

Vahlen's involution and q-series identities

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POSTECH

January, 2008

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1. Introduction

Basic notations

- $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_l)$, $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_l \geq 0$ and $\lambda_i \in \mathbb{Z}$
- For $\sum_i \lambda_i = n$, we denote $|\lambda| = n$.
- If there is not any part in λ , define $\lambda = \emptyset$.
- $l(\lambda) = l$, $a(\lambda) = \lambda_1$ and $s(\lambda) = \lambda_l$

- For q -series identities

$$\lambda \leftrightarrow (a_1q^{\lambda_1}, a_2q^{\lambda_2}, \dots, a_lq^{\lambda_l}),$$

where a_1, a_2, \dots, a_l are coefficients which imply some properties of λ

- $\lambda \oplus \mu$: a partition with parts $\{\lambda_i + \mu_i\}$
 $\lambda \uplus \mu$: a partition with parts $\{\lambda_i, \mu_j\}$

- P : the set of partitions

D : the set of partitions whose parts are all distinct

For $S \subseteq P$

$$S^k = \{\lambda \in S \mid \lambda_i \equiv k \pmod{2}\} \quad (k = 1, 2)$$

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$$(a)_0 = 1,$$

$$(a)_n = (a; q)_n = (1 - a)(1 - aq) \cdots (1 - aq^{n-1}) \quad (n \geq 1),$$

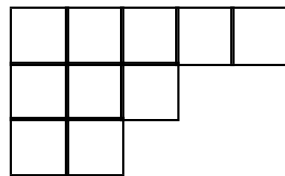
$$(a; q^k)_n = (1 - a)(1 - aq^k) \cdots (1 - aq^{k(n-1)}) \quad (n \geq 1),$$

$$(a)_\infty = (a; q)_\infty = \prod_{n \geq 0} (1 - aq^n),$$

$$(a; q^k)_\infty = \prod_{n \geq 0} (1 - aq^{kn}).$$

Young diagram $[\lambda]$ for $|\lambda| = n$

- A collection of n 1×1 squares (i, j) on a square grid \mathbb{Z}^2 , with $1 \leq i \leq l(\lambda)$, $1 \leq j \leq \lambda_i$
- The first coordinate i increasing downward, while the second coordinate j increases from left to right
- We assume $\lambda = [\lambda]$.



$(5,3,2)$

2-modular diagram $[\lambda]_2$

A Young diagram with the integers 1 or 2 written in squares, such that 1 can appear only in the last square of a row, and no 1 can appear above 2

2	2	2	2	2	2	1
2	2	2	2	1		
2	2	2				
2	2	1				
2						

Vahlen's involution

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$$\frac{(q)_\infty}{(q)_\infty} = 1$$

$$(\lambda, \mu) \leftrightarrow ((q)_\infty, \frac{1}{(q)_\infty}) \implies (\lambda, \mu) \in D \times P$$

$$\text{sign}(\lambda, \mu) = (-1)^{l(\lambda)}$$

- Combinatorial interpretation

(i) $s(\lambda) \leq s(\mu)$: move $s(\lambda)$ to μ

(ii) $s(\lambda) > s(\mu)$: move $s(\mu)$ to λ .

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$$\frac{(-q)_\infty}{(-q)_\infty} = 1$$

2. Basic identities

First identities

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$$\sum_{n=0}^{\infty} (-1)^n q^{n^2} = \sum_{n=0}^{\infty} \frac{q^{2n}}{(q)_{2n}} (q)_{\infty} \quad (1)$$

•

$$\sum_{n=0}^{\infty} (-1)^n q^{(n+1)^2} = \sum_{n=0}^{\infty} \frac{q^{2n+1}}{(q)_{2n+1}} (q)_{\infty} \quad (2)$$

Identity (1)

- Equivalent form

$$\sum_{n=0}^{\infty} (-1)^n q^{n^2} = (q; q^2)_{\infty} (q^2; q^2)_{\infty} - \sum_{n=1}^{\infty} (-q^{2n}) (q^{2n+1}; q^2)_{\infty} (q^{2n+2}; q^2)_{\infty}$$

