# Methods for computing Galois groups

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## **1** Local tools: Reduction and completion

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Some recent developments

# Some generalities

- $f \in K[X]$  a separable polynomial over some field K; G = Gal(f/K), the Galois group of (the splitting field of) f over K, embeds naturally into  $S_n$  via its action on the roots of f.
- G is a transitive group in this action  $\Leftrightarrow$  f is irreducible.

Some recent developments

# Dedekind's reduction criterion

#### Theorem (Dedekind)

Let  $f \in \mathbb{Z}[X]$  be a separable polynomial of degree n over the rationals, and let  $G \leq S_n$  be its Galois group. Let p be a prime number and denote by  $\overline{f} \in (\mathbb{F}_p)[X]$  the modulo-p reduction of f. If  $\overline{f}$  is separable of degree n, then  $Gal(\overline{f}/\mathbb{F}_p)$  is a subgroup of G.

#### Remarks:

- Only finitely many primes fail the assumptions of the theorem (namely, prime divisors of the discriminant of *f*, and of the leading coefficient of *f*).
- The theorem remains true in a much more general setup. Instead of the coefficient ring  $R = \mathbb{Z}$ , one may choose for R any "Dedekind domain" (e.g., the ring of integers  $O_K$  of a number field K, or a polynomial ring K[t]). The reduction should then be modulo a maximal ideal of R.

Some recent developments

## Dedekind's reduction criterion

#### Particularly useful application:

#### Theorem (Dedekind)

If f mod p is separable of degree n and splits into irreducible factors of degrees  $n_1, \ldots, n_k$  over  $\mathbb{F}_p$ , then G contains an element of cycle structure  $[n_1, \ldots, n_k]$ .

This is because  $Gal(\overline{f}/\mathbb{F}_p) \leq S_n$  is cyclic, with orbits exactly the roots of the respective irreducible factors.

# Example I

Take  $f = X^5 - X + 1 \in \mathbb{Z}[X]$ .

- $f \mod 2$  is  $(X^3 + X^2 + 1)(X^2 + X + 1)$ , so Gal(f) contains an element of cycle structure [3,2]
- $f \mod 3$  is irreducible, so Gal(f) contains a 5-cycle.
- In total, Gal(f) is a subgroup of  $S_5$  containing elements of order 5 and 6. In particular, its order is a multiple of 30, and then it must be  $S_5$ .

- **Example II**: Construction of polynomials with Galois group *S<sub>n</sub>* for any *n* (Exercise!).
- Deeper result:

## Frobenius (or Chebotarev) density theorem

If one moves p through the set of all prime numbers, every cycle structure of the Galois group G will eventually occur (infinitely often) as a factorization pattern of  $\overline{f} = f \mod p$ .

# *p*-adic fields, inertia and decomposition groups

Dedekind's criterion applies to almost all primes. However, it is often exactly the few primes that were "sorted out" which provide the most useful information!

 A "classical" example: Eisenstein's criterion. Reduction mod p gives *f* = X<sup>n</sup>, so seemingly no information. But then one reduces also mod p<sup>2</sup>, and everything is fine! This is the first example of considering an integer polynomial over the p-adic field Q<sub>p</sub>.

## *p*-adic fields, inertia and decomposition groups

#### Ramification and splitting of prime ideals

- *p* a prime number,  $K/\mathbb{Q}$  a finite extension,  $O_K$  the ring of integers of *K*.
- Then the ideal  $p \cdot O_K$  has a unique factorization  $p \cdot O_K = \prod \mathfrak{p}_i^{e_i}$  into prime ideals of  $O_K$  (the  $\mathfrak{p}_i$  are said to **extend** p).
- $e_i$ : The ramification index of the ideal  $\mathfrak{p}_i$  in  $K/\mathbb{Q}$ .
- $O_{\mathcal{K}}/\mathfrak{p}_i$  is a finite extension of  $\mathbb{Z}/p\mathbb{Z}$ . The degree  $d_i$  of this extension is called the **(residue) degree** of  $\mathfrak{p}_i$ .

