## Margulis space-time with parabolics

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## Outline

#### Outline

Part 0: Introduction Main results Preliminary

#### Part 1: Proper action of a parabolic cyclic group

Proper parabolic actions Linear parabolic action Proper affine parabolic action Margulis and Charette-Drumm invariants Parabolic ruled surfaces and transverse foliations Tameness of the parabolic quotient spaces

#### Part 2: Geometric estimations and convergences

Goldman-Labourie-Margulis decomposition and estimations of cocycles Translations vectors and orbits of a proper affine deformations

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#### Part 3: Topology of 3-manifolds

Finding the fundamental domain Finiteness Tameness Relative compactification

Article: arXiv:1710.09162

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- Assume  $\mathcal{L}(\Gamma)$  is a free group of rank  $g, g \ge 2$  in SO(2, 1)<sup>o</sup> acting freely and discretely on  $\mathbb{H}^2$ .

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### Real projective structures

- A real projective structure on a manifold is given by a maximal atlas of charts to  $\mathbb{R}P^n$ ,  $n \ge 1$ , with transition maps in  $PGL(n + 1, \mathbb{R})$ .
- Suppose that  $\Sigma$  is a real projective surface with holonomy in the image of  $\mathcal{L}(\Gamma)$  in PSO(2, 1).

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 A parabolic annulus in Σ is a properly embedded compact annulus with a parabolic holonomy.

#### Theorem 2.1

Suppose that  $\Gamma$  is a proper affine free group of rank  $g,g\geq 2,$  with parabolics and linear parts in  $SO(2,1)^o.$  Then

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- Moreover, it is the interior of a real projective 3-manifold M with a totally geodesic real projective surface as boundary.
- M deformation retracts to a compact handlebody obtained by removing a union of finitely many solid-torus-end-neighborhoods.

#### Remark 1

The tameness part is also claimed by Danciger, Kassel, and Guéritaud [5]. Also, the tameness without parabolics was also solved by Choi-Goldman and this group. Crooked plane conjecture for nonparabolic case was solved by this group also.

We conjecture that the Margulis space-time with parabolics deforms immediately to one without parbolics. However, this requires result of Goldman-Labourie-Margulis-Minsky [8] which they have not written up.

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- The Crooked-plane conjecture is also claimed by DGK [5] and this should also imply the relative compactification.
- The main advantage of our approach is to see the 3-dimensional picture such as axes of transformations and globally hyperbolic subspaces bounded by Cauchy hypersurfaces. Also, relative compactification is easy to see.
- Also, these show that every flat complete Lorentz manifold of any dimension is tame. (Goldman-Labourie [6])

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#### Define

 $\mathbb{S}(V):=V\setminus\{0\}/\sim_+ \ \text{where } {\bf x}\sim_+ {\bf y} \text{ iff } {\bf x}=s{\bf y} \text{ for } s\in\mathbb{R}_+.$ 

There is a double cover  $\mathbb{S}(V) \to \mathbb{P}(V)$  with the antipodal map  $\mathcal{A} : \mathbb{S}(V) \to \mathbb{S}(V)$ . ((v)) denotes the equivalence class of v.

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- ▶  $SL_{\pm}(V)$  acts on S(V) effectively and transitively, and is Aut(S(V)).

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- E equals an open hemisphere in  $\mathbb{S}^3 = \mathbb{S}(\mathbb{R}^4)$  by sending

 $(x_1, x_2, x_3)$  to  $((1, x_1, x_2, x_3))$  for  $x_1, x_2, x_3 \in \mathbb{R}$ .

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- $\partial E = \partial \mathcal{H}$  is a great 2-sphere  $\mathbb{S}$  given by  $x_0 = 0$ .
- $\blacktriangleright \ \mathbb{S} = \mathbb{S}_+ \cup \mathbb{S}_= \cup \mathbb{S}_0.$
- $S_+$  is the Klein model of the hyperbolic plane.

## Hausdorff convergences

- $\mathbb{S}^3 = \mathbb{S}(\mathbb{R}^4)$  has Fubini-Study metric **d**.
- ▶ The Hausdorff distance between two compact sets A and B is

$$\mathbf{d}_{H}(A,B) = \inf\{\delta | \delta > 0, B \subset N_{\mathbf{d},\delta}(A), A \subset N_{\mathbf{d},\delta}(B)\}.$$

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#### Proposition 2.1 (see Benedetti-Petronio)

A sequence  $\{A_i\}$  of compact sets converges to A in the Hausdorff topology if and only if

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- ▶ If there is a sequence  $\{x_{i_i}\}$ ,  $x_{i_i} \in A_{i_i}$ , where  $x_{i_i} \to x$  for  $i_j \to \infty$ , then  $x \in A$ .
- If  $x \in A$ , then there exists a sequence  $\{x_i\}$ ,  $x_i \in A_i$ , such that  $x_i \to x$ .

## Linear parabolic action

▶ A linear endomorphism  $N: V \rightarrow V$  is a *skew-adjoint endomorphism* of V if

$$\mathsf{B}(N\mathbf{x},\mathbf{y}) = -\mathsf{B}(\mathbf{x},N\mathbf{y}).$$

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▶ We classify skew-adjoint linear parabolic transformations.

