### CHANNELED SAMPLING IN TRANSLATION INVARIANT SUBSPACES

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ABSTRACT. We develop single and two-channel sampling formula in the translation invariant subspaces in the multi resolution analysis  $\{V_j\}$  of wavelet theory. First, we give a single channel sample formula in  $V_0$ , which extends results by G. G. Walter and W. Chen and S. Itoh. We then find necessary and sufficient conditions for two-channel sampling formula to hold in  $V_1$ . KEY WORDS : CHANNELED SAMPLING, TRANSLATION INVARIANT SUBSPACE, WAVELET

## 1. INTRODUCTION

The classical Whittaker-Shannon-Kotel'nikov(WSK) sampling theorem [4] states that if a signal f(t) with finite energy is band-limited with the bandwidth  $\pi$ , then it can be completely reconstructed from its discrete values by the formula

$$f(t) = \sum_{n=-\infty}^{\infty} f(n) \frac{\sin \pi (t-n)}{\pi (t-n)}$$

which converges both in  $L^2(\mathbb{R})$  and uniformly on  $\mathbb{R}$ , which has been extended in many directions (e.g. [1], [6] and [8]). In 1992, G. G. Walter [9] developed a sampling theorem in wavelet subspaces, noticing that the sampling function  $\sin \pi t/\pi t$  in the WSK theorem is a scaling function of a multi resolution analysis. He assumed that the scaling function  $\phi(t)$  is a continuous real valued function with  $\phi(t) = O(|t|^{-1-\epsilon})(\epsilon > 0)$  for |t| large, which does not hold for  $\sin \pi t/\pi t$ . Following G. G. Walter's work, A. J. E. M. Janssen [5] used the Zak transform to generalize Walter's work. Later, W. Chen and S. Itoh [2] extended Walter's result by requiring only the condition  $\{\phi(n)\} \in l^2$  on the scaling function without any decaying property. However, there were some gaps in the proof of the main result in [2].

In this work, we first re-examine the results in [2] and then extend it to single and double channel sampling formulas in the translation invariant subspaces of a multi resolution analysis.

## 2. Preliminaries

**Definition 2.1.** A function  $\phi(t) \in L^2(\mathbb{R})$  is called a scaling function of a multi resolution analysis(MRA in short)  $\{V_i\}$  if the closed subspaces  $V_i$  of  $L^2(\mathbb{R})$ ,

$$V_j := \overline{\operatorname{span}} \Big\{ \phi(2^j t - k) : k \in \mathbb{Z} \Big\}, \ j \in \mathbb{Z}$$

satisfy the following properties;

(i) 
$$\cdots \subset V_{-1} \subset V_0 \subset V_1 \cdots$$
;  
(ii)  $\overline{\bigcup V_j} = L^2(\mathbb{R})$ ;  
(iii)  $\bigcap V_j = \{0\}$ ;  
(iv)  $f(t) \in V_j$  if and only if  $f(2t) \in V_{j+1}$ ;

(v)  $\{\phi(t-k): k \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$ .

Then  $\{\phi(2^{j}t - k) : k \in \mathbb{Z}\}$  becomes a Riesz basis of  $V_{j}$  for each j. The wavelet subspace  $W_{j}$  is defined to be the orthogonal complement of  $V_{j}$  in  $V_{j+1}$  so that

$$V_{j+1} = V_j \oplus W_j.$$

Then there exists a wavelet  $\psi(t) \in L^2(\mathbb{R})$  that induces a Riesz basis  $\{\psi(2^jt - k) : k \in \mathbb{Z}\}$  of  $W_j$ . Moreover,  $\{\phi(2^jt - k), \psi(2^jt - k) : k \in \mathbb{Z}\}$  forms a Riesz basis of  $V_{j+1}$ .

For any  $\phi(t) \in L^2(\mathbb{R})$ , we let

$$\mathcal{F}(\phi)(\xi) = \hat{\phi}(\xi) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \phi(t) e^{-it\xi} dt \quad \text{and} \quad \mathcal{F}^{-1}(\hat{\phi})(t) := \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \hat{\phi}(\xi) e^{it\xi} d\xi$$

be the Fourier and inverse Fourier transforms of  $\phi(t)$  and  $\hat{\phi}(\xi)$  respectively. For a measurable function f(t) on a set  $X \subset \mathbb{R}$ , we let

$$||f(t)||_0 := \sup_{|E|=0} \inf_{X \setminus E} |f(t)|$$
 and  $||f(t)||_{\infty} := \inf_{|E|=0} \sup_{X \setminus E} |f(t)|$ 

be the essential infimum of |f(t)| on X and the essential supremum of |f(t)| on X respectively.

# **Proposition 2.1.** [3] Let $\phi(t) \in L^2(\mathbb{R})$ . Then

(i)  $\{\phi(t-k): k \in \mathbb{Z}\}$  is a Bessel sequence if and only if there is a constant B > 0 such that

$$\sum_{k} |\hat{\phi}(\xi + 2k\pi)|^2 \le B, \quad a.e. \ in \ [0, 2\pi];$$

(ii)  $\{\phi(t-k): k \in \mathbb{Z}\}$  is a Riesz sequence if and only if there are constants  $B \ge A > 0$  such that

$$A \le \sum_{k} |\hat{\phi}(\xi + 2k\pi)|^2 \le B, \quad a.e. \ in \ [0, 2\pi].$$

We call A and B lower and upper Riesz bounds for a Riesz sequence  $\{\phi(t-k) : k \in \mathbb{Z}\}$  respectively. For later use we give a corollary of Proposition 2.1.

