

A personal note on the Picard schemes

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1. DEFINITIONS AND BASIC PROPERTIES

Let X be a nonsingular projective variety over an algebraically closed field k of any characteristic.

Definition 1.1 (*T*-isomorphism). *Let $T \in \text{Sch}_k$. Two line bundles L, L' on $X \times T$ are said to be T -isomorphic if there is a line bundle M on T such that $L \simeq L' \otimes p_2^*M$ where $p_2 : X \times T \rightarrow T$ is the projection.*

Definition 1.2 (The Picard functor). *We define a contravariant functor:*

$$\text{Pic}_X : \text{Sch}_k \rightarrow \text{Set}$$

as follows:

- (1) For $T \in \text{Sch}_k$, $\text{Pic}_X(T)$ is the set of all T -isomorphism classes of line bundles over $X \times T$.
- (2) For $f : T' \rightarrow T$, we define $\text{Pic}_X(f) : \text{Pic}_X(T) \rightarrow \text{Pic}_X(T')$ as $\text{Pic}_X(f)([L]) = [(\text{id}_X \times f)^*L]$.

Definition 1.3 (Pic_X^0). Pic_X^0 is a subfunctor of Pic_X defined by

$$\text{Pic}_X^0(T) = \{L \in \text{Pic}_X(T) \mid L_t := L|_{X \times \{t\}} \approx \mathcal{O}_X \text{ for all } t \in T\}$$

where \approx is the algebraic equivalence.

Proposition 1.4. Pic_X and Pic_X^0 are representable and their representing pairs $(\underline{\text{Pic}}(X), L)$, $(\underline{\text{Pic}}^0(X), L')$ have the following properties:

- (1) $\underline{\text{Pic}}(X)$ is a disjoint union of an infinite family of proper k -schemes.
- (2) L is a line bundle on $X \times \underline{\text{Pic}}(X)$ and the k -rational points of $\underline{\text{Pic}}(X)$ are in a natural bijective correspondence with the elements of the Picard group of X , $\text{Pic}(X)$.
- (3) $\underline{\text{Pic}}^0(X)$ is the connected component of the origin 0 in $\underline{\text{Pic}}(X)$, where $0 \in \underline{\text{Pic}}(X)$ is the k -rational point corresponding to the class of \mathcal{O}_X , and $\underline{\text{Pic}}^0(X)$ is a proper scheme over k .
- (4) The sheaf L' is the restriction of L to $X \times \underline{\text{Pic}}^0(X)$ and L' is uniquely determined up to a $\underline{\text{Pic}}^0(X)$ -isomorphism.

Definition 1.5 (Poincaré invertible sheaf). *Let $(\underline{\text{Pic}}^0(X), L')$ be as above. Choose a closed point $x_0 \in X$. Let $M = L'|_{\{x_0\} \times \underline{\text{Pic}}^0(X)}$. Replace L' by $L' \otimes p_2^*M^{-1}$. (They are $\underline{\text{Pic}}^0(X)$ -isomorphic, but not necessarily isomorphic.) This representation L' of the $\underline{\text{Pic}}^0(X)$ -isomorphism class of L' is called the Poincaré invertible sheaf or the Poincaré line bundle on $X \times \underline{\text{Pic}}^0(X)$.*

Proposition 1.6. *The Poincaré line bundle is uniquely determined up to isomorphism, and it is completely characterized by the following properties:*

- (1) (a) $L'|_{\{x_0\} \times \underline{\text{Pic}}^0(X)} \simeq \mathcal{O}_{\underline{\text{Pic}}^0(X)}$.
 (b) for every closed point $a \in \underline{\text{Pic}}^0(X)$, the invertible \mathcal{O}_X -module $L'_a := L'|_{X \times \{a\}}$ is algebraically equivalent to 0 , and $a \mapsto L'_a$ defines a bijection between the set of closed points of $\underline{\text{Pic}}^0(X)$ and $\text{Pic}^0(X)$.
- (2) (Universal Mapping Property) *Let T be an arbitrary k -scheme and L'' is an invertible $\mathcal{O}_{X \times T}$ -module such that*
 - (a) $L''|_{\{x_0\} \times T} \simeq \mathcal{O}_T$ and

- (b) $L''_t = L''|_{X \times \{t\}}$ is algebraically equivalent to 0 for all $t \in T$. Then, there is a unique morphism of k -schemes $f : T \rightarrow \underline{\text{Pic}}^0(X)$ such that $L'' \simeq (\text{id}_X \times f)^*(L')$.