#### Corollary 3.1

Given a skew-adjoint endomorphism  $N: V \to V$ . Then there exists a coordinate system given by a, b, c satisfying

• 
$$B(a, b) = 0 = B(b, c), B(a, c) = -1,$$

$$\triangleright$$
 c = N(b), b = N(a), and

**b** is a unit spacelike vector,  $\mathbf{c} \in \operatorname{Ker} N$  is casual null, and  $\mathbf{a}$  is null.

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- **b** is a unit spacelike vector,  $\mathbf{c} \in \operatorname{Ker} N$  is casual null, and  $\mathbf{a}$  is null.
- ▶ The coordinate system is is canonical for a skew-symmetric nilpotent endomorphism N with respect to  $B: V \times V \rightarrow \mathbb{R}$ .

Margulis space-time with parabolics

Part 1: Proper action of a parabolic cyclic group

Proper parabolic actions

### Proper affine parabolic action

• Let  $\gamma$  be an affine transformation with skew-adjoint parabolic linear part exp(N).

Proper parabolic actions

#### Proper affine parabolic action

- Let  $\gamma$  be an affine transformation with skew-adjoint parabolic linear part exp(N).
- $\blacktriangleright$  Using the frame given as above and translating,  $\gamma$  lies in a one-parameter group

$$\Psi(t) := \exp t \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \mu \\ 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 1 & t & t^2/2 & \mu t^3/6 \\ 0 & 1 & t & \mu t^2/2 \\ 0 & 0 & 1 & \mu t \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
(3.1)

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for  $\mu \in \mathbb{R}$ .

### Proper affine parabolic action

▶ This one-parameter subgroup  $\{\Psi(t), t \in \mathbb{R}\}$  leaves invariant the two polynomials

$$F_2(x, y, z) = z^2 - 2\mu y$$
 and  $F_3(x, y, z) = z^3 - 3\mu y z + 3\mu^2 x$ , (3.2)

and the diffeomorphism  $F(x, y, z) := (F_3(x, y, z), F_2(x, y, z), z)$ 

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All the orbits are twisted cubic curves.

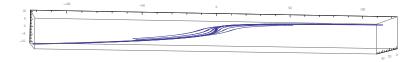


Figure: A number of orbits drawn horizontally.

## Margulis invariants

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  - ▶  $\mathbf{x}_+(\gamma)$  as an eigenvector of  $\mathcal{L}(\gamma)$  in the casual null directions with eigenvalue > 1,
  - **x**<sub>-</sub>( $\gamma$ ) as an eigenvector of  $\mathcal{L}(\gamma)$  in the casual null direction with eigenvalue < 1, and

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  - ▶  $\mathbf{x}_0(\gamma)$  as the spacelike positive eigenvector of  $\mathcal{L}(\gamma)$  of eigenvalue 1 given by

$$\mathbf{x}_0(\gamma) = \frac{\mathbf{x}_-(\gamma) \times \mathbf{x}_+(\gamma)}{\|\mathbf{x}_-(\gamma) \times \mathbf{x}_+(\gamma)\|}$$

Proper parabolic actions

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The Margulis invariant is given

$$\alpha(\gamma) = \mathsf{B}(\gamma(x) - x, \mathbf{x}_0(\gamma)), x \in \mathsf{E}$$
(3.4)

independent of the choice of x.

Margulis space-time with parabolics

Part 1: Proper action of a parabolic cyclic group

Proper parabolic actions

## Charette-Drumm invariants $cd(\cdot)$

#### Definition 3.1

An eigenvector  ${\bf v}$  of eigenvalue 1 of parabolic transformation g is *positive* relative to g if

- $\{\mathbf{v}, \mathbf{x}, \mathcal{L}(g)\mathbf{x}\}$  is positively oriented when
- **x** is any null or timelike vector which is not an eigenvector of g.

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Proper parabolic actions

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- Let  $F(\mathcal{L}(g))$  be the eigensubspace of  $\mathcal{L}(g)$  of eigenvalue 1.
- Define  $\tilde{\alpha}(\gamma) : F(\mathcal{L}(\gamma)) \to \mathbb{R}$  by

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Proper parabolic actions

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•  $cd(\gamma) > 0$  if  $\tilde{\alpha}(\gamma)$  is positive on positive eigenvectors in  $F(\mathcal{L}(\gamma)) \setminus \{0\}$  ([1]).

Proper parabolic actions

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•  $cd(\gamma) > 0$  if  $\tilde{\alpha}(\gamma)$  is positive on positive eigenvectors in  $F(\mathcal{L}(\gamma)) \setminus \{0\}$  ([1]).

#### Lemma 3.1

 $\mu > 0$  if and only if  $\gamma = \Phi_1$  has a positive Charette-Drumm invariant. Implying  $\langle \gamma \rangle$  acts properly on E.

Parabolic ruled surfaces and transverse foliations

# Constructing transversal foliations

▶  $\Psi(t) : \mathbf{E} \to \mathbf{E}$  is generated by a vector field

$$\phi := y\partial_x + z\partial_y + \mu\partial_z$$

with the square of the Lorentzian norm  $\|\phi\|^2 = z^2 - 2\mu y.$ 

Parabolic ruled surfaces and transverse foliations

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Invariants of g<sup>t</sup> are

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• We define  $\Psi(t,s) = g^t(I(s))$  so that

$$l(s) = (0, y_0, 0) + s(a, 0, c) = (sa, y_0, sc), \phi(l(s)) = (y_0, sc, \mu).$$

 $\phi$  is never parallel to (a, 0, c) for  $\frac{y_0}{\mu} < \frac{a}{c}$ .