**Corollary 2.2.** Let  $\phi(t) \in L^2(\mathbb{R})$ ,  $M(\xi) \in L^{\infty}(\mathbb{R})$ , and

$$C(\phi)(t) := \mathcal{F}^{-1}(\hat{\phi}M)(t) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} \hat{\phi}(\xi) M(\xi) e^{it\xi} d\xi.$$

Then

- (i)  $\{C(\phi)(t-k): k \in \mathbb{Z}\}$  is a Bessel sequence if  $\{\phi(t-k): k \in \mathbb{Z}\}$  is a Bessel sequence.
- (ii)  $\{C(\phi)(t-k) : k \in \mathbb{Z}\}$  is a Riesz sequence if  $\{\phi(t-k) : k \in \mathbb{Z}\}$  is a Riesz sequence and  $\|M(\xi)\|_0 > 0.$

*Proof.* (i): Let  $\{\phi(t-k): k \in \mathbb{Z}\}$  be a Bessel sequence with  $\sum_k |\hat{\phi}(\xi + 2k\pi)|^2 \leq B$ , a.e. in  $[0, 2\pi]$ . Then

$$\begin{split} \sum_{k} |\widehat{C(\phi)}(\xi + 2k\pi)|^{2} &= \sum_{k} |\widehat{\phi}(\xi + 2k\pi)M(\xi + 2k\pi)|^{2} \\ &\leq \sum_{k} |\widehat{\phi}(\xi + 2k\pi)|^{2} ||M(\xi)||_{\infty}^{2} \leq B ||M(\xi)||_{\infty}^{2}, \text{ a.e. in } [0, 2\pi] \end{split}$$

so that  $\{C(\phi)(t-k): k \in \mathbb{Z}\}$  is a Bessel sequence by Proposition 2.1.

(*ii*): Let  $\{\phi(t-k): k \in \mathbb{Z}\}$  be a Riesz sequence with bounds A and B. Then, as in (*i*) we have

$$A\|M(\xi)\|_{0}^{2} \leq \sum_{k} \left|\widehat{C(\phi)}(\xi + 2k\pi)\right|^{2} = \sum_{k} \left|\hat{\phi}(\xi + 2k\pi)M(\xi + 2k\pi)\right|^{2} \leq B\|M(\xi)\|_{\infty}^{2}$$

so that  $\{C(\phi)(t-k): k \in \mathbb{Z}\}$  is a Riesz sequence by Proposition 2.1.

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#### 3. SINGLE CHANNEL SAMPLING IN TRANSLATION INVARIANT SUBSPACES

In this section we give a single channel sampling in  $V_0$ , which extends results in G. G. Walter [9] and W. Chen and S. Itoh [2].

**Lemma 3.1.** [3] Let  $\phi(t) \in L^2(\mathbb{R})$  be such that  $\{\phi(t-k) : k \in \mathbb{Z}\}$  is a Bessel sequence. Then, for any  $\{c_k\} \in l^2, \sum_k c_k \phi(t-k) \text{ converges in } L^2(\mathbb{R}) \text{ and }$ 

$$\mathcal{F}\Big(\sum_{k} c_k \phi(t-k)\Big) = \sum_{k} \left(c_k e^{-ik\xi} \hat{\phi}(\xi)\right) = \Big(\sum_{k} c_k e^{-ik\xi}\Big) \hat{\phi}(\xi).$$

Let  $\mathcal{F}^*$  be the discrete Fourier transform on  $l^p$  (p = 1, 2) defined by  $\mathcal{F}^*(\{c_k\})(\xi) := \sum_k c_k e^{-ik\xi}$ . Then,  $\mathcal{F}^*(\{c_k\})(\xi)$  belongs to  $C[0, 2\pi]$  or  $L^2[0, 2\pi]$  if  $\{c_k\} \in l^1$  or  $l^2$  respectively. We denote  $\mathcal{F}^*(\{\phi(k)\})(\xi)$  by  $\hat{\phi}^*(\xi)$  for  $\phi(t) \in L^2(\mathbb{R})$  when  $\phi(k)(k \in \mathbb{Z})$  are well defined.

**Lemma 3.2.** If  $\{a_k\}, \{b_k\} \in l^2$ , and  $\mathcal{F}^*(\{a_k\})(\xi) \in L^{\infty}[0, 2\pi]$ , then  $\{\sum_j a_j b_{k-j}\} \in l^2$  and

$$\mathcal{F}^*(\{a_k\})(\xi)\mathcal{F}^*(\{b_k\})(\xi) = \mathcal{F}^*\left(\left\{\sum_j a_j b_{k-j}\right\}\right)(\xi)$$

*Proof.* Since  $\mathcal{F}^*(\{a_k\})(\xi) \in L^{\infty}[0, 2\pi]$  and  $\mathcal{F}^*(\{b_k\})(\xi) \in L^2[0, 2\pi]$ ,  $\mathcal{F}^*(\{a_k\})(\xi)\mathcal{F}^*(\{b_k\})(\xi) \in L^2[0, 2\pi]$ . Hence we can expand  $\mathcal{F}^*(\{a_k\})(\xi)\mathcal{F}^*(\{b_k\})(\xi)$  into its Fourier series  $\sum_n c_n e^{-in\xi}$  in  $L^2[0, 2\pi]$ , where

$$c_n = \frac{1}{2\pi} \left\langle \mathcal{F}^*(\{a_k\})(\xi) \mathcal{F}^*(\{b_k\})(\xi), e^{-in\xi} \right\rangle_{L^2[0,2\pi]}$$
  
$$= \frac{1}{2\pi} \left\langle \sum_k a_k e^{-ik\xi}, \left(\sum_k \overline{b_k} e^{ik\xi}\right) e^{-in\xi} \right\rangle_{L^2[0,2\pi]}$$
  
$$= \frac{1}{2\pi} \left\langle \sum_k a_k e^{-ik\xi}, \sum_k \overline{b_{n-k}} e^{-ik\xi} \right\rangle_{L^2[0,2\pi]} = \sum_k a_k b_{n-k}$$

by Parseval's identity. Hence the conclusion follows.