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## *p*-adic fields, inertia and decomposition groups

- Important special case:  $K/\mathbb{Q}$  a Galois extension, with group G. Then G acts transitively on the set of all  $\mathfrak{p}_i$  extending p. In particular, the degree  $d_i$  and ramification index  $e_i$  depend only on p (not on i).
- The stabilizer in G of p<sub>i</sub> is called the **decomposition group** of p<sub>i</sub> over p, denoted D(p<sub>i</sub>/p). The conjugacy class of this subgroup in G depends only on p, often denoted D<sub>p</sub>.
- $D(\mathfrak{p}_i/p)$  acts on the residue field extension  $(O_K/\mathfrak{p}_i)/(\mathbb{Z}/p\mathbb{Z})$ . The kernel of this action is called the **inertia group**  $I(\mathfrak{p}_i/p)$ . If the ramification index is coprime to p, then the inertia group is **cyclic**.

## Completions, inertia and decomposition groups

## From now: Assumption $K/\mathbb{Q}$ Galois.

- Let Q<sub>p</sub> ⊃ Q the field of all *p*-adic numbers ∑<sub>i=k</sub><sup>∞</sup> a<sub>i</sub>p<sup>i</sup> (with k ∈ Z, a<sub>i</sub> ∈ {0,..., p − 1}, a<sub>k</sub> ≠ 0). Then the compositum K<sub>p</sub> := K · Q<sub>p</sub> is the completion of K at p<sub>i</sub>.
- The decomposition group *D<sub>p</sub>* is isomorphic to the Galois group *Gal*(*K<sub>p</sub>*/ℚ<sub>*p*</sub>).
  - (... and even **permutation-isomorphic** as a subgroup of Gal(f)!)
- The extension K<sub>p</sub>/Q<sub>p</sub> also has a ramification theory as above (but now, p · Z<sub>p</sub> is the **only** maximal ideal of the ring Z<sub>p</sub>).
- There is a unique maximal subextension  $K_p^{ur}/\mathbb{Q}_p$  of  $K_p/\mathbb{Q}_p$  in which the maximal ideal (p) has ramification index 1. Then  $I(\mathfrak{p}_i/p)$  is isomorphic to  $Gal(K_p/K_p^{ur})$  (and  $[K_p^{ur}:\mathbb{Q}_p] = [D_p:I_p]$  is the residue degree of  $\mathfrak{p}_i$ ).



- To obtain information about the Galois group  $G = Gal(K/\mathbb{Q})$ , it is obviously useful to find out about the structure of decomposition groups in G.
- If  $e_i = 1$  (p is unramified in  $K/\mathbb{Q}$ ), then the decomposition group  $D_p(=D_p/I_p)$  is cyclic! This is the case that Dedekind's criterion deals with.

(In particular, Hensel's lemma guarantees that a separable factorization of f mod p yields a factorization into the same degrees over  $\mathbb{Q}_{p}$ .)

# Newton polygons

The Newton polygon is a graphical tool designed to give information about the factors of a polynomial over  $\mathbb{Q}_p$ , the orbits of the decomposition group  $D_p$ , and/or the inertia group  $I_p$ .

#### Definition (Newton polygon)

Let  $f \in \mathbb{Q}_p[X]$  a polynomial of degree *n*. For each  $j \in \{1, \ldots, n\}$ , let  $\nu(j) \in \mathbb{Z} \cup \{+\infty\}$  be the *p*-adic valuation of the coefficient of *f* at  $X^j$ . Draw all the points  $(j, \nu(j))$  with  $\nu(j) < \infty$  in the plane  $\mathbb{R}^2$ , The **Newton polygon** of *f* is defined as the lower convex hull of this set of points.

