell_1}$  consists entirely of complex extreme points, the strong form of the Maximum Modulus Theorem holds true (cf. Theorem 3.1 in [15]), and thus  $f$  is constant. Therefore  $y(i) = 0$  for  $1 \leq i \leq n$ . For each  $y(k), k > n$ ,

$$\frac{1}{\Psi(n+1)} \sum_{i=1}^n \left| \frac{\Psi(n)}{n} + \zeta y(i) \right| + \frac{|\zeta y(k)|}{\Psi(n+1)} \leq \sum_{i=1}^{n+1} \frac{(x_0 + \zeta y)^*(i)}{\Psi(n+1)} \leq 1, \quad \text{for all } |\zeta| \leq 1.$$

This implies that

$$\begin{aligned} \frac{1}{\Psi(n+1)} \sum_{i=1}^n \left| \frac{\Psi(n)}{n} + \zeta y(i) \right| + \frac{|\zeta y(k)|}{\Psi(n+1)} &= \frac{1}{\Psi(n)} \sum_{i=1}^n \left| \frac{\Psi(n)}{n} + \zeta y(i) \right| + \frac{|\zeta y(k)|}{\Psi(n)} \\ &= 1 + \frac{|\zeta y(k)|}{\Psi(n)} \leq 1, \quad \text{for all } |\zeta| \leq 1. \end{aligned}$$

So we obtain  $y(k) = 0$  for any  $k > n$ . Therefore  $y = 0$  and  $x_0$  is a complex extreme point of  $B_{m_\Psi}$ . Thus we showed the equivalence of (1), (2), (3) and (4). Now, let's take 2-homogeneous

polynomials on  $m_\Psi$

$$P(x) = \frac{(x(1) + \cdots + x(n))^2}{\Psi(n)^2},$$

$$Q(x) = \frac{(x(1) + \cdots + x(n))^2}{\Psi(n)^2} + \frac{x(n+1)}{\Psi(n)} \sum_{k=1}^{\infty} \frac{x(k+n+1)}{\Psi(1)2^k}.$$

Observe that  $P(x_0) = Q(x_0) = 1$ . So  $P$  is a norm-attaining 2-homogeneous polynomial. We can see that  $Q$  is also norm-attaining. Indeed, for each  $\|x\| \leq 1$ ,

$$\begin{aligned} |Q(x)| &\leq \left( \frac{|x(1)| + \cdots + |x(n)|}{\Psi(n)} \right)^2 + \frac{|x(n+1)|}{\Psi(n)} \sum_{k=1}^{\infty} \frac{x^*(1)}{2^k \Psi(1)} \\ &\leq \frac{|x(1)| + \cdots + |x(n)|}{\Psi(n)} + \frac{|x(n+1)|}{\Psi(n)} \\ &\leq \frac{x^*(1) + \cdots + x^*(n+1)}{\Psi(n+1)} \leq 1, \end{aligned}$$

in view of the assumption that  $\Psi(n) = \Psi(n+1)$ . Hence, we get a norm-attaining 2-homogeneous polynomial on  $m_\Psi^0$  which is not finite. So (5)  $\Rightarrow$  (1) is proved. Choose further a norm one linear functional  $\phi$  on  $m_\Psi$  which vanishes on  $m_\Psi^0$ . Letting for  $x \in m_\Psi$ ,

$$P_1(x) = \frac{(x(1) + \cdots + x(n))^2}{\Psi(n)^2},$$

$$P_2(x) = \frac{(x(1) + \cdots + x(n))^2}{\Psi(n)^2} + \frac{x(n+1)}{\Psi(n+1)} \phi(x),$$

we can easily see that they are two distinct norm-preserving extensions of  $P$  to  $m_\Psi$ . This proves (6)  $\Rightarrow$  (1). Finally, we will construct a norm-attaining bounded linear functional which is not finite. Define a linear functional  $\varphi$  on  $m_\Psi^0$  as follows

$$\varphi(x) = \frac{x(1) + \cdots + x(n)}{\Psi(n)} + \frac{1}{\Psi(n)} \sum_{k=1}^{\infty} \frac{x(n+k)}{2^k}.$$

Then  $\varphi(x_0) = 1$ ,  $\|\varphi\| = 1$ , and  $\varphi$  is not finite. Indeed, for each  $\|x\| \leq 1$ , by the Hardy-Littlewood inequality [4],

$$\begin{aligned} |\varphi(x)| &\leq \frac{x^*(1) + \cdots + x^*(n)}{\Psi(n)} + \frac{1}{\Psi(n)} \sum_{k=1}^{\infty} \frac{x^*(n+k)}{2^k} \\ &\leq \frac{x^*(1) + \cdots + x^*(n)}{\Psi(n)} + \frac{1}{\Psi(n)} \sum_{k=1}^{\infty} \frac{x^*(n+1)}{2^k} \\ &\leq \frac{x^*(1) + \cdots + x^*(n) + x^*(n+1)}{\Psi(n+1)} \leq 1. \end{aligned}$$

This proves (7)  $\Rightarrow$  (1).