**Theorem 3.3.** Suppose that  $\phi(t)$  is a scaling function for an MRA  $\{V_j\}$  such that  $\phi(n)$ 's are well defined and  $\{\phi(n)\} \in l^2$ . Then, there exists  $S(t) \in V_0$  such that  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$  and

(3.1) 
$$f(t) = \sum_{n} f(n)S(t-n) \text{ in } L^{2}(\mathbb{R}), \quad f(t) \in V_{0}$$

if and only if  $0 < \|\hat{\phi}^*(\xi)\|_0 \le \|\hat{\phi}^*(\xi)\|_\infty < \infty$ . In this case, we have  $\hat{S}(\xi) = \frac{\hat{\phi}(\xi)}{\hat{\phi}^*(\xi)}$ .

Proof. Assume  $0 < \alpha := \|\hat{\phi}^*(\xi)\|_0 \le \beta := \|\hat{\phi}^*(\xi)\|_\infty < \infty$ . Then  $\frac{1}{|\hat{\phi}^*(\xi)|} \le \frac{1}{\alpha}$  a.e. in  $[0, 2\pi]$  so that  $\frac{1}{\hat{\phi}^*(\xi)} \in L^2[0, 2\pi]$ . Let  $\frac{1}{\hat{\phi}^*(\xi)} = \sum_k c_k e^{-ik\xi}$  be its Fourier series, where  $\{c_k\} \in l^2$  and set  $F(\xi) := \frac{\hat{\phi}(\xi)}{\hat{\phi}^*(\xi)}$ . Then  $F(\xi) \in L^2(\mathbb{R})$  and  $F(\xi) = (\sum_k c_k e^{-ik\xi})\hat{\phi}(\xi) = \sum_k (c_k e^{-ik\xi}\hat{\phi}(\xi))$  by Lemma 3.1. Hence  $S(t) := \mathcal{F}^{-1}(F)(t) = \sum_k c_k \phi(t-k) \in V_0$ . Now, we show that  $\{S(t-k): k \in \mathbb{Z}\}$  is a Riesz sequence. Since  $\hat{S}(\xi) = \frac{\hat{\phi}(\xi)}{\hat{\phi}^*(\xi)}$ , we have

$$\frac{A_{\phi}}{\beta^2} \le \sum_k \left| \hat{S}(\xi + 2k\pi) \right|^2 = \frac{\sum_k \left| \hat{\phi}(\xi + 2k\pi) \right|^2}{|\hat{\phi}^*(\xi)|^2} \le \frac{B_{\phi}}{\alpha^2} \quad \text{a.e. in } [0, 2\pi]$$

where  $A_{\phi}$  and  $B_{\phi}$  are Riesz bounds for  $\{\phi(t-k) : k \in \mathbb{Z}\}$ . Hence  $\{S(t-k) : k \in \mathbb{Z}\}$  is a Riesz sequence by Proposition 2.1 (*ii*).

For any  $f(t) = \sum_{k} a_k \phi(t-k) \in V_0$  where  $\{a_k\} \in l^2$ , we have by Lemma 3.1,

$$\hat{f}(\xi) = \left(\sum_{k} a_k e^{-ik\xi}\right) \hat{\phi}(\xi) = \left(\sum_{k} a_k e^{-ik\xi}\right) \hat{\phi}^*(\xi) \hat{S}(\xi)$$

Since  $\|\hat{\phi}^*(\xi)\|_{\infty} < \infty$ ,

(3.2) 
$$\left(\sum_{k} a_k e^{-ik\xi}\right) \hat{\phi}^*(\xi) = \sum_{n} f(n) e^{-in\xi}$$

where  $\{f(n) := \sum_{k} a_k \phi(n-k)\} \in l^2$  by Lemma 3.2. Hence

(3.3) 
$$\hat{f}(\xi) = \left(\sum_{n} f(n)e^{-in\xi}\right)\hat{S}(\xi) = \sum_{n} \left(f(n)e^{-in\xi}\hat{S}(\xi)\right)$$

by Lemma 3.1 since  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz sequence. Thus we have (3.1) by taking inverse Fourier transform on (3.3). Then  $\overline{\text{span}}\{S(t-n) : n \in \mathbb{Z}\} = V_0$  so that  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$ .

Conversely assume that there exists  $S(t) \in V_0$  such that  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$ and (3.1) holds. In particular  $\phi(t) = \sum_n \phi(n)S(t-n)$  so that

(3.4) 
$$\hat{\phi}(\xi) = \sum_{n} \left( \phi(n) e^{-in\xi} \hat{S}(\xi) \right) = \left( \sum_{n} \phi(n) e^{-in\xi} \right) \hat{S}(\xi) = \hat{\phi}^*(\xi) \hat{S}(\xi).$$

Hence

$$\sum_{k} \left| \hat{\phi}(\xi + 2k\pi) \right|^{2} = \left| \hat{\phi}^{*}(\xi) \right|^{2} \sum_{k} \left| \hat{S}(\xi + 2k\pi) \right|^{2}$$

so that

$$\frac{A_{\phi}}{B_S} \leq \left| \hat{\phi}^*(\xi) \right|^2 \leq \frac{B_{\phi}}{A_S} \quad \text{a.e. in } [0, 2\pi]$$

where  $(A_{\phi}, B_{\phi})$  and  $(A_S, B_S)$  are Riesz bounds for  $\{\phi(t-k) : k \in \mathbb{Z}\}$  and  $\{S(t-k) : k \in \mathbb{Z}\}$ respectively. Thus  $0 < \|\hat{\phi}^*(\xi)\|_0 \le \|\hat{\phi}^*(\xi)\|_{\infty} < \infty$ .