**Example**:  $f = 25X^5 + 5X^4 + X^3 + 5X + 5$  over  $\mathbb{Q}_5$  gives vertices (0, 1), (1, 1), (3, 0), (4, 1), and (5, 2). The lower convex hull is spanned by the points (0, 1), (3, 0), (5, 2).

# Newton polygons

#### Theorem

Let  $\ell_1, \ldots, \ell_r$  be the line segments of the Newton polygon, let  $\Delta x_i$  and  $\Delta y_i$  be the respective lengths and heights, and let  $s_i = \frac{\Delta y_i}{\Delta x_i} = \frac{a_i}{b_i}$  be their slopes (with  $a_i \in \mathbb{Z}, b_i \in \mathbb{N}$  coprime).

- i) Then f factors over  $\mathbb{Q}_p$  into (not necessarily irreducible) polynomials of degrees  $\Delta x_i$ .
- ii) In particular, the sequence [n<sub>1</sub>,..., n<sub>k</sub>] of orbit lengths of D<sub>p</sub> (on the roots of f) is a refinement of the partition [Δx<sub>1</sub>,..., Δx<sub>r</sub>] of n.
- iii) On the other hand, any orbit length of the inertia group  $I_p$  corresponding to the line segment  $\ell_i$  is a multiple of  $b_i$ .

(Note that from the definition, the sequence of slopes is strictly increasing!)

Some recent developments

## Newton polygons

#### Example I

- $f = 25X^5 + 5X^4 + X^3 + 5X + 5$  over  $\mathbb{Q}_5$  gives two line segments of lengths  $\Delta x_1 = 3$ ,  $\Delta x_2 = 2$ , with slopes -1/3 and 1 respectively.
- Then f must split into an irreducible degree 3-factor, and a (possibly reducible) degree-2 factor.
- In particular, the inertia group is cyclic, generated either by (1,2,3) or by (1,2,3)(4,5).
- (Actual result:  $f = (X^3 25X^2 20X + 5)(25X^2 + 5X + 1) + O(5^3)$ is an irreducible factorization over  $\mathbb{Q}_{5.}$ )

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## Newton polygons

#### Example II: Eisenstein's criterion

Let  $f \in \mathbb{Z}[X]$  be a degree-*n* polynomial fulfilling the assumption of Eisenstein's criterion for the prime *p* (leading coefficient not divisible by *p*, all others divisible, and constant coefficient not divisible by  $p^2$ ). Then the Newton polygon consists of a single line segment of slope  $-\frac{1}{n}$ . Therefore, the inertia group  $I_p \leq Gal(f)$  is transitive! In particular, *f* is irreducible not only over  $\mathbb{Q}$ , but also over  $\mathbb{Q}_p$ .

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So far, all tools presented were designed to find **lower** bounds for the Galois group of a given polynomial. How to find **upper** bounds?

• Let's not forget some easy special cases. E.g., if f(X) = g(h(X)) is a composition of two polynomials of degree *n* and *m*, then Gal(f)naturally becomes a subgroup of the **wreath product**  $S_n \wr S_m = (S_n \times ... \times S_n) \rtimes S_m$ . This does give an upper bound without any complicated computations!

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## Resolvents

#### A noteworthy special case: The discriminant

Recall:  $\Delta(f) = \prod_{i < j} (\alpha_i - \alpha_j)^2$ , where  $\alpha_1, \ldots, \alpha_n$  are the roots of f. Rather obviously, this expression is fixed under action of the Galois group of f (i.e., lies in the base field). On the other hand  $\sqrt{\Delta(f)}$  is fixed exactly under the **even** permutations in Gal(f).

"Moral" of this example: The expression  $\sqrt{\Delta(f)} = \prod_{i < j} (\alpha_i - \alpha_j)$  is an **invariant** of the group  $A_n$ . I.e., if  $\Delta(f)$  is actually a square in the base field, then the Galois group must be contained in  $A_n$ . This gives a "descent argument" for the Galois group from  $S_n$  to  $A_n$ . If the Galois group is in fact still smaller, we need similar arguments to descend even further.