Proposition 1.7. *The Zariski tangent space $T_{\underline{\text{Pic}}^0(X),0}$ of $\underline{\text{Pic}}^0(X)$ at 0 is canonically isomorphic to the k -vector space $H^1(X, \mathcal{O}_X)$.*

Remark. For any $T \in \text{Sch}_k$ on $\text{Pic}^0(T)$ has a natural abelian group structure, which is given by the tensor product of invertible $\mathcal{O}_{X \times T}$ -modules, so that in fact

$$\text{Pic}_X^0 : \text{Sch}_k \rightarrow \text{Ab} \rightarrow \text{Set}.$$

Hence, $\underline{\text{Pic}}^0(X)$ is in fact a commutative group scheme so that if $\underline{\text{Pic}}^0(X)$ is reduced, it is an abelian variety.

Theorem 1.8 (Cartier). *Every commutative group scheme is reduced if the characteristic of k is 0.*

Definition 1.9. *The group scheme $\underline{\text{Pic}}^0(X)$ is called the Picard scheme of X and the commutative group $\underline{\text{Pic}}^0(X)_{\text{red}}$ is called the (classical) Picard variety of X .*

Remark. *Since X was a nonsingular projective variety over k , $\underline{\text{Pic}}^0(X)$ is proper over k so that $\underline{\text{Pic}}^0(X)$ is an abelian variety.*

Remark. Pic_X^0 is representable even if X is not regular (but still projective), but in this case $\underline{\text{Pic}}^0(X)$ may be nonproper over k .

2. REVIEW OF ABELIAN VARIETIES

As usual, k is always algebraically closed.

Definition 2.1 (abelian variety). *An abelian variety X is a complete algebraic variety over k with a group law $m : X \times X \rightarrow X$ such that m and the inverse map are both morphisms of varieties.*

We have some properties of an abelian variety.

Proposition 2.2. *An abelian variety X of dimension g over k has the following properties:*

- (1) X is commutative and divisible.
- (2) If $n_X : X \rightarrow X$ is multiplication by $n > 0$, then $X_n = \ker n_X$ is

$$X_n = (\mathbb{Z}/n\mathbb{Z})^{2g} \quad \text{if char } k \nmid n$$

$$X_{p^m} = (\mathbb{Z}/p^m\mathbb{Z})^i \quad \text{if } p = \text{char } k, m > 0$$

where i can take every value in the range $0 \leq i \leq g = \dim X$. i is called the p -rank of X .

- (3) The cohomology groups

$$H^q(X, \Omega^p) \simeq \Lambda^p H^0(X, \Omega^1) \otimes_k \Lambda^q H^1(X, \mathcal{O}_X)$$

and $\dim H^1(X, \mathcal{O}_X) = \dim H^0(X, \Omega^1) = g$.

- (4) The algebraic fundamental group $\pi_1(X)$, i.e., the inverse limit of finite groups of unramified Galois coverings, is isomorphic to

$$\prod_l (\mathbb{Z}_l)^{2g} \quad \text{in char } 0$$

$$\prod_{l \neq p} (\mathbb{Z}_l)^{2g} \times \mathbb{Z}_p^i \quad \text{in char } p.$$

(5) *There is an exact sequence*

$$0 \rightarrow \text{Pic}^0(X) \rightarrow \text{Pic}X \rightarrow \text{NS}(X) \rightarrow 0$$

where $\text{Pic}^0(X)$ is has a natural structure of an abelian variety and $\text{NS}(X)$ is a finitely generated free abelian group whose rank ρ is called the base number of X .

(6) *Let $\Omega_0 = T_{X,0}^*$. Then,*

$$\Omega_0 \otimes_k \mathcal{O}_X \simeq \Omega_X^1.$$

(7) *If char $p \nmid n$, then, $n_X : X \rightarrow X$ is surjective.*

To show the commutativity of an abelian variety, which *a priori* is not defined to be abelian, we need the following very useful lemma:

Lemma 2.3 (The Rigidity Lemma). *Let X be a complete variety and Y, Z be any varieties, and let $f : X \times Y \rightarrow Z$ be a morphism such that for some $y_0 \in Y$, $f(X \times \{y_0\})$ is a single point $z_0 \in Z$. Then, there is a morphism $g : Y \rightarrow Z$ such that f factors through g and $p_2 : X \times Y \rightarrow Y$, i.e. $f = g \circ p_2$.*

Proof. Choose any point $x_0 \in X$, and define $g : Y \rightarrow Z$ by $g(y) = f(x_0, y)$. To prove that $f = g \circ p_2$, it is enough to show that they agree on an open subset of $X \times Y$.