If 
$$\{\phi(n)\} \in l^1$$
, then  $\hat{\phi}^*(\xi) = \hat{\phi}^*(\xi + 2\pi) \in C[0, 2\pi]$  so that  
 $\|\hat{\phi}^*(\xi)\|_0 = \min_{[0, 2\pi]} |\hat{\phi}^*(\xi)|$  and  $\|\hat{\phi}^*(\xi)\|_{\infty} = \max_{[0, 2\pi]} |\hat{\phi}^*(\xi)|$ 

Hence we have:

**Corollary 3.4.** Suppose that  $\phi(t)$  is a scaling function for an MRA  $\{V_j\}$  such that  $\phi(n)$ 's are well defined and  $\{\phi(n)\} \in l^1$ . Then there exists  $S(t) \in V_0$  such that  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$  and (3.1) holds if and only if  $\hat{\phi}^*(\xi) \neq 0$  in  $[0, 2\pi]$ .

In [9], G. G. Walter requires that  $\phi(t)$  is a continuous on  $\mathbb{R}$  and  $\phi(t) = O(|t|^{-1-\epsilon})(\epsilon > 0)$  for |t| large. Then  $\{\phi(n)\} \in l^1$  so that the results in [9] is a special case of Corollary 3.4. On the other hand, W. Chen and S. Itoh [2] claimed: under the same hypothesis as in Theorem 3.3, there exists  $S(t) \in V_0$  with which (3.1) holds if and only if  $\hat{\phi}^*(\xi)^{-1} \in L^2[0, 2\pi]$ . However, there are some gaps in the arguments in [2]. In the proof of sufficiency for Theorem 1 in [2],  $(\sum_k a_k e^{-ik\xi})\hat{\phi}^*(\xi)$  belongs to  $L^1[0, 2\pi]$  but not necessarily in  $L^2[0, 2\pi]$  (unless  $\|\hat{\phi}^*(\xi)\|_{\infty} < \infty$ ) so that  $\{f(n)\} = \{\sum_k a_k \phi(n-k)\} \in l^{\infty}$  and the equation (3.2) becomes only a formal Fourier series expansion of a function in  $L^1[0, 2\pi]$  (see Equation (15) in [2]). Even if  $\hat{\phi}^*(\xi)^{-1} \in L^2[0, 2\pi]$  and  $\|\hat{\phi}^*(\xi)\|_{\infty} < \infty$ , (3.2) holds but (3.3) may not hold since  $\{S(t-n): n \in \mathbb{Z}\}$  is not a Bessel sequence unless  $\|\hat{\phi}^*(\xi)\|_0 > 0$ . Also, in the proof of necessity, we may not have (3.4) (see equation (17) in [2]) unless  $\{S(t-n): n \in \mathbb{Z}\}$  is a Riesz sequence. We may extend Theorem 3.3 by the same reasoning to a single channel sampling as:

**Theorem 3.5.** Let  $M(\xi)$  be a measurable function on  $\mathbb{R}$  such that  $0 < ||M(\xi)||_0 \le ||M(\xi)||_{\infty} < \infty$ . Suppose that  $\phi(t)$  is a scaling function for an MRA  $\{V_j\}$  such that  $C(\phi)(n)$ 's are well defined and  $\{C(\phi)(n)\} \in l^2$  where  $C(\phi)(t) := \mathcal{F}^{-1}(\hat{\phi}M)(t)$ . Then, there exists  $S(t) \in V_0$  such that  $\{S(t-n) : n \in \mathbb{Z}\}$  is a Riesz basis of  $V_0$  and

(3.5) 
$$f(t) = \sum_{n} C(f)(n)S(t-n) \quad in \ L^{2}(\mathbb{R}), \quad f(t) \in V_{0}$$

if and only if  $0 < \|\widehat{C(\phi)^*}(\xi)\|_0 \le \|\widehat{C(\phi)^*}(\xi)\|_\infty < \infty$ . In this case, we have  $\hat{S}(\xi) = \frac{\phi(\xi)}{\widehat{C(\phi)^*}(\xi)}$ .

Remark 3.1. If furthermore the scaling function  $\phi(t)$  in Theorem 3.3 or Theorem 3.5 is piecewise continuous on  $\mathbb{R}$  and  $|\phi(t)| = O(|t|^{\frac{-1}{2}-\epsilon})(\epsilon > 0)$  for |t| large, then  $\{\phi(n)\} \in l^2$  and  $V_0$  becomes a reproducing kernel Hilbert space. Indeed, for any  $f(t) = \sum_k a_k \phi(t-k) \in V_0$  where  $\{a_k\} \in l^2$ , we have

$$\begin{aligned} |f(t)| &\leq \sum_{n} |a_{n}| |\phi(t-n)| \leq \left(\sum_{n} |a_{n}|^{2}\right)^{\frac{1}{2}} \left(\sum_{n} |\phi(t-n)|^{2}\right)^{\frac{1}{2}} \\ &\leq \frac{\left\| f(t) \right\|_{L^{2}(\mathbb{R})}}{\sqrt{A}} \left(\sum_{n} |\phi(t-n)|^{2}\right)^{\frac{1}{2}}, \quad t \in \mathbb{R} \end{aligned}$$

where A is a lower Riesz bound for  $\{\phi(t-k) : k \in \mathbb{Z}\}$ . Since  $\sum_{n} |\phi(t-n)|^{2} < \infty$  for each t in  $\mathbb{R}$ , the point evaluation functional  $l_{t}(f) = f(t)(t \in \mathbb{R})$  is bounded in  $V_{0}$  so that  $V_{0}$  is a reproducing kernel Hilbert space. Hence the sampling series (3.1) and (3.5) converge not only in  $L^{2}(\mathbb{R})$  but also absolutely on  $\mathbb{R}$ .

**Example 3.1.** Shannon function  $\phi(t) = \sin \pi t/\pi t$  is continuous on  $\mathbb{R}$  and  $\{\phi(n)\} = \{\delta_{n0}\} \in l^1$ . Since  $\hat{\phi}^*(\xi) = 1$  on  $[0, 2\pi]$  but  $|\phi(t)| = O(|t|^{-1})$  for |t| large, the WSK sampling theorem is not covered by [2] or [9] but follows Corollary 3.4.