Margulis space-time with parabolics

Part 1: Proper action of a parabolic cyclic group

 ${{\textstyle \sqsubseteq}}$  Parabolic ruled surfaces and transverse foliations

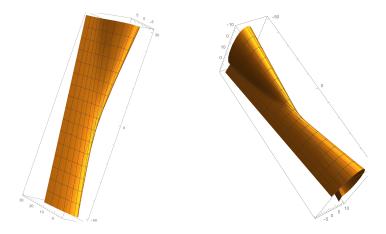


Figure: Two parabolic ruled surfaces. See [3].

Parabolic ruled surfaces and transverse foliations

### Two transverse foliations.

- Assume  $0 < \kappa_1 \le \kappa_2 < \min\{1, \frac{3}{2\mu}\}.$
- Let  $f:(0,1) \to \mathbb{R}$  be a strictly increasing analytic function satisfying

$$\kappa_1 \mu \frac{r}{\sqrt{1-r^2}} \leq f(r) \leq \kappa_2 \mu \frac{r}{\sqrt{1-r^2}}$$

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- Let  $\mathcal{H}_f$  be the space of compact segments u passing E with the following
  - ∂u in the horodisk E ⊂ Cl(S<sub>+</sub>) containing ((1,0,0)) in the boundary and in the antipodal set E<sub>−</sub> ⊂ Cl(S<sub>−</sub>),

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  - $u \cap E$  is equivalent under  $g^t$  for some t to l(s) given by  $l_{f,r}(s) = (sa, y_f(r), sc), s \in \mathbb{R}$ , where

$$y_f(r) := f(r), a = r, c = \sqrt{1 - r^2}, r \in (0, 1).$$

Parabolic ruled surfaces and transverse foliations

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- Let  $\mathcal{H}_f$  be the space of compact segments u passing E with the following
  - ∂u in the horodisk E ⊂ Cl(S<sub>+</sub>) containing ((1,0,0)) in the boundary and in the antipodal set E<sub>−</sub> ⊂ Cl(S<sub>−</sub>),
  - $u \cap E$  is equivalent under  $g^t$  for some t to l(s) given by  $l_{f,r}(s) = (sa, y_f(r), sc), s \in \mathbb{R}$ , where

$$y_f(r) := f(r), a = r, c = \sqrt{1 - r^2}, r \in (0, 1).$$

For  $r \in (0, 1)$ , let  $S_{f,r}$  denote the parabolic ruled surface given by

$$\bigcup_{t,s\in\mathbb{R}}g^t(I_{f,r}(s)).$$

Parabolic ruled surfaces and transverse foliations

### Remark 2

Define  $D_{f,r_0,t}$  for  $t \in \mathbb{R}$  denote the surface

$$\bigcup_{s\in\mathbb{R},r\in[r_0,1)}g^t(I_{f,r}(s)).$$

### Theorem 3.2

Let  $r_0 \in (0, 1)$ . Then the following hold:

Parabolic ruled surfaces and transverse foliations

### Remark 2

Define  $D_{f,r_0,t}$  for  $t \in \mathbb{R}$  denote the surface

$$\bigcup_{s\in\mathbb{R},r\in[r_0,1)}g^t(l_{f,r}(s)).$$

### Theorem 3.2

Let  $r_0 \in (0, 1)$ . Then the following hold:

▶  $S_{f,r}$  for  $r \in [r_0, 1)$  are properly embedded leaves of a foliation  $\tilde{S}_{f,r_0}$  of the region  $R_{f,r_0}$ , closed in E, bounded by  $S_{f,r_0}$  where  $g^t$  acts on.

Parabolic ruled surfaces and transverse foliations

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- ▶ { $D_{f,r_0,t}, t \in \mathbb{R}$ } is the set of properly embedded leaves of a foliation  $\tilde{\mathcal{D}}_{f,r_0}$  of  $R_{f,r_0}$  by disks meeting  $S_{f,r}$  for each  $r, r_0 < r < 1$ , transversally.

• 
$$g^{t_0}(D_{f,r_0,t}) = D_{f,r_0,t+t_0}$$

• 
$$D_{f,r_0,t'} \cap D_{f,r_0,t} = \emptyset$$
 for  $t, t', t \neq t'$ .

▶  $\operatorname{Cl}(D_{f,r_0,t}) \cap \mathbb{S}_+$  is given as a geodesic ending at the parabolic fixed point of g.

Margulis space-time with parabolics

Part 1: Proper action of a parabolic cyclic group

Parabolic ruled surfaces and transverse foliations

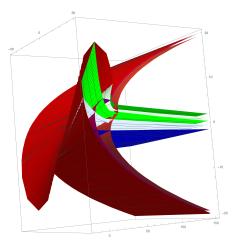


Figure: Three reddish leaves of foliation  $S_{f,r_0}$  and three bluish leaves of  $D_{f,r_0}$  where  $f(r) = \frac{3}{4} \frac{r}{\sqrt{1-r^2}}$  and  $\mu = 1$ . See [4].

Tameness of the parabolic quotient spaces

# Tameness of E/ $\langle \gamma \rangle$

#### Definition 3.3

The quotient  $R_{f,r_0}/\langle g \rangle$  is homeomorphic to a solid torus and is foliated by  $S_{f,r_0}$ induced by  $\tilde{S}_{f,r_0}$  and  $\mathcal{D}_{f,r_0}$  induced by  $\tilde{\mathcal{D}}_{f,r_0}$ . The leaves of  $S_{f,r_0}$  are annuli of form  $S_{f,r}/\langle g \rangle$ , and the leaves of  $\mathcal{D}_{f,r_0}$  are the embedded images of  $D_{f,r_0,t}$  for  $t \in \mathbb{R}$ . The embedded image of  $R_{f,r_0}/\langle g \rangle$  in E/ $\Gamma$  are foliated also.