# Resolvents

The following approach to compute Galois groups was essentially outlined by Jordan (1870), and made practical for computation by Stauduhar 100 years later.

- Let g ∈ S<sub>n</sub>, and for F ∈ Z[X<sub>1</sub>,...,X<sub>n</sub>], define
   F<sup>g</sup> := F(X<sub>g(1)</sub>,...X<sub>g(n)</sub>). This gives an action of S<sub>n</sub> on the multivariate polynomial ring.
- Now let  $G \leq S_n$ , and let  $f \in \mathbb{Z}[X]$  be a monic degree-*n* polynomial with simple roots  $\xi_1, \ldots, \xi_n$ . Assume now that we have found a polynomial  $F \in \mathbb{Z}[X_1, \ldots, X_n]$  whose stabilizer is *G*, i.e.,  $F^g = F$  if and only if  $g \in G$ .
- Let  $F_1, \ldots, F_m$  be the conjugates of F in  $S_n$  (i.e.,  $m = [S_n : G]$ ) and set  $\theta_G(f, F) = \prod_{j=1}^m (X - F_j(\xi_1, \ldots, \xi_n))$ .  $\theta_G f, F$  is called a **resolvent** for G.

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## Resolvents

#### Theorem

 $\theta_G(f, F)$  is in  $\mathbb{Z}[x]$ , and if it is additionally separable, then: Gal(f) is conjugate (in  $S_n$ ) to a subgroup of G if and only if  $\theta_G(f, F)$  has a root in  $\mathbb{Z}$ .

• Argument why  $\theta_G(f, F)$  has integer coefficients: Let  $F = X^n + a_{n-1}X^{n-1} + ... + a_0 = (X - \xi_1) \cdots (X - \xi_n)$  be a **generic** polynomial (with transcendentals  $a_i$  as coefficients). Then  $\theta_G(f, F)$  is invariant under action of  $S_n$ , so the coefficients are integer polynomials in the **symmetric functions** of the  $\xi_i$ , i.e., in the coefficients  $a_i$ .

# Resolvents

- In practice, one may use numerical approximations of the roots of f. These approximations then need to be sufficiently good to recognize with certainty when a certain approximate root of  $\theta_G(f, F)$  is actually an integer.
- It remains to find a polynomial F with stabilizer G in the first place.
- Simple example: For  $G = A_n \leq S_n$ , the polynomial  $F = \prod_{1 \leq i < j \leq n} (X_i X_j)$  works. The resolvent then becomes  $X^2 \Delta(f)$ .
- Simple example: For  $G = D_4 \le S_4$ , the polynomial  $F = X_1X_3 + X_2X_4$  works (Exercise!).

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# Some improvements

- The degree of  $\theta_G(f, F)$  constructed above is huge as soon as G is small compared to  $S_n$ . A more effective way is to define **relative resolvents** for any pair of groups  $G \le H(\le S_n)$ . One can then descend from  $S_n$  to the correct Galois group one step at a time.
- The above version of resolvent gives a result only depending on whether the resolvent has or does not have a rational root. That's a bit of a waste, considering the large amount of possible factorizations of a polynomial. The following kind of resolvent gives a result depending on whether or not the resolvent is irreducible:

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## Linear resolvents

An important invariant is the linear polynomial  $X_1 + \cdots + X_k \in \mathbb{Z}[X_1, \ldots, X_n].$ 

#### Linear resolvents

For f of degree n with roots  $\xi_1, \ldots, \xi_n$ , and  $1 \le k \le n-1$ , define

$$\Theta_f(X) = \prod_{S \subset \{1,\ldots,n\}; |S|=k} (X - \sum_{i \in S} \xi_i).$$

Assuming  $\Theta_f(X)$  is separable, the degrees of its irreducible factors over  $\mathbb{Q}$  correspond 1-to-1 to the orbit lengths of Gal(f) acting on k-subsets of  $\{1, \dots, n\}$ ! In particular, if Gal(f) is k-fold transitive, then  $\Theta_f$  must be irreducible.