**Example 3.2.** Let  $\phi(t)$  be the continuous scaling function considered by Chen and Itoh (Example 3 in [2]) such that

$$\hat{\phi}(\xi) = \begin{cases} -1, & -4\pi \le \xi < -2\pi; \\ 1, & -2\pi \le \xi < 0; \\ \xi^s, & 0 \le \xi < 2\pi; \\ 0, & \text{otherwise} \end{cases}$$

with  $0 < s < \frac{1}{2}$ . Then we can easily see that  $\phi(n) = O(\frac{1}{n})$  for |n| large so that  $\{\phi(n)\} \in l^2 \setminus l^1$ . Even though  $\hat{\phi}^*(\xi) = \xi^s$  on  $[0, 2\pi]$  so that  $\hat{\phi}^*(\xi) \in L^{\infty}[0, 2\pi]$  and  $\hat{\phi}^*(\xi)^{-1} \in L^2[0, 2\pi]$ ,  $\|\hat{\phi}^*(\xi)\|_0 = 0$  so that we can not expect a sampling formula from  $\phi(t)$  suggested either by Theorem 1 in [2] or by Theorem 3.3.

**Example 3.3.** Let  $M(\xi) = e^{-ia\xi}$  with 0 < a < 1 so that  $1 = ||e^{-ia\xi}||_0 = ||e^{-ia\xi}||_\infty$ , and  $\phi(t)$  a scaling function as in Remark 3.1. Then  $C(\phi)(t) = \phi(t-a)$  and  $\{\phi(n-a)\} \in l^2$  so that  $Z_{\phi}(a,\xi) := \sum_n \phi(n-a)e^{-in\xi} \in L^2[0,2\pi]$ . Hence if  $0 < ||Z_{\phi}(a,\xi)||_0 \le ||Z_{\phi}(a,\xi)||_\infty < \infty$ , then we obtain the shift-sampling  $f(t) = \sum_n f(n-a)S(t-n)$ .

#### 4. Two-channel sampling in translation invariant subspaces

In this section we let  $\phi(t)$  be a scaling function for an MRA  $\{V_j\}$  and  $\psi(t)$  the associated wavelet. Let  $M_1(\xi)$  and  $M_2(\xi)$  be in  $L^{\infty}(\mathbb{R})$  and  $C_i(f)(t) = \mathcal{F}^{-1}(\widehat{f}M_i)(t)$  for i = 1, 2 and  $f(t) \in L^2(\mathbb{R})$ . Assume that  $C_i(\phi)(n)$ 's and  $C_i(\psi)(n)$ 's are well defined and  $\{C_i(\phi)(n)\}$  and  $\{C_i(\psi)(n)\}$  are in  $l^2$ . Let

$$A_{11}(\xi) := \sum C_1(\phi)(n)e^{-in\xi}; \quad A_{12}(\xi) := \sum C_2(\phi)(n)e^{-in\xi}; A_{21}(\xi) := \sum C_1(\psi)(n)e^{-in\xi}; \quad A_{22}(\xi) := \sum C_2(\psi)(n)e^{-in\xi},$$

and  $A(\xi) := [A_{ij}(\xi)]_{i,j=1}^2$ . Then  $A_{ij}(\xi) \in L^2[0, 2\pi]$  and  $A_{ij}(\xi) = A_{ij}(\xi + 2\pi)$ . We always assume that  $||A_{ij}(\xi)||_{\infty} < \infty$  for i, j = 1, 2 and det  $A(\xi) \neq 0$  a.e. in  $[0, 2\pi]$ . Set

$$A^{-1}(\xi) = B(\xi) := \left[B_{ij}(\xi)\right]_{i,j=1}^{2}$$

Then  $B(\xi) = B(\xi + 2\pi)$  is well defined a.e. in  $\mathbb{R}$ .

**Lemma 4.1.** Let  $\lambda_{1,B}(\xi)$  and  $\lambda_{2,B}(\xi)$  be eigenvalues of  $B(\xi)B(\xi)^*$  with  $\lambda_{1,B}(\xi) \leq \lambda_{2,B}(\xi)$ . If  $\|\det A(\xi)\|_0 > 0$ , then

$$0 < \|\lambda_{1,B}(\xi)\|_0 \le \|\lambda_{2,B}(\xi)\|_\infty < \infty.$$

*Proof.* Since  $B(\xi)B(\xi)^*$  is nonsingular Hermitian a.e. in  $[0, 2\pi]$ ,

$$0 < \lambda_{1,B}(\xi) \le \lambda_{2,B}(\xi)$$
 a.e. in  $[0, 2\pi]$ .

Since  $A_{ij}(\xi) \in L^{\infty}[0, 2\pi]$  and  $\|\det A(\xi)\|_0 > 0$ , all entries of  $B(\xi)$  and so  $B(\xi)B(\xi)^*$  are also in  $L^{\infty}[0, 2\pi]$  so that the characteristic equation of  $B(\xi)B(\xi)^*$  is of the form

$$\lambda(\xi)^2 + f(\xi)\lambda(\xi) + g(\xi) = 0$$

where  $f(\xi)$  and  $g(\xi)$  are real-valued functions in  $L^{\infty}[0, 2\pi]$ . Hence,  $0 < \|\lambda_{2,B}(\xi)\|_{\infty} < \infty$ . Since

$$\lambda_{1,B}(\xi)\lambda_{2,B}(\xi) = \det[B(\xi)B(\xi)^*] = |\det A(\xi)|^{-2},$$

$$\|\det A(\xi)\|_{\infty}^{-2} \le \lambda_{1,B}(\xi)\lambda_{2,B}(\xi) \le \|\det A(\xi)\|_{0}^{-2}$$
 a.e. in  $[0, 2\pi]$ 

so that  $\|\det A(\xi)\|_{\infty}^{-2} \|\lambda_{2,B}(\xi)\|_{\infty}^{-1} \le \lambda_{1,B}(\xi) \le \lambda_{2,B}(\xi) \le \|\lambda_{2,B}(\xi)\|_{\infty}$  a.e. in  $[0, 2\pi]$ .