Tameness of the parabolic quotient spaces

# Tameness of $E/\langle \gamma \rangle$

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#### Theorem 3.4 (Parabolic Tameness)

Let  $\gamma$  be a parabolic affine transformation with a positive Charette-Drumm invariant. Then  $E/\langle \gamma \rangle$  is homeomorphic to a solid torus.

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#### Theorem 3.4 (Parabolic Tameness)

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#### Remark 3

We may use a  $\gamma$ -invariant foliation of E by crooked planes from the results of Charette-Kim [2]. We will give a topological proof later.

Goldman-Labourie-Margulis decomposition and estimations of cocycles

## Anosov property of the geodesic flows

- Let  $\Gamma$  be as above with parabolics so that  $M = E/\Gamma$  is a Margulis space-time.
- $\blacktriangleright$  Define V as a quotient budle of  $\tilde{V}:=U\mathbb{S}_+\times\mathbb{R}^{2,1}$  under the diagonal action

$$\gamma(x, \mathbf{v}) = (D\gamma(x), \mathcal{L}(\gamma)(\mathbf{v})), x \in \mathbb{US}_+, \mathbf{v} \in \mathbb{R}^{2,1}, \gamma \in \Gamma.$$

Goldman-Labourie-Margulis decomposition and estimations of cocycles

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$$\gamma(x, \mathbf{v}) = (D\gamma(x), \mathcal{L}(\gamma)(\mathbf{v})), x \in \mathbb{S}_+, \mathbf{v} \in \mathbb{R}^{2,1}, \gamma \in \Gamma.$$

- ▶ Let  $\Phi_t : US_+ \to US_+$  denote the geodesic flow on  $US_+$  defined by the hyperbolic metric.
- Let

$$D\Phi_t: U\mathbb{S}_+ \times \mathbb{R}^{2,1} \to U\mathbb{S}_+ \times \mathbb{R}^{2,1}$$

denote the flow acting trivially on the second factor and as the geodesic flow on  $U\mathbb{S}_+.$ 

Goldman-Labourie-Margulis decomposition and estimations of cocycles

# Decomposition of ${\bf V}$

Given  $((\mathbf{x}), \mathbf{u}) \in US_+$ ,

- Define /(((x)), u) ⊂ S<sub>+</sub> to be the oriented complete geodesic passing through ((x)) in the direction of u, and
- ▶ Define  $\mathbf{v}_{+,(\{k\},j)} = 1/\sqrt{2}\mathbf{j} + 1/\sqrt{2}\mathbf{k}$  and  $\mathbf{v}_{-,(\{k\},j)} = -1/\sqrt{2}\mathbf{j} + 1/\sqrt{2}\mathbf{k}$  endpoints of the geodesic  $I((\{k\}), \mathbf{j}) \subset \mathbb{S}_+$ .

Goldman-Labourie-Margulis decomposition and estimations of cocycles

### Decomposition of V

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- ▶ Define  $\mathbf{v}_{+,([x]),u)}$  and  $\mathbf{v}_{-,([x]),u)}$  respectively to be the images of  $\mathbf{v}_{+,([k],j)}$  and  $\mathbf{v}_{-,([k],j)}$  under  $\mathcal{L}(g)$  if

$$\mathcal{L}(g)((\mathbf{k})) = \mathbf{x}$$
 and  $Dg(\mathbf{j}) = \mathbf{u}$ .

Goldman-Labourie-Margulis decomposition and estimations of cocycles

We give as a basis

$$\left\{ \mathbf{v}_{+,((\mathbf{x}),\mathbf{u})}, \mathbf{v}_{-,((\mathbf{x}),\mathbf{u})}, \mathbf{v}_{0,((\mathbf{x}),\mathbf{u})} \coloneqq \frac{\mathbf{v}_{-,((\mathbf{x}),\mathbf{u})} \times \mathbf{v}_{+,((\mathbf{x}),\mathbf{u})}}{\left\| \mathbf{v}_{-,((\mathbf{x}),\mathbf{u})} \times \mathbf{v}_{+,((\mathbf{x}),\mathbf{u})} \right\|} \right\}$$
(4.1)

for the fiber over ((x)) where  $\times$  is the Lorentzian crossproduct.

- ▶ Let  $\tilde{V}_0$  be the 1-dimensional subbundle of US<sub>+</sub> ×  $\mathbb{R}^{2,1}$  containing  $v_{0,((x),u)}$ .
- ▶ Let  $\tilde{V}_+$  be the 1-dimensional subbundle of US<sub>+</sub> ×  $\mathbb{R}^{2,1}$  containing  $v_{+,((x),u)}$ .
- ▶ Let  $\tilde{V}_{-}$  be the 1-dimensional subbundle of US<sub>+</sub> ×  $\mathbb{R}^{2,1}$  containing  $v_{-,((x),u)}$ .

Goldman-Labourie-Margulis decomposition and estimations of cocycles

## Exponential stretching and contracting

Recall from Section 4.4 of [7] that the flow  $\Phi_t$  acts on V, and V splits into three  $\Phi_t$ -invariant line bundles  $V_+$ ,  $V_-$  and  $V_0$ , which are images of  $\tilde{V}_+$ ,  $\tilde{V}_-$  and  $\tilde{V}_0$ .