In order to complete the proof we observe that (2)  $\Rightarrow$  (5), (6) by Corollary 6.5 and that (2)  $\Rightarrow$  (7) by Proposition 3.7.  $\square$

**Corollary 6.9.** *Let  $\lim_{n \rightarrow \infty} \Psi(n) = \infty$  and  $m_\Psi, m_\Psi^0$  be real or complex spaces. Then both  $m_\Psi^0$  and  $m_\Psi$  are not rotund.*

*Proof.* If  $\Psi$  is strictly increasing then the hypothesis is an immediate corollary of Lemma 6.1, which is valid for both real and complex spaces.

Suppose now that  $\Psi$  is not strictly increasing. Then there is  $n \in \mathbb{N}$  such that  $\Psi(n) = \Psi(n+1)$ . Let

$$x = \sum_{i=1}^{n-1} a e_i + a e_n + b e_{n+1},$$



$$y = \sum_{i=1}^{n-1} ae_i + be_n + ae_{n+1},$$

where  $a > b > 0$ . Then  $x^* = y^* = x$ , and

$$\|x\| = \|y\| = \max \left\{ \frac{a}{\Psi(1)}, \frac{2a}{\Psi(2a)}, \dots, \frac{an}{\Psi(n)}, \frac{an+b}{\Psi(n+1)} \right\} = \frac{an+b}{\Psi(n+1)} = \frac{an+b}{\Psi(n)},$$

since  $\{\frac{n}{\Psi(n)}\}$  is increasing. Moreover,

$$\frac{x+y}{2} = \left( \frac{x+y}{2} \right)^* = \sum_{i=1}^{n-1} ae_i + \frac{a+b}{2}e_n + \frac{a+b}{2}e_{n+1}.$$

So

$$\begin{aligned} \left\| \frac{x+y}{2} \right\| &= \max \left\{ \frac{(n-1)a}{\Psi(n-1)}, \frac{(n-1)a + (a+b)/2}{\Psi(n)}, \frac{(n-1)a + a + b}{\Psi(n+1)} \right\} \\ &= \max \left\{ \frac{(n-1)a}{\Psi(n-1)}, \frac{an+b}{\Psi(n)} \right\} = \frac{an+b}{\Psi(n)} = \|x\| = \|y\|. \end{aligned}$$

Thus a sphere of the space  $m_\Psi^0$  has a line segment, and so the space is not rotund.  $\square$

Suppose now that  $X$  is a complex r.i. sequence space with the Fatou property. We will apply Theorem 6.8 to  $X$ . Let  $\Phi$  and  $\Psi$  be the *norm fundamental functions* of  $X$  and  $X'$  respectively, which are defined by  $\Phi(0) = 0 = \Psi(0)$  and for each  $n \in \mathbb{N}$ ,

$$\Phi(n) = \|e_1 + \dots + e_n\|_X, \quad \text{and} \quad \Psi(n) = \|e_1 + \dots + e_n\|_{X'}.$$

It is well known [4] that  $\Phi$  and  $\Psi$  are quasi-concave and for each  $n \in \mathbb{N} \cup \{0\}$ ,

$$\Phi(n)\Psi(n) = n.$$

Given  $X$  with the norm fundamental function  $\Phi$ , define the Marcinkiewicz sequence space  $m_\Psi$  with the following norm

$$\|x\|_{m_\Psi} = \sup_{n \in \mathbb{N}} \left\{ \frac{\sum_{k=1}^n x^*(k)}{\Psi(n)} \right\} = \sup_{n \in \mathbb{N}} \left\{ \frac{\Phi(n)}{n} \sum_{k=1}^n x^*(k) \right\}.$$

Then the norm fundamental function of  $m_\Psi$  is  $\Phi$ , and  $\|x\|_{m_\Psi} \leq \|x\|_X$  for all  $x \in X$  ([4]). This implies that if  $x \in S_X$  is a complex extreme point of  $B_{m_\Psi}$ , then  $x$  is a complex extreme point of  $B_X$ .

In the proof of Theorem 6.8, we showed that if  $\Psi$  is not strictly increasing then there is an  $n \in \mathbb{N}$  such that

$$x_0 = \sum_{i=1}^n \frac{\Psi(n)}{n} e_i$$

is a complex extreme point of  $B_{m_\Psi}$ . Note that

$$\|x_0\|_X = \frac{\Psi(n)}{n} \|e_1 + \dots + e_n\|_X = \frac{\Psi(n)\Phi(n)}{n} = 1.$$

Hence if  $\Psi$  is not strictly increasing, then  $x_0$  is a complex extreme point of  $B_X$ . Note also that if  $\Psi$  is not strictly increasing, then we can take  $Q$  and  $\varphi$  as in the proof of Theorem 6.8. Since  $\|x\|_{m_\Psi} \leq \|x\|_X$ ,  $Q$  is 2-homogeneous norm-attaining polynomial on  $X$  and  $\varphi$  is norm-attaining bounded linear functional on  $X$ . Moreover they are not finite. Thus we proved the following proposition.