For any  $\phi(t) \in L^2(\mathbb{R})$ ,

$$\|\phi\|_{L^{2}(\mathbb{R})}^{2} = \|\hat{\phi}\|_{L^{2}(\mathbb{R})}^{2} = \int_{0}^{2\pi} \sum_{k} |\hat{\phi}(\xi + 2k\pi)|^{2} d\xi$$

so that  $\{\hat{\phi}(\xi+2k\pi)\}_{k\in\mathbb{Z}}\in l^2$  for a.e. in  $[0,2\pi]$ .

**Definition 4.1.** For any  $\phi(t)$  and  $\psi(t)$  in  $L^2(\mathbb{R})$ , we call

$$G(\xi) := \begin{bmatrix} \frac{\sum_{k} |\hat{\phi}(\xi + 2k\pi)|^2}{\sum_{k} \hat{\phi}(\xi + 2k\pi) \hat{\psi}(\xi + 2k\pi)} & \sum_{k} \hat{\phi}(\xi + 2k\pi) \hat{\psi}(\xi + 2k\pi) \\ \sum_{k} |\hat{\psi}(\xi + 2k\pi)|^2 & \sum_{k} |\hat{\psi}(\xi + 2k\pi)|^2 \end{bmatrix}$$

the Gramian of  $\{\phi, \psi\}$ , which is well defined a.e. in  $[0, 2\pi]$ .

Then as a Hermitian matrix,  $G(\xi)$  has real eigenvalues.

**Theorem 4.2.** [7] Let  $\lambda_{1,G}(\xi)$  and  $\lambda_{2,G}(\xi)$  be eigenvalues of the Gramian  $G(\xi)$  of  $\{\phi, \psi\}$  such that  $\lambda_{1,G}(\xi) \leq \lambda_{2,G}(\xi)$ . Then  $\{\phi(t-k), \psi(t-k) : k \in \mathbb{Z}\}$  is a Riesz sequence if and only if there are constants  $B \geq A > 0$  such that

(4.1) 
$$A \le \lambda_{1,G}(\xi) \le \lambda_{2,G}(\xi) \le B \text{ a.e. in } [0,2\pi].$$

**Lemma 4.3.** Set  $\begin{bmatrix} F_1(\xi) \\ F_2(\xi) \end{bmatrix}$  :=  $B(\xi) \begin{bmatrix} \hat{\phi}(\xi) \\ \hat{\psi}(\xi) \end{bmatrix}$  on  $\mathbb{R}$ . If  $\|\det A(\xi)\|_0 > 0$ , then  $F_i(\xi) \in L^2(\mathbb{R})$ ,  $S_i(t) := \mathcal{F}^{-1}(F_i)(t) \in V_1$  for i = 1, 2, and  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz sequence.

Proof. Since  $B_{ij}(\xi) \in L^{\infty}(\mathbb{R})$ ,  $F_i(\xi) = B_{i1}(\xi)\hat{\phi}(\xi) + B_{i2}(\xi)\hat{\psi}(\xi) \in L^2(\mathbb{R})$  for i = 1, 2. Since  $B_{ij}(\xi) = B_{ij}(\xi + 2\pi) \in L^2[0, 2\pi]$ , we may expand  $B_{ij}(\xi)$  into its Fourier series  $B_{ij}(\xi) = \sum_k b_{ij,k} e^{-ik\xi}$  where  $\{b_{ij,k}\} \in l^2$ . Then by Lemma 3.1,

$$F_{i}(\xi) = \left(\sum_{k} b_{i1,k} e^{-ik\xi}\right) \hat{\phi}(\xi) + \left(\sum_{k} b_{i2,k} e^{-ik\xi}\right) \hat{\psi}(\xi)$$
$$= \sum_{k} \left(b_{i1,k} e^{-ik\xi} \hat{\phi}(\xi) + b_{i2,k} e^{-ik\xi} \hat{\psi}(\xi)\right)$$

so that

$$S_i(t) := \mathcal{F}^{-1}(F_i)(t) = \sum_k \left( b_{i1,k} \phi(t-k) + b_{i2,k} \psi(t-k) \right) \in V_1.$$

Let

$$S(\xi) := \begin{bmatrix} \sum_{k} |\hat{S}_{1}(\xi + 2k\pi)|^{2} & \sum_{k} \hat{S}_{1}(\xi + 2k\pi) \\ \sum_{k} \hat{S}_{1}(\xi + 2k\pi) \hat{S}_{2}(\xi + 2k\pi) & \sum_{k} |\hat{S}_{2}(\xi + 2k\pi)|^{2} \end{bmatrix}$$

be the Gramian of  $\{S_1, S_2\}$  and  $\lambda_{1,S}(\xi) \leq \lambda_{2,S}(\xi)$  the eigenvalues of  $S(\xi)$ . Then we have by periodicity of  $B(\xi)$ ,

$$S(\xi) = B(\xi)G(\xi)B(\xi)^*$$

Let  $U_S(\xi)$  and  $U_G(\xi)$  be unitary matrices, which diagonalize  $S(\xi)$  and  $G(\xi)$  respectively, i.e.,

$$S(\xi) = U_S(\xi) \begin{bmatrix} \lambda_{1,S}(\xi) & 0\\ 0 & \lambda_{2,S}(\xi) \end{bmatrix} U_S(\xi)^*$$

and

$$G(\xi) = U_G(\xi) \begin{bmatrix} \lambda_{1,G}(\xi) & 0\\ 0 & \lambda_{2,G}(\xi) \end{bmatrix} U_G(\xi)^*.$$