Goldman-Labourie-Margulis decomposition and estimations of cocycles

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Our choice of  $\|\cdot\|_{\text{fiber}}$  shows that  $D\Phi_t$  acts as uniform contraction in  $\mathbf{V}_+$  as  $t \to \infty, -\infty$ , i.e.,

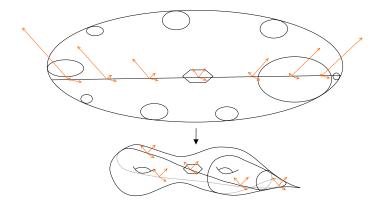
$$\begin{split} \|D\Phi_{t}(\mathbf{v}_{+})\|_{\text{fiber}} &\cong \exp(-t) \|\mathbf{v}_{+}\|_{\text{fiber}} \text{ for } \mathbf{v}_{+} \in \tilde{\mathbf{V}}_{+}, \\ \|D\Phi_{t}(\mathbf{v}_{-})\|_{\text{fiber}} &\cong \exp(t) \|\mathbf{v}_{-}\|_{\text{fiber}} \text{ for } \mathbf{v}_{-} \in \tilde{\mathbf{V}}_{-}, \\ \|D\Phi_{t}(\mathbf{v}_{0})\|_{\text{fiber}} &\cong \|\mathbf{v}_{0}\|_{\text{fiber}} \text{ for } \mathbf{v}_{0} \in \tilde{\mathbf{V}}_{0}. \end{split}$$
(4.2)

Margulis space-time with parabolics

Part 2: Geometric estimations and convergences

Goldman-Labourie-Margulis decomposition and estimations of cocycles

## Digram for bundles



The frames on US<sub>+</sub> and on US. The circles bound horodisks covering the cusp neighborhoods below. The compact set  $\mathscr K$  is a some small compact set where the closed geodesics pass through.

Translations vectors and orbits of a proper affine deformations

## de Rham isomorphism

- $\blacktriangleright$  The  $\mathscr V\text{-valued}$  forms are differential forms with values in the fiber spaces of  $\mathscr V.$
- The  $\widetilde{\mathscr{V}}$ -valued forms on  $\mathbb{S}_+$  are simply the  $\mathbb{R}^{2,1}$ -valued forms on  $\mathbb{S}_+$ .

L-Translations vectors and orbits of a proper affine deformations

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- The group Γ acts by

$$\gamma^*(\mathbf{v}\otimes d\mathbf{x}) = \mathcal{L}(\gamma)^{-1}(\mathbf{v})\otimes d(\mathbf{x}\circ\gamma), \gamma\in\Gamma.$$
(4.3)

▶ Write g as  $g(x) = A_g x + \mathbf{b}_g$ ,  $x \in \mathsf{E}$ . Then  $\mathbf{b} : \Gamma \to \mathbb{R}^{2,1}$  given by

$$g \mapsto \mathbf{b}_g$$
 for every  $g$ 

is a cocycle representing an element of

$$H^1(\pi_1(\mathsf{S}),\mathbb{R}^{2,1})=H^1(\mathsf{S},\mathscr{V})$$

using the de Rham isomorphism.

Translations vectors and orbits of a proper affine deformations

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$$H^1(\pi_1(\mathsf{S}),\mathbb{R}^{2,1})=H^1(\mathsf{S},\mathscr{V})$$

using the de Rham isomorphism.

 $\blacktriangleright$  Let  $\eta$  denote the smooth  $\mathscr V\text{-valued}$  1-form on S representing the cocycle  ${\bf b}$  in the de-Rham sense.

L-Translations vectors and orbits of a proper affine deformations

# Estimating cocycle values $\mathbf{b}_g$

We obtain

$$\mathbf{b}_{g} := \int_{[0, t_{g}]} D\Phi((x_{g}, \mathbf{u}_{g}), t)^{-1} \left( \tilde{\eta} \left( \frac{d\Phi((x_{g}, \mathbf{u}_{g}), t)}{dt} \right) \right) dt$$
(4.4)

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where  $\Phi((x_g, \mathbf{u}_g), [0, t_g])$  for  $x_g \in \mathcal{K}$  and a unit vector  $\mathbf{u}_g$  at  $x_g$ , covers a closed curve representing g.

L-Translations vectors and orbits of a proper affine deformations

# Estimating cocycle values $\mathbf{b}_g$

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Define

$$\tilde{\eta}_{\omega}(( (x)), \mathbf{u}) = \Pi_{\widetilde{\mathbf{V}}_{\omega}}(\tilde{\eta}(( (x)), \mathbf{u})),$$
(4.5)

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where  $\omega = +, -, 0$ .

L-Translations vectors and orbits of a proper affine deformations

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We obtain

$$\mathbf{b}_g := \int_{[0,t_g]} D\Phi((x_g, \mathbf{u}_g), t)^{-1} \left( \tilde{\eta} \left( \frac{d\Phi((x_g, \mathbf{u}_g), t)}{dt} \right) \right) dt$$
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where  $\Phi((x_g, \mathbf{u}_g), [0, t_g])$  for  $x_g \in \mathcal{K}$  and a unit vector  $\mathbf{u}_g$  at  $x_g$ , covers a closed curve representing g.

Define

$$\tilde{\eta}_{\omega}(((\mathbb{x})), \mathbf{u}) = \prod_{\widetilde{\mathbf{V}}_{\omega}} (\tilde{\eta}(((\mathbb{x})), \mathbf{u})),$$
(4.5)

where  $\omega = +, -, 0$ .