**Proposition 6.10.** *Suppose a complex r.i. sequence space  $X$  with the Fatou property has a norm fundamental function  $\Phi$  such that  $\{\frac{\Phi(n)}{n}\}$  is not strictly decreasing. Then  $B_X$  has a complex extreme point. Moreover, there is a norm-attaining 2-homogeneous polynomial on  $X$  which is not finite, and there is a norm-attaining bounded linear functional on  $X$  which is not finite.*

**Corollary 6.11.** *Let  $X$  be a complex r.i. sequence space with the Fatou property. Assume no point of  $S_X$  is a complex extreme point of  $B_X$ . Then the norm fundamental function of its associate space  $X'$  is strictly increasing.*

Now, we present a simple but useful fact about complex extreme points of unit ball for r.i. sequence spaces.

**Proposition 6.12.** *Suppose  $X$  is a complex r.i. sequence space and suppose that  $x_0 \in X$  is an order continuous element of  $X$ . Then  $x_0 \in S_X$  is a complex extreme point of  $B_X$  if and only if  $x_0^*$  is a complex extreme point of  $B_X$ .*

*Proof.* Observe that if  $T : X \rightarrow X$  is an isometric isomorphism, then  $T$  preserves the complex extreme points of  $B_X$ .

Let  $x_0 \in S_X$  and  $x_0$  be an order continuous element. Then  $\lim_{n \rightarrow \infty} x_0^*(n) = 0$ . So there is a permutation  $\sigma$  of  $\mathbb{N}$  such that  $|x_0(\sigma(n))| = x_0^*(n)$  for each  $n \in \mathbb{N}$ . Let  $\lambda_n = \text{sign}(x_0(\sigma(n)))$  for  $n \in \mathbb{N}$ . Define an isometric isomorphism  $T$  on  $X$  as follows

$$Tx = \{\lambda_n x(\sigma(n))\}, \quad x \in X,$$

Then  $Tx_0 = x_0^*$ , and so  $x_0$  is a complex extreme point of  $B_X$  if and only if  $x_0^*$  is a complex extreme point of  $B_X$ .  $\square$

*Example 6.13.* We shall show that the converse of Corollary 6.11 does not hold in general, even though  $X$  is an order continuous symmetric sequence space. Let  $X$  be the set of all complex sequences  $x$  such that

$$\|x\| = \sum_{k=1}^{\infty} (\sqrt{k} - \sqrt{k-1}) x^*(k) < \infty.$$

Since the sequence  $\{\sqrt{k} - \sqrt{k-1}\}$  is decreasing,  $(X, \|\cdot\|)$  is a Lorentz space and it is order continuous [11, 12]. The norm fundamental functions  $\Phi$  and  $\Psi$  of  $X$  and  $X'$ , respectively, are equal and  $\Phi(n) = \sqrt{n} = \Psi(n)$  for all  $n \in \mathbb{N}$ .

We shall show that every point of  $S_X$  is a complex extreme point of  $B_X$ . By Proposition 6.12, we have only to show that every point  $x^* \in S_X$  is a complex extreme point of  $B_X$ . Let  $x^* \in S_X$  and  $y \in X$  be such that  $\|x^* + \zeta y\| \leq 1$  for all  $|\zeta| < 1$ . Then by the Hardy-Littlewood inequality [4],

$$\sum_{n=1}^{\infty} (\sqrt{n} - \sqrt{n-1}) |x^*(n) + \zeta y(n)| \leq \sum_{n=1}^{\infty} (\sqrt{n} - \sqrt{n-1}) (x^* + \zeta y)^*(n) \leq 1.$$

The function  $f : B_{\mathbb{C}} \rightarrow \ell_1$  defined by

$$f(\zeta) = \sum_{n=1}^{\infty} (\sqrt{n} - \sqrt{n-1}) (x^*(n) + \zeta y(n)) e_n,$$

is analytic and  $\|f(\zeta)\|_1$  attains its maximum at  $\zeta = 0$ . By the Maximum Modulus Theorem (Theorem 3.1 in [15]),  $f$  is constant. Hence  $y = 0$ , and  $x^*$  is a complex extreme point of  $B_X$ .

Note that even though both  $\Phi$  and  $\Psi$  are strictly increasing concave functions and  $X$  is order continuous, we cannot obtain the converse of Corollary 6.11.

Note also that although  $m_{\Psi}^0$  is order continuous and it has the same norm fundamental function as  $X$ , no point of  $S_{m_{\Psi}^0}$  is a complex extreme point of  $B_{m_{\Psi}^0}$  since  $\Psi$  is strictly increasing. Therefore we cannot completely determine the extreme point of r.i. space  $X$  by its norm fundamental function.

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