(Recall: A transitive group  $G \leq S_n$  is called 2-transitive if the stabilizer of 1 is transitive on  $\{2, \ldots n\}$ .

A 2-transitive group is 3-transitive, if the pointwise stabilizer of 1 and 2 is transitive on  $\{3, \ldots, n\}$ , etc.)

# Example: A polynomial with Galois group $PSL_3(2) < S_7$

(The following example is taken from Cox, Galois Theory:)

#### Theorem

The polynomial  $x^7 - 154x + 99$  has Galois group  $PSL_3(2)$  over  $\mathbb{Q}$ .

#### Proof.

First, form the resolvent of degree 
$$\begin{pmatrix} 7\\ 3 \end{pmatrix} = 35$$
 coming from action on 2 acts

#### 3-sets.

Galois group equals  $PSL_3(2)$  if and only if this resolvent factors into two irreducibles of degrees 7 and 28 respectively.

Group-theoretical explanation: The action of  $PSL_3(2)$  on 3-sets in  $\{1, \ldots, 7\}$  is intransitive (this is because the image of  $a + b \in \mathbb{F}_2^3$  under any element of  $GL_3(2)$  is fixed with a and b), with an orbit of length 7 coming from the sets  $\{a, b, a + b\}$ .

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Multi-parameter polynomials of fixed degree: function field methods

# Function field methods

Bounding Galois groups from above via resolvents is often very tedious business.

In some cases, there are much nicer ways of finding upper bounds, coming from methods over function fields, such as **monodromy**.

#### Lemma

Let F(t, X) = f(X) - tg(X), with coprime polynomials  $f, g \in \mathbb{Q}[X]$ , and let  $G = Gal(F/\mathbb{Q}(t))$ . Then the degrees of the irreducible factors of  $f(X)g(Y) - g(X)f(Y) \in \mathbb{Q}[X, Y]$  are exactly the lengths of the orbits of a point stabilizer in G. In particular, Gal(F/K(t)) is 2-transitive if and only if the polynomial  $\frac{f(X)g(Y) - g(X)f(Y)}{X - Y} \in K[X, Y]$  is irreducible.

#### Proof.

Let y be a root of F over  $\mathbb{Q}(t)$ . Then  $t = \frac{f(y)}{g(y)}$ , so over  $\mathbb{Q}(y)$ , we have  $F = f(X) - \frac{f(y)}{g(y)}g(X)$ . But  $Gal(F/\mathbb{Q}(y))$  is exactly a point stabilizer in G.

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Multi-parameter polynomials of fixed degree: function field methods

# Example: A polynomial for the sporadic Higman-Sims group

- *HS* is a finite simple group with a primitive, but not 2-transitive permutation action of degree 100. This action extends to the automorphism group  $Aut(HS) = HS \rtimes C_2$ .
- The following polynomial with Galois group  $Aut(HS) = HS \rtimes C_2$ over the field  $\mathbb{Q}(t)$  was computed by Barth and Wenz (2016):

#### Theorem

Let  $p(X) = (7X^5 - 30X^4 + 30X^3 + 40X^2 - 95X + 50)^4 \cdot (2X^{10} - 20X^9 + 90X^8 - 240X^7 + 435X^6 - 550X^5 + 425X^4 - 100X^3 - 175X^2 + 250X - 125)^4 \cdot (2X^{10} + 5X^8 - 40X^6 + 50X^4 - 50X^2 + 125)^4$ , and  $q(X) = (X^4 - 5)^5 \cdot (X^8 - 20X^6 + 60X^5 - 70X^4 + 100X^2 - 100X + 25)^{10}$ . Then f(t, X) = p(X) - tq(X) has Galois group Aut(HS) over  $\mathbb{Q}(t)$ .