We define invariants:

$$\mathbf{b}_{g,\omega} := \Pi_{\widetilde{\mathbf{V}}_{\omega}}(\mathbf{b}_{g}) = \int_{[0,t_{g}]} D\Phi((x_{g},\mathbf{u}_{g}),t)^{-1} \left( \tilde{\eta}_{\omega} \left( \frac{d\Phi((x_{g},\mathbf{u}_{g}),t)}{dt} \right) \right) dt, \quad (4.6)$$

Translations vectors and orbits of a proper affine deformations

▶ Let  $\mathbf{H}_j \subset S_+, j = 1, 2, ...$ , denote the horodisks Let  $p_j$  denote the parabolic fixed point corresponding to  $\mathbf{H}_j$ .

Translations vectors and orbits of a proper affine deformations

- ▶ Let  $\mathbf{H}_j \subset S_+, j = 1, 2, ...$ , denote the horodisks Let  $p_j$  denote the parabolic fixed point corresponding to  $\mathbf{H}_j$ .
- Each **H**<sub>j</sub> has coordinates  $x_j, y_j$  from the upper half-space model where  $p_j$  becomes  $\infty$ , and **H**<sub>j</sub> is given by  $y_j > 1$ .
- We may choose the 1-form  $\eta$  in the same cohomology class so that  $\eta'$ , its lift to  $\mathbb{S}_+$ , is on any cusp neighborhood:

$$\mathbf{p}_j dx_j \text{ where } (\!(\mathbf{p}_j)\!) = p_j. \tag{4.7}$$

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#### Theorem 4.1

Assume the positivity of Margulis and Charette-Drumm invariants, and  $\mathcal{L}(\Gamma) \subset SO(2,1)^{\circ}$ . For every sequence  $\{g_i\}$  with  $l(g_i) \to \infty$  of elements of  $\Gamma_{\mathscr{K}}$ , the following hold:

- $\blacktriangleright \|\mathbf{b}_{g_i}\|_E \to \infty.$
- $\{\|\mathbf{b}_{g_i}-\|_E\} < C$  for a uniform constant C > 0 independent of *i*.
- ►  $\mathbf{d}(((\mathbf{b}_{g_i})), \operatorname{Cl}(\zeta_{a_{g_i}})) \to 0.$

Margulis space-time with parabolics

Part 2: Geometric estimations and convergences

Translations vectors and orbits of a proper affine deformations

#### Corollary 4.2

Let M be a Margulis space-time  $E/\Gamma$  with holonomy group  $\Gamma$  with parabolics. Let  $K \subset E$  be a compact subset. Let  $y \in \mathbb{S}_+$ , and let  $\gamma_i \in \Gamma$  be a sequence such that  $\gamma_i(y) \to y_\infty$  for  $y_\infty \in \partial \mathbb{S}_+$ . Then for every  $\epsilon > 0$ , there exists  $I_0$  such that

 $\gamma_i(K) \subset N_{\mathbf{d},\epsilon}(\operatorname{Cl}(\zeta_{y_{\infty}}))$  for  $i > I_0$ .

Equivalently, any sequence  $\{\gamma_i(z_i)|z_i \in K\}$  accumulates only to  $Cl(\zeta_{y_{\infty}})$ .

# Exhaustions

### Proposition 5.1 (Scott-Tucker)

Let  $E/\Gamma$  be a Margulis space-time with parabolics. Then  $E/\Gamma$  has a sequence of handlebodies

$$M_{(1)} \subset M_{(2)} \subset \cdots \subset M_{(i)} \subset M_{(i+1)} \subset \cdots$$

so that  $M_0 = \bigcup_{i=1}^{\infty} M_{(i)}$ . They have the following properties:

- $\pi_1(M_{(1)}) \rightarrow \pi_1(M)$  is an isomorphism.
- The inverse image M
  <sub>(i)</sub> of M<sub>(i)</sub> in M
  <sup>˜</sup> is connected.
- $\pi_1(M_{(i)}) \rightarrow \pi_1(M)$  is surjective.
- ► for each compact subset  $K \subset E/\Gamma$ , there exists an integer I so that for i > I,  $K \subset M_{(i)}$ .

## Boundedness in the parabolic sectors

## Proposition 5.2

Let  $\hat{R}_i$  denote the subdomain of the parabolic region  $R_i$  bounded by two crooked-boundary disks  $D_1$  and  $D_2$  whose closures contains  $\operatorname{Cl}(\zeta_{p_j})$  for a parabolic fixed point  $p_i$  with the parabolic generator  $\gamma_i$  acting on  $R_i$ . Assume that  $D_i \cap R_j$ , i = 1, 2, is a ruled disk of the form of Theorem 3.2. Suppose that  $D_1 \cap R_j$  and  $\gamma_i^{\delta}(D_1) \cap R_i$  for  $\delta = 1$  or -1 bounds a region in  $R_i$  containing  $\hat{R}_i$ .

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- Then  $\hat{R}_j \cap \tilde{M}_{(J)}$  is also compact for each j.
- Furthermore, we may assume that

$$ilde{M}_{(J)} \cap R_j = \emptyset ext{ for } j = 1, \dots, c_0,$$

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by choosing  $R_j$  sufficiently far away.

## Boundedness in the parabolic sectors

## Proposition 5.2

Let  $\hat{R}_j$  denote the subdomain of the parabolic region  $R_j$  bounded by two crooked-boundary disks  $D_1$  and  $D_2$  whose closures contains  $\operatorname{Cl}(\zeta_{p_j})$  for a parabolic fixed point  $p_j$  with the parabolic generator  $\gamma_j$  acting on  $R_j$ . Assume that  $D_i \cap R_j$ , i = 1, 2, is a ruled disk of the form of Theorem 3.2. Suppose that  $D_1 \cap R_j$  and  $\gamma_i^{\delta}(D_1) \cap R_j$  for  $\delta = 1$  or -1 bounds a region in  $R_j$  containing  $\hat{R}_j$ .