Then

$$\begin{bmatrix} \lambda_{1,S}(\xi) & 0\\ 0 & \lambda_{2,S}(\xi) \end{bmatrix} = R(\xi) \begin{bmatrix} \lambda_{1,G}(\xi) & 0\\ 0 & \lambda_{2,G}(\xi) \end{bmatrix} R(\xi)^*$$

where

$$R(\xi) = U_S(\xi)^* B(\xi) U_G(\xi) := \begin{bmatrix} R_{11}(\xi) & R_{12}(\xi) \\ R_{21}(\xi) & R_{22}(\xi) \end{bmatrix}$$

so that

(4.2) 
$$\lambda_{1,S}(\xi) = \lambda_{1,G}(\xi) |R_{11}(\xi)|^2 + \lambda_{2,G}(\xi) |R_{12}(\xi)|^2;$$

(4.3) 
$$\lambda_{2,S}(\xi) = \lambda_{1,G}(\xi) |R_{21}(\xi)|^2 + \lambda_{2,G}(\xi) |R_{22}(\xi)|^2.$$

On the other hand,

(4.4) 
$$R(\xi)R(\xi)^* = U_S(\xi)^*B(\xi)B(\xi)^*U_S(\xi) \\ = U_S(\xi)^*U_B(\xi) \begin{bmatrix} \lambda_{1,B}(\xi) & 0\\ 0 & \lambda_{2,B}(\xi) \end{bmatrix} U_B(\xi)^*U_S(\xi),$$

where  $U_B(\xi)$  is the unitary matrix such that

$$B(\xi)B(\xi)^{*} = U_{B}(\xi) \begin{bmatrix} \lambda_{1,B}(\xi) & 0\\ 0 & \lambda_{2,B}(\xi) \end{bmatrix} U_{B}(\xi)^{*}$$

with  $\lambda_{1,B}(\xi) \leq \lambda_{2,B}(\xi)$ . Set  $U_S(\xi)^* U_B(\xi) = \left[D_{ij}(\xi)\right]_{i,j=1}^2$ , which is also a unitary matrix. Then we have from diagonal entries of both sides of (4.4),

(4.5) 
$$|R_{11}(\xi)|^2 + |R_{12}(\xi)|^2 = \lambda_{1,B}(\xi)|D_{11}(\xi)|^2 + \lambda_{2,B}(\xi)|D_{12}(\xi)|^2;$$

(4.6) 
$$|R_{21}(\xi)|^2 + |R_{22}(\xi)|^2 = \lambda_{1,B}(\xi)|D_{21}(\xi)|^2 + \lambda_{2,B}(\xi)|D_{22}(\xi)|^2.$$

Then we have from (4.1), (4.2), (4.3), (4.5) and (4.6)

$$\lambda_{1,S}(\xi) \ge \lambda_{1,G}(\xi) \Big( |R_{11}(\xi)|^2 + |R_{12}(\xi)|^2 \Big) \ge \lambda_{1,G}(\xi) \lambda_{1,B}(\xi) \quad \text{a.e. in } [0,2\pi];$$

$$\lambda_{2,S}(\xi) \le \lambda_{2,G}(\xi) \Big( |R_{21}(\xi)|^2 + |R_{22}(\xi)|^2 \Big) \le \lambda_{2,G}(\xi)\lambda_{2,B}(\xi) \quad \text{a.e. in } [0,2\pi],$$

since  $|D_{11}(\xi)|^2 + |D_{12}(\xi)|^2 = |D_{21}(\xi)|^2 + |D_{22}(\xi)|^2 = 1$  a.e. in  $[0, 2\pi]$ . Hence

 $0 < \|\lambda_{1,G}(\xi)\|_0 \|\lambda_{1,B}(\xi)\|_0 \le \lambda_{1,S}(\xi) \le \lambda_{2,S}(\xi) \le \|\lambda_{2,G}(\xi)\|_\infty \|\lambda_{2,B}(\xi)\|_\infty < \infty \quad \text{a.e. in } [0,2\pi]$ by Lemma 4.1 so that  $\{S_i(t-n): i=1,2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz sequence by Theorem 4.2.

Now we are ready to give the main result in this section.

**Theorem 4.4.** Under the above setting, there exist  $S_i(t) \in V_1$  (i = 1, 2) such that  $\{S_i(t - n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz basis of  $V_1$  for which two-channel sampling formula

(4.7) 
$$f(t) = \sum_{n} C_1(f)(n)S_1(t-n) + \sum_{n} C_2(f)(n)S_2(t-n), \quad f \in V_1$$

holds if and only if  $\|\det A(\xi)\|_0 > 0$  on  $[0, 2\pi]$ . In this case

(4.8) 
$$S_i(t) = \mathcal{F}^{-1} \big( B_{i1}(\xi) \hat{\phi}(\xi) + B_{i2}(\xi) \hat{\psi}(\xi) \big)(t) \quad \text{for } i = 1, 2.$$

*Proof.* Assume  $\|\det A(\xi)\|_0 > 0$  on  $[0, 2\pi]$  and define  $S_i(t)$  by (4.8). Then  $S_i(t) \in V_1$  (i = 1, 2) and  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz sequence by Lemma 4.3. For any  $f(t) \in V_1$ 

(4.9) 
$$f(t) = \sum_{k} c_{1,k} \phi(t-k) + \sum_{k} c_{2,k} \psi(t-k)$$

where  $\{c_{i,k}\}_k \in l^2$  for i = 1, 2 since  $\{\phi(t-k), \psi(t-k) : k \in \mathbb{Z}\}$  is a Riesz basis for  $V_1$ . Applying the bounded linear operator  $C_i(\cdot)$  to (4.9) gives

(4.10) 
$$C_i(f)(t) = \sum_k c_{1,k} C_i(\phi)(t-k) + \sum_k c_{2,k} C_i(\psi)(t-k).$$

On the other hand, we have by Lemma 3.1

$$\hat{f}(\xi) = \left(\sum_{k} c_{1,k} e^{-ik\xi}\right) \hat{\phi}(\xi) + \left(\sum_{k} c_{2,k} e^{-ik\xi}\right) \hat{\psi}(\xi)$$
  