Multi-parameter polynomials of fixed degree: function field methods

#### Proof.

 $p(X)q(Y) - q(X)p(Y) = (X - Y)f_1(X, Y)f_2(X, Y) \in \mathbb{Q}[X, Y]$ , with  $\deg(f_1) = 22$  and  $\deg(f_2) = 77$ . Therefore Gal(f) cannot be 2-transitive, i.e., cannot be  $S_{100}$  or  $A_{100}$ . Now, only need to find cycle structures in Gal(f) via Dedekind's criterion. One of them is  $(11^9.1)$ , which forces Gal(f) to be primitive. Then, in the list of primitive groups of degree 100, Aut(HS) is the only one with all those cycle structures.

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Multi-parameter polynomials of fixed degree: function field methods

#### A variant of the above.

#### Lemma

Let  $f(t, X) \in \mathbb{Q}(t)[X]$  be irreducible. Assume that there exists a non-constant rational function  $g(Y) \in \mathbb{Q}(Y)$  of degree d such that f(g(Y), X) is reducible over  $\mathbb{Q}(Y)$ , but does not possess a root. Then the splitting field of f over  $\mathbb{Q}(t)$  contains a rational function field  $\mathbb{Q}(y)$  of degree [K(y) : K(t)] dividing d, and whose Galois group is an intransitive subgroup of G.

This is often applicable nicely for detecting **linear** groups as Galois groups.

#### Theorem (K., 2014)

The polynomial  $f(t,x) = (x^5 - 95x^4 - 110x^3 - 150x^2 - 75x - 3)^3(x^5 + 4x^4 - 38x^3 + 56x^2 + 53x - 4)^3(x - 3) - t(x^2 - 6x - 1)^8(x^2 - x - 1)^4(x + 2)^4x$  has Galois group  $PSL_5(2)$  over  $\mathbb{Q}(t)$ .

Multi-parameter polynomials of fixed degree: function field methods

#### Proof.

That polynomial was computed as part of a family  $F(\alpha, t, x)$  with an extra parameter  $\alpha$ , already specialized above. Now among that family, there will be a second value  $\alpha'$  for which the polynomial  $F(\alpha', t, x) =: g(t, x)$  has exactly the same branch points as f(t, x) (this reflects the fact that inside the splitting field of f(t, x), there is a second, non-conjugate subfield with the same ramification structure!). Write  $f(t, x) = f_1(x) - tf_2(x)$  and  $g(t, x) = g_1(x) - tg_2(x)$ , and factor the polynomial  $f - 1(x)g_2(y) - f_2(x)g_1(y)$ . This corresponds to the factorization of f(t, x) over  $\mathbb{Q}(y)$ , where y is a root of g! The polynomial turns out to factor into degrees 15 and 16, corresponding to the fact that the second index-31 subgroup of  $PSL_5(2)$  has orbit lengths 15 and 16 in the action of the cosets of the first index-31 subgroup. Since no other degree-31 transitive group has such a behaviour, the Galois group must be  $PSL_5(2)!$ 

#### Families of polynomials of unbounded degree

- As seen above, computation of the Galois group of one fixed polynomial is essentially an algorithmic problem.
- In particular, if a fixed degree-*n* polynomial has "large" Galois group (*S<sub>n</sub>* or *A<sub>n</sub>*), it will "give it away" rather easily (Dedekind's criterion, Chebotarev's theorem).
- Verifying the Galois group of an infinite family (of unbounded degree) of polynomials is a whole different matter.
- Even though such families "morally" (often) tend to have large Galois group, the strict verification can be very hard!
- In the following, we look at some examples where "local" methods help.

Families of polynomials of unbounded degree

# Truncated exponential series: A result by Schur

## Theorem (Schur, 1930)

Let 
$$f_n = 1 + x + \frac{x^2}{2} + ... + \frac{x^n}{n!}$$
 (the n-th Taylor polynomial of the exponential function). Then  $Gal(f_n/\mathbb{Q}) = \begin{cases} A_n, & \text{if } 4|n \\ S_n, & \text{else} \end{cases}$ .