- Then  $\hat{R}_j \cap \tilde{M}_{(J)}$  is also compact for each j.
- Furthermore, we may assume that

$$ilde{M}_{(J)} \cap R_j = \emptyset ext{ for } j = 1, \dots, c_0,$$

by choosing  $R_j$  sufficiently far away.

## Proof

We use exhaustions and Corollary 4.2

Choices of the candidate fundamental domain  ${\bf F}$  bounded by almost crooked-disks  ${\cal D}_j$ 

Now going to  $E/\Gamma$  with exhaustions  $M_{(J)}$  as above.

## Lemma 5.3

We can choose the mutually disjoint collection  $\mathcal{D}_j \subset \mathsf{E}$  of properly embedded open disks and a tubular neighborhood  $T_j \subset \operatorname{Cl}(\mathcal{D}_j)$  of  $\partial \mathcal{D}_j$  for each  $j, j = 1, \ldots, 2\mathbf{g}$ , that form a matching set  $\{T_j | j = 1, \ldots, 2\mathbf{g}\}$  for a collection  $\mathcal{S}_0$  of generators of  $\Gamma$ . Finally,  $\partial \mathcal{D}_j = d_j \cup \mathcal{A}(d_j) \cup \bigcup_{x \in \partial d_j} \operatorname{Cl}(\zeta_x)$  for a lift  $d_j$  of  $\hat{d}_j$ . Choices of the candidate fundamental domain  ${\bf F}$  bounded by almost crooked-disks  ${\cal D}_j$ 

Now going to  $E/\Gamma$  with exhaustions  $M_{(J)}$  as above.

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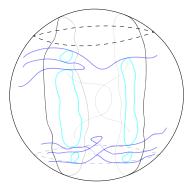
- Here, of course, the disk collection is not a matching set under  $S_0$ .
- $D_j$ , j = 1, 2, ..., 2g, bound a region **F** closed in E with a compact closure in Cl(E), a finite-sided polytope in the topological sense.

Margulis space-time with parabolics

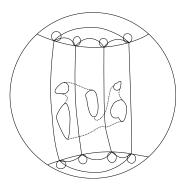
Part 3: Topology of 3-manifolds

 $\square$  Finding the fundamental domain

## **Figures**



(a)  $\tilde{M}_{(J)}$  meeting with disks



(b) The fundamental domain bounded by disks

## Tameness

## Proposition 5.4 (Boundedness of $M_{(J)}$ in disks)

Let J be an arbitrary positive integer. For any crooked-boundary disk D,  $D \cap \tilde{M}_{(J)}$  is compact, i.e., bounded, and has only finitely many components.

Proof.

Follows from Cor 4.2 and Prop. 5.2.



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Definition 5.1

We modify  $T_j$  so that it is disjoint from the compact set in  $D_j$ 

$$\bigcup_{\substack{(k,l)
eq (j,j+\mathbf{g}) \mod 2\mathbf{g}}} \mathcal{D}_j \cap \gamma_k(\mathcal{D}_l),$$

which we call an unintended set.

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which we call an unintended set.

• Now we consider  $K_0$  be the set

$$\bigcup_{j=1}^{2\mathbf{g}} \bigcup_{(k,l)\neq (j,j+\mathbf{g}) \mod 2\mathbf{g}} (\mathcal{D}_j \cap \gamma_k(\mathcal{D}_l)) \,.$$

which is a compact set by the finiteness. We also add to  $K_0$  the following sets:  $2 \circ 33/40$ 

$$\tilde{M}_{(J)} \supset N_{\mathbf{d},\epsilon} \left( K_0 \right) \tag{5.1}$$

for an  $\epsilon$ -neighborhood,  $\epsilon > 0$ .

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#### Lemma 5.5

 $\tilde{M}_{(J)} \cap D_i$  is a union of finitely many compact planar surfaces. Then  $\bigcup_{i=1}^{2g} D_i \cap \partial \tilde{M}_{(J)}$  maps to a union of embedded simple closed circles in  $\partial M_{(J)}$  bounding immersed planar surfaces in  $M_{(J)}$ .

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This follows since they form the boundary of a fundamental region of  $\partial \tilde{M}_{(J)}$ .

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#### Proof.

This follows since they form the boundary of a fundamental region of  $\partial \tilde{M}_{(J)}$ .

## Proposition 5.6 (Outside Tameness)

Let M denote  $E/\Gamma$  where  $\mathcal{L}(\Gamma) \subset SO(2, 1)^{\circ}$ . Let  $\mathbf{F}$  be the domain bounded by  $\bigcup_{i=1}^{2^{\mathbf{g}}} \mathcal{D}_i$ . Then  $\mathbf{F} \setminus \tilde{M}_{(J)}$  is a fundamental domain of  $M \setminus M_{(J)}$ , and M is tame. Furthermore,  $\bigsqcup_{i=1}^{2^{\mathbf{g}}} \mathcal{D}_i \setminus \tilde{M}_{(J)}$  embeds to a disjoint union of properly embedded surfaces in M.

- ▶ By Dehn's lemma applied to  $M_{(J)}$ , each component of  $\mathcal{D}_i \cap \partial \tilde{M}_{(J)}$  bounds a disk mapping to a mutually disjoint collection of embedded disks in  $M_{(J)}$ .
- ▶ We modify  $\mathcal{D}_i$  by replacing each component of  $\mathcal{D}_i \cap \tilde{M}_{(J)}$  with lifts of these disks.