Let U be an affine open neighborhood of $z_0 \in Z$, and let $F = Z - U$, $G = p_2(f^{-1}(F))$. Since X is complete, p_2 is a closed map, so that G is closed in Y . Since $f(X \times \{y_0\}) = \{z_0\}$ and $z_0 \notin F$, we must have $y_0 \notin G$. Hence $V = Y - G$ is a nonempty open set in Y . Now, for $y \in V$, $X \times \{y\} \xrightarrow{f} U$ and $X \times \{y\}$ is complete and U is affine. Hence it is constant.

(Recall: X is a complete k -variety, then

$$\text{Hom}_{\text{Sch}_k}(X, \text{Spec}A) = \text{Hom}_{k\text{-alg}}(A, \Gamma(X, \mathcal{O}_X)) = \text{Hom}_{k\text{-alg}}(A, k) = \text{Hom}_{\text{Sch}_k}(\text{Spec}k, \text{Spec}A)$$

which is the collection of k -rational points of $\text{Spec}A$. Hence all morphisms are constant.)

Hence, in particular, for $(x, y) \in X \times V$,

$$f(x, y) = f(x_0, y) = g(y) = g(p_2(x, y)).$$

□

Above lemma has the following very interesting and useful corollaries.

Corollary 2.4. *Let X, Y be abelian varieties and $f : X \rightarrow Y$ be any morphism. Then, there is a homomorphism $h : X \rightarrow Y$ of abelian varieties with $a \in Y$ such that $f(x) = h(x) + a$, i.e. any morphism between abelian varieties is a translation of a homomorphism.*

Proof. Replace f by $f - f(0)$, so that we may assume that $f(0) = 0$, and then we have to show that f is a homomorphism. Consider $\phi : X \times X \rightarrow Y$ defined by $\phi(x, y) = f(x + y) - f(x) - f(y)$. Then, $\phi(x, 0) = f(x) - f(x) = 0$ so that by the Rigidity lemma, there is $g : X \rightarrow Y$ such that $g(y) = \phi(x, y) = f(x + y) - f(x) - f(y)$. Note that the LHS depends only of y , so that we can put $x = 0$ in RHS which gives $g(y) = f(y) - f(y) = 0$ for all y , i.e. $g \equiv 0$, i.e. $f(x + y) \equiv f(x) + f(y)$. Hence f is a homomorphism. □

Corollary 2.5. *X is an abelian group.*

Proof. The morphism $\phi : X \rightarrow X$, $x \mapsto x^{-1}$ is a homomorphism by above corollary. Hence, $(xy)^{-1} = y^{-1}x^{-1} = x^{-1}y^{-1}$ which is $xy = yx$. □

Corollary 2.6. *Let X be an abelian variety with base point 0 . Let \mathcal{C}_0 be the category of based complete varieties over k , with morphisms are morphisms of varieties preserving basepoints. Let $S \in \mathcal{C}_0$. Note that $\text{Hom}_{\mathcal{C}_0}(S, X)$ is an abelian group. Then, the contravariant functor*

$$\mathcal{C}_0 \rightarrow \text{Ab}, S \mapsto \text{Hom}_{\mathcal{C}_0}(S, X)$$

is linear, in the sense that

$$\mathrm{Hom}_{\mathcal{C}_0}(S, X) \times \mathrm{Hom}_{\mathcal{C}_0}(T, X) \rightarrow \mathrm{Hom}_{\mathcal{C}_0}(S \times T, X), \quad (f, g) \mapsto h, \quad h(s, t) = f(s) + g(t)$$

is a bijection.

Proof. Injectivity is obvious. For surjectivity, let $h \in \mathrm{Hom}_{\mathcal{C}_0}(S \times T, X)$. Let $f(s) = h(s, t_0)$, $g(t) = h(s_0, t)$, $k(s, t) = h(s, t) - f(s) - g(t)$. Then, $k(S \times t_0) = 0$, so that by the Rigidity lemma, $k \equiv 0$. \square

3. THE PICARD VARIETY AND THE ALBANESE VARIETY

Let's recall some definitions.

Definition 3.1 (Albanese variety). *Let X be a nonsingular projective variety and let $x_0 \in X$ be a fixed closed point. A pair (A, α) , A : an abelian variety, and $\alpha : X \rightarrow A$ a morphism such that $\alpha(x_0) = 0$ is called the Albanese variety of X if, for every morphism $f : X \rightarrow B$ such that B is an abelian variety and $f(x_0) = 0$, there is a unique homomorphism of abelian varieties $g : A \rightarrow B$ such that $g \circ \alpha = f$*

Remark. One corollary of the previous section tells us that a morphism between abelian varieties is always a homomorphism plus a constant, so that above definition can be in fact made without resorting to a base point $x_0 \in X$.