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Families of polynomials of unbounded degree

# Auxiliary results from group theory

#### Theorem (Jordan, 1870s)

Assume that  $G \leq S_n$  is a primitive permutation group containing a p-cycle for some prime p < n - 2. Then  $G \in \{A_n, S_n\}$ . If additionally, p > n/2, then the assumption of primitivity can be weakened to transitivity.

Note: A **primitive** permutation group is a transitive group whose point stabilizer is a maximal subgroup. Equivalently, the action of G does not preserve a non-trivial **block** system.

#### Theorem (Chebyshev ("Bertrand's postulate"))

If  $n \ge 8$ , then there exists at least one prime number p with n/2 .

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Families of polynomials of unbounded degree

# A modern proof of Schur's theorem

#### Due to Coleman (1987).

Due to above auxiliary results, it suffices to show the following:

- a)  $f_n$  is irreducible.
- b)  $Gal(f_n)$  contains a *p*-cycle for some n/2 .
- c)  $\Delta(f_n)$  is a square if and only if 4|n.

#### Lemma

The slopes of the Newton polygon of  $f_n$  are  $-\frac{p^{n_i}-1}{p^{n_i}(p-1)}$ , where  $n_1 > \cdots > n_s$  are the exponents of p occurring in a p-adic expansion of n. In particular, if  $p^k \leq n$ , then  $p^k$  divides the degree of the splitting field of  $f_n$  over  $\mathbb{Q}_p$ 

#### Families of polynomials of unbounded degree

- Now assertion b) follows immediately from Bertrand's postulate (plus the fact that any element of such order p > n/2 in  $S_n$  must be a *p*-cycle).
- Furthermore, from above Lemma it follows that if p<sup>m</sup> divides n, then it also divides the order of each irreducible factor over Q<sub>p</sub>.
   In total, f<sub>n</sub> must be irreducible over Q.
- To conclude, it suffices to show the following

#### Lemma

 $\Delta(f_n) = (-1)^{\frac{n(n-1)}{2}} \cdot (n!)^n.$ 

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# Generalized Laguerre polynomials

## Definition

The polynomial 
$$L_m^{\alpha}(x) = \sum_{j=0}^{m} \frac{(m+\alpha)(m+\alpha-1)\cdots(j+\alpha+1)\cdot(-x)^j}{(m-j)!j!}$$
 is called generalized Laguerre polynomial.

#### Interesting special cases

## Theorem (Schur)

$$Gal(L_m^{(0)}(x)) = S_m$$
, for each  $m \in \mathbb{N}$ .  
 $Gal(L_m^{(1)}(x)) = A_m$  if  $m > 1$  is odd or of the form  $k^2 - 1$ ; and  $S_m$  otherwise.

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# Laguerre polynomials

Theorem (Gow, 1989)

If m is even such that  $L_m^{(m)}$  is irreducible, then  $Gal(L_m^{(m)}(x)) = A_m$ .

(Filaseta/Williams: Most of them are actually irreducible.)

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# Generalized Fibonacci polynomials

#### Definition

The polynomial  $f_n(X) := X^n - X^{n-1} - \cdots - X - 1$  is called **generalized Fibonacci polynomial** of degree *n*.

Reason for the naming: The generalized Fibonacci sequence is defined by the recursion  $a_{n+k} = a_{n+k-1} + ... + a_n$ . The ratio  $a_{k+1}/a_k$  of two subsequent elements of the series then converges to a root of the equation  $x^k = x^{k-1} + \cdots + x + 1$ .

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# Generalized Fibonacci polynomials

Theorem (Martin, 2004)

If n is even or a prime number, then  $Gal(f_n/\mathbb{Q}) = S_n$ .