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- ▶ We modify  $\mathcal{D}_i$  by replacing each component of  $\mathcal{D}_i \cap \tilde{M}_{(J)}$  with lifts of these disks.
- We define A<sub>i</sub> := ⋃<sub>x∈ai</sub> ζ<sub>x</sub>, an open domain where ζ<sub>x</sub> is the accordant semi-circle for x. We define

$$ilde{\Sigma} := \mathbb{S}_+ \cup \mathbb{S}_- \cup igcup_{i \in \mathcal{I}} (A_i \cup a_i \cup \mathcal{A}(a_i))$$

for the antipodal map  $\mathcal{A}$ .

•  $\Sigma := \tilde{\Sigma} / \Gamma$  is a real projective surface, i.e., the *ideal*  $\mathbb{RP}^2$ -surface.

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- ▶ We modify  $D_i$  by replacing each component of  $D_i \cap \tilde{M}_{(J)}$  with lifts of these disks.
- ► We define  $A_i := \bigcup_{x \in a_i} \zeta_x$ , an open domain where  $\zeta_x$  is the accordant semi-circle for x. We define

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## Proposition 5.7

There exists a fundamental domain  $\mathcal{R}$  closed in E bounded by  $\mathcal{D}_j$ ,  $j = 1, \ldots, 2g$ . Moreover,  $\operatorname{Cl}(\mathcal{R}) \cap (E \cup \tilde{\Sigma})$  is the fundamental domain of a manifold  $(E \cup \tilde{\Sigma})/\Gamma$  with boundary  $\Sigma$ . Here,  $\mathcal{R}$  and  $\operatorname{Cl}(\mathcal{R})$  are 3-cells, and  $E/\Gamma$  is homeomorphic to the interior of a handlebody of genus g.

Let 
$$P = \bigcup_{\gamma \in \Gamma} \bigcup_{i=1,...,m_0} \gamma(\mathcal{P}_i)$$
, and let  $\mathcal{P}_{\mathcal{R}} := (\mathcal{P}_1 \cup \cdots \cup \mathcal{P}_{m_0}) \cap \mathcal{R}$ .

### **Proposition 5.8**

We can choose the sufficiently far away parabolic regions

 $\mathcal{P}_1,\ldots,\mathcal{P}_{m_0}$ 

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- ▶  $\gamma(\mathcal{P}_i) \cap \mathcal{R} \neq \emptyset$  if and only if  $\gamma(\mathcal{P}_i)$  meets  $\mathcal{R}$  nicely, and  $\gamma(\mathcal{P}_i) = \mathcal{P}_j$  for some j.
- $\mathcal{R}$  meets only  $\mathcal{P}_1, \ldots, \mathcal{P}_{m_0}$  among all images  $\gamma(\mathcal{P}_r)$  for  $\gamma \in \Gamma, r = 1, \ldots, m_0$ .

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- Moreover, for every pair  $\gamma, \eta \in \Gamma$ ,

$$\gamma(\mathcal{P}_j) \cap \eta(\mathcal{P}_k) = \emptyset \text{ or } \gamma(\mathcal{P}_j) = \eta(\mathcal{P}_k), j, k = 1, \dots, m_0$$

First, we recall our bordifying surface:

$$ilde{\Sigma}_0 := \mathbb{S}_+ \cup \mathbb{S}_- \cup \bigcup_{i \in \mathcal{I}} (A_i \cup a_i \cup \mathcal{A}(a_i)).$$

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- We define P to be a union of mutually disjoint parabolic regions of form γ(P<sub>i</sub>) for γ ∈ Γ, i = 1,..., m<sub>0</sub>.
- We take the closure Cl(P) of P and take the relative interior P' in the closed hemisphere  $\mathcal{H}$ .
- ▶ Let  $\partial_{\mathsf{E}} P'$  denote  $\partial P \cap \mathsf{E}$ . Then define  $\tilde{N}' := \mathsf{E} \cup \tilde{\Sigma} \setminus P'$ .

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- ▶ Let  $\partial_{\mathsf{E}} P'$  denote  $\partial P \cap \mathsf{E}$ . Then define  $\tilde{N}' := \mathsf{E} \cup \tilde{\Sigma} \setminus P'$ .
- ▶ Γ acts properly discontinuously on  $\tilde{N}'$ . Thus,  $N' := \tilde{N}' / Γ$  is a manifold.
- The manifold boundary  $\partial N'$  of N' is

$$((\tilde{\Sigma} \setminus P') \cup \partial_{\mathsf{E}} P') / \Gamma.$$

Define  $P'' = P'/\Gamma$ .

- ► Also,  $\partial_N(P'') := (\partial_E P')/\Gamma$  is a union of a finite number of disjoint annuli.  $\partial N'$  is homeomorphic to  $(\Sigma \setminus P'') \cup \partial_N P''$ .
- Recall that the union of facial-disks D<sub>i</sub>, i = 1,..., 2g, bounds the fundamental domain R in H.

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$$\bigcup_{i=1}^{2\mathsf{g}} \mathrm{Cl}(\mathcal{D}_i) \cap (\mathsf{E} \cup \tilde{\Sigma} \setminus P')$$

bounds a fundamental domain

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- $N' := (E \cup \tilde{\Sigma} \setminus P') / \Gamma$  is compact and is homeomorphic to a handlebody of genus g by Theorem 5.2 of Hempel [9].
- *N* deformation retracts to *N'* as above since  $\phi$  does not act on any component of *P'*.

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