Remark. Also, above definition is stated in terms of a universal property, so that all Albanese varieties of X must be isomorphic, and so, we can just say that $(\mathrm{Alb}(X), \alpha)$ is the Albanese variety of X , once it exists.

We'll show the existence of the Albanese variety and its construction will show the relationship with the Picard scheme.

Definition 3.2 (dual abelian variety). *Let B be an abelian variety. Mumford proved that then $\mathrm{Pic}^0(B)$ is always reduced, hence an abelian variety. We write it as $\hat{B} = \mathrm{Pic}^0(B)$ and call it the dual abelian variety of B .*

Note that $\dim \hat{B} = \dim T_{B,0} = \dim H^1(B, \mathcal{O}_B) = \dim B$.

Let X be an arbitrary nonsingular projective variety. Let $P(X)$ be the Picard variety of X , i.e. $P(X) = \mathrm{Pic}^0(X)_{\mathrm{red}}$.

Lemma 3.3. *There is a canonical morphism*

$$u_X : X \rightarrow \widehat{P(X)} = \mathrm{Pic}^0(P(X)).$$

Proof. Let $x_0 \in X$. Let L' be the Poincaré line bundle on $X \times \mathrm{Pic}^0(X)$ and L'' be the Poincaré line bundle on $P(X) \times \widehat{P(X)}$. Let L'_{red} be the restriction of L' on $X \times P(X)$.

Let $T = X$, and let $v : P(X) \times X \rightarrow X \times P(X)$ be defined by $(a, b) \mapsto (b, a)$, which is an isomorphism. Consider $v^*L'_{\mathrm{red}}$.

Choose $a_0 \in P(X)$, which corresponds to \mathcal{O}_X , in the sense of Prop (1.6) (1) (b), then, by our choice, $L'_{\mathrm{red}}|_{X \times \{a_0\}} \simeq \mathcal{O}_X$, so that

- (a) $v^*L'_{\mathrm{red}}|_{\{a_0\} \times X} \simeq L'_{\mathrm{red}}|_{X \times \{a_0\}} \simeq \mathcal{O}_X$ and
- (b) $v^*L'_{\mathrm{red}}|_{P(X) \times \{x\}} \simeq L'_{\mathrm{red}}|_{\{x\} \times P(X)} \simeq \mathcal{O}_{P(X)}$ since L' was the Poincaré line bundle, and in particular, it is algebraically equivalent to \mathcal{O}_X .

Hence by the UMP of $\mathrm{Pic}^0(P(X))$, there is a unique morphism

$$u_X : X \rightarrow \mathrm{Pic}^0(P(X)) = \widehat{P(X)}$$

such that $v^*(L'_{\text{red}}) \simeq (\text{id}_{P(X)} \times u_X)^*(L'')$, which proves the lemma. \square

Remark (Mumford). *If X is an abelian variety, then the canonical morphism $u_X : X \rightarrow \widehat{P(X)} = \widehat{\widehat{X}}$ is an isomorphism.*

Let $\text{Alb}(X) = \widehat{P(X)}$ and let $\alpha = u_X$. We show that it indeed is the Albanese variety.

Theorem 3.4. *If X is a nonsingular projective variety, then the Albanese variety $(\text{Alb}(X), \alpha)$ exists and it is unique up to isomorphism, and $\text{Alb}(X)$ is the dual of the Picard variety $\text{Pic}^0(X)_{\text{red}}$ of X .*

Proof. By construction, $u_X(x_0) = 0$. Let $f : X \rightarrow B$ be a morphism with B : an abelian variety and $f(x_0) = 0$. Then, $P(f) : P(B) \rightarrow P(X)$ and $\widehat{P(f)} : \widehat{P(X)} \rightarrow \widehat{P(X)}$ which is

$$\widehat{P(f)} : \text{Alb}(X) \rightarrow \text{Alb}(B) = \widehat{\widehat{B}} = B.$$

\square

Remark. When X is a nonsingular projective curve, then, the Picard scheme $\text{Pic}^0(X)$ is automatically reduced, so that it is the Picard variety. Furthermore, $\text{Pic}^0(X) \simeq \text{Pic}^0(X)$ so that $\text{Pic}^0(X) \simeq \text{Alb}(X)$, which is also called the Jacobian of X .