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# Generalized Fibonacci polynomials

Some remarks about the proof:

•  $f_n(x)$  has a real root between 1 and 2, and all other roots have absolute value < 1.

**Exercise:** Then  $f_n$  must be irreducible.

- $f_n(x) \cdot (x-1) = x^{n+1} 2x^n + 1$ . This is useful, since discriminants of trinomials are easy to calculate.
- By considering some auxiliary polynomial, one finds that for every prime p > 2 dividing the discriminant, there is **only one** double root, and otherwise simple roots, for f mod p.

Some recent developments

Families of polynomials of unbounded degree

# Generalized Fibonacci polynomials

- Now what does this mean for the inertia group  $I_p$ ?
  - Either  $I_p$  is trivial (so p was actually unramified).
  - Or *I<sub>p</sub>* is generated by a transposition!
- Now a transitive group of prime degree q with a transposition is  $S_q$ .
- If the degree is even, then one can show additionally that 2 does not divide the discriminant of f. So all the non-trivial inertia groups are generated by transpositions.
- **Fact**: The inertia groups generate the whole Galois group.
- And a transitive group generated by transpositions is also always the full symmetric group.

## **1** Local tools: Reduction and completion

## 2 Invariants of Galois groups

## 3 Galois groups in infinite families

- Multi-parameter polynomials of fixed degree: function field methods
- Families of polynomials of unbounded degree

#### 4 Some recent developments

# An idea by Elkies for determination of multiply transitive Galois groups

There are known polynomials for the Galois group  $M_{23}$  (Mathieu group acting on 23 points), not over  $\mathbb{Q}$ , but over some small number fields.

This group is 4-fold, but not 5-fold transitive.

- To strictly verify the Galois group, one could now use the intransitivity of the action on  $M_{23}$  on 5-sets, to distinguish it from  $A_{23}$ . This would boil down to the computation of a resolvent of degree  $\begin{pmatrix} 23 \\ 5 \end{pmatrix} = 33649$ . That is, however, not practical!
- Instead, the following has been suggested (and applied successfully) by Noam Elkies:

# Determination of multiply transitive Galois groups

Assume given an irreducible degree-*n* equation  $f(t, X) \in \mathbb{Q}[t, X]$ , such that the cycle structures (in  $S_n$ ) of the inertia group generators  $\sigma_1, \ldots, \sigma_r$  over  $\mathbb{Q}(t)$  are known.

(This is not a big assumption; the cycle structures can often be read of from factorizations of  $f(t_0, X)$ , where  $t_0 \in \overline{\mathbb{Q}}$  is a branch point).

• Now in the splitting field  $\Omega/\mathbb{Q}(t)$  of f, consider the fixed field  $E_k$  of the stabilizer of a k-set  $(2 \le k \le n-2)$ . This field has a certain genus - a group-theoretical invariant which can be computed from the cycle structure of the  $\sigma_i$  in the action on k-sets. If G is k-fold transitive, then these cycle structures, and therefore the genus of  $E_k$  can be estimated by rather simple combinatorial methods!

## Determination of multiply transitive Galois groups

- Moreover, modulo "most" primes p, the mod-p reduction of  $E_k$  still retains the genus of  $E_k$ . From the **Hasse-Weil bound**, one can then estimate (from below and above) the number of degree-1-places ( $=\mathbb{F}_p$ -rational points) of  $E_k \mod p$ .
- On the other hand, from the given equation f(t, X), one can actually explicitly compute the number of such F<sub>p</sub>-rational points (without knowing an equation for E<sub>k</sub>, just by factoring f(t<sub>0</sub>, X) for all t<sub>0</sub> ∈ F<sub>p</sub>.
- If that number contradicts what the Hasse-Weil bound would have predicted for the genus (under the assumption "G is k-transitive"), then obviously G wasn't k-transitive.
- In particular, since A<sub>n</sub> and S<sub>n</sub> are the only groups which are more than 5-transitive, this method is well-suited to rule out these groups as Galois groups!