Since  $\begin{bmatrix} \hat{\phi}(\xi) \\ \hat{c}(\xi) \end{bmatrix} = A(\xi) \begin{bmatrix} \hat{S}_{1}(\xi) \\ \hat{c}(\xi) \end{bmatrix}$ .

$$\begin{aligned} (4.11) \quad \hat{f}(\xi) &= \left[ \left( \sum_{k} c_{1,k} e^{-ik\xi} \right) A_{11}(\xi) + \left( \sum_{k} c_{2,k} e^{-ik\xi} \right) A_{21}(\xi) \right] \hat{S}_{1}(\xi) \\ &+ \left[ \left( \sum_{k} c_{1,k} e^{-ik\xi} \right) A_{12}(\xi) + \left( \sum_{k} c_{2,k} e^{-ik\xi} \right) A_{22}(\xi) \right] \hat{S}_{2}(\xi) \\ &= \sum_{n} \left( \sum_{k} c_{1,k} C_{1}(\phi)(n-k) + \sum_{k} c_{2,k} C_{1}(\psi)(n-k) \right) e^{-in\xi} \hat{S}_{1}(\xi) \\ &+ \sum_{n} \left( \sum_{k} c_{1,k} C_{2}(\phi)(n-k) + \sum_{k} c_{2,k} C_{2}(\psi)(n-k) \right) e^{-in\xi} \hat{S}_{2}(\xi) \\ &= \sum_{n} C_{1}(f)(n) e^{-in\xi} \hat{S}_{1}(\xi) + \sum_{n} C_{2}(f)(n) e^{-in\xi} \hat{S}_{2}(\xi) \end{aligned}$$

by (4.10), where  $\{C_i(f)(n)\} \in l^2$  (i = 1, 2) by Lemma 3.2. Taking inverse Fourier transform on (4.11) gives (4.7), which implies  $V_1 = \overline{\text{span}} \{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  so that  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz basis of  $V_1$ . Conversely assume that there exist  $S_i(t) \in V_1$  (i = 1, 2) such that  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz basis of  $V_1$ . Conversely assume that there exist  $S_i(t) \in V_1$  (i = 1, 2) such that  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  is a Riesz basis of  $V_1$  and (4.7) holds. In particular,

$$\phi(t) = \sum_{n} C_1(\phi)(n)S_1(t-n) + \sum_{n} C_2(\phi)(n)S_2(t-n);$$
  

$$\psi(t) = \sum_{n} C_1(\psi)(n)S_1(t-n) + \sum_{n} C_2(\psi)(n)S_2(t-n).$$

By taking Fourier transform and using Lemma 3.1, we have

$$\begin{bmatrix} \hat{\phi}(\xi) \\ \hat{\psi}(\xi) \end{bmatrix} = A(\xi) \begin{bmatrix} \hat{S}_1(\xi) \\ \hat{S}_2(\xi) \end{bmatrix}.$$

We then have as in the proof of Lemma 4.3

$$G(\xi) = A(\xi)S(\xi)A(\xi)^*,$$

where  $G(\xi)$  and  $S(\xi)$  are Gramians of  $\{\phi, \psi\}$  and  $\{S_1, S_2\}$  respectively. Hence det  $G(\xi) = \det S(\xi) |\det A(\xi)|^2$  so that

$$|\det A(\xi)|^{2} = \frac{\det G(\xi)}{\det S(\xi)} = \frac{\lambda_{1,G}(\xi)\lambda_{2,G}(\xi)}{\lambda_{1,S}(\xi)\lambda_{2,S}(\xi)} \ge \frac{\lambda_{1,G}(\xi)^{2}}{\lambda_{2,S}(\xi)^{2}} \quad \text{a.e. in } [0, 2\pi],$$

where  $\lambda_{1,G}(\xi) \leq \lambda_{2,G}(\xi)$  and  $\lambda_{1,S}(\xi) \leq \lambda_{2,S}(\xi)$  are eigenvalues of  $G(\xi)$  and  $S(\xi)$  respectively. Therefore,

$$|\det A(\xi)| \ge \frac{\lambda_{1,G}(\xi)}{\lambda_{2,S}(\xi)} \ge \frac{\|\lambda_{1,G}(\xi)\|_0}{\|\lambda_{2,S}(\xi)\|_\infty}$$
 a.e. in  $[0, 2\pi]$ 

so that  $\|\det A(\xi)\|_0 > 0$  since both  $\{\phi(t-n), \psi(t-n) : n \in \mathbb{Z}\}$  and  $\{S_i(t-n) : i = 1, 2 \text{ and } n \in \mathbb{Z}\}$  are Riesz sequences.

**Example 4.1.** For Haar orthogonal system  $\phi(t) = \chi_{[0,1)}(t)$  and  $\psi(t) = \chi_{[0,\frac{1}{2})}(t) - \chi_{[\frac{1}{2},1)}(t)$ . Let  $M_1(\xi) = 1$  and  $M_2(\xi) = e^{-ia\xi}$  with  $0 < a \le 1/2$ . Then  $C_1(\phi)(t) = \chi_{[0,1)}(t)$ ,  $C_2(\phi)(t) = \chi_{[0,1)}(t-a)$ ,  $C_1(\psi)(t) = \chi_{[0,\frac{1}{2})}(t) - \chi_{[\frac{1}{2},1)}(t)$  and  $C_2(\psi)(t) = \chi_{[0,\frac{1}{2})}(t-a) - \chi_{[\frac{1}{2},1)}(t-a)$ . Then

$$A(\xi) = \begin{bmatrix} 1 & e^{-i\xi} \\ 1 & -e^{-i\xi} \end{bmatrix}$$

so that  $|\det A(\xi)| = 2$ , which satisfies the condition of Theorem 4.4. Hence we have a sampling formula

$$f(t) = \sum_{n} f(n)S_1(t-n) + \sum_{n} f(n-a)S_2(t-n).$$

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