- The right hand side

$$\lambda^1 \leftrightarrow (q; q^2)_{\infty} \in D^1$$

$$\lambda^2 \leftrightarrow (q^2; q^2)_{\infty} \in D^2$$

where $s(\lambda^1) < s(\lambda^2)$

- The left hand side

$$(-1)^n q^{n^2} \leftrightarrow (-q^{2(n-1)+1}, -q^{2(n-2)+1}, \dots, -q^1)$$

- For λ^1 with $l = l(\lambda^1)$

$$\lambda^1 = \lambda^{11} \oplus \lambda^{12}$$

where

$$\lambda^{11} = (-q^{2(l-1)+1}, -q^{2(l-2)+1}, \dots, -q^1) \in D^1$$

$$\lambda^{12} = (q^{\lambda_1^1 - (2(l-1)+1)}, q^{\lambda_2^1 - (2(l-2)+1)}, \dots, q^{\lambda_l^1 - 1}) \in P^2$$

- $(\lambda^{12}, \lambda^2) \in P^2 \times D^2$, $sign(\lambda^{12}, \lambda^2) = (-1)^{l(\lambda^2)}$
- Apply Vahlen's involution to $(\lambda^{12}, \lambda^2)$
- Exceptional case : $|\lambda^{12}| = 0$, $\lambda^2 = \emptyset$

Identity (2)

- Equivalent form

$$\sum_{n=0}^{\infty} (-1)^n q^{(n+1)^2} = \sum_{n=0}^{\infty} q^{2n+1} (q^{2n+3}; q^2)_{\infty} (q^{2n+2}; q^2)_{\infty}$$

- The right hand side

$$\lambda^1 \leftrightarrow q^{2n+1} (q^{2n+3}; q^2)_{\infty} \in D^1$$

$$\lambda^2 \leftrightarrow (q^{2n+2}; q^2)_{\infty} \in D^2$$

where $s(\lambda^1) < s(\lambda^2)$

- The left hand side

$$(-1)^n q^{(n+1)^2} \leftrightarrow (-q^{2n+1}, -q^{2(n-1)+1}, \dots, -q^{2 \cdot 1+1}, q^1)$$

General forms

Counting the number of parts

•

$$\begin{aligned} & \sum_{n=0}^{\infty} (-1)^n z^n q^{n^2} \\ &= \sum_{n=0}^{\infty} \frac{q^{2n}}{(zq; q^2)_n (q^2; q^2)_n} (zq; q^2)_{\infty} (q^2; q^2)_{\infty} \end{aligned}$$

•

$$\begin{aligned} & \sum_{n=0}^{\infty} (-1)^n z^{n+1} q^{(n+1)^2} \\ &= \sum_{n=0}^{\infty} \frac{zq^{2n+1}}{(zq; q^2)_{n+1} (q^2; q^2)_n} (zq; q^2)_{\infty} (q^2; q^2)_{\infty} \end{aligned}$$

Second Identities

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$$\sum_{n=0}^{\infty} (-1)^n q^{n^2} = \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q)_{2n}} (q; q^2)_{\infty} \quad (3)$$

•

$$\sum_{n=0}^{\infty} (-1)^n q^{(n+1)^2} = \sum_{n=0}^{\infty} \frac{q^{(n+1)(2n+1)}}{(q)_{2n+1}} (q; q^2)_{\infty} \quad (4)$$

Identity (3)

- Equivalent form

$$\sum_{n=0}^{\infty} (-1)^n q^{n^2} = \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q^2; q^2)_n} (q^{2n+1}; q^2)_{\infty}$$

- The right hand side

$$\lambda^1 \leftrightarrow q^{n^2} (q^{2n+1}; q^2)_{\infty} \in D^1$$

$$\lambda^2 \leftrightarrow \frac{q^{n(n+1)}}{(q^2; q^2)_n} \in D^2$$

- The left hand side

$$(-1)^n q^{n^2} \leftrightarrow (-q^{2(n-1)+1}, -q^{2(n-2)+1}, \dots, -q^1)$$

- For λ^1 with $l = l(\lambda^1)$

$$\lambda^1 = \lambda^{11} \oplus \lambda^{12}$$

where

$$\lambda^{11} = (q^{2l-1}, q^{2l-3}, \dots, q^1) \in D^1$$

$$\lambda^{12} = (-q^{\lambda_1^1 - (2l-1)}, -q^{\lambda_2^1 - (2l-3)}, \dots, -q^{\lambda_{l-n}^1 - (2n+1)}) \in P^2$$

- $(\lambda^{12}, \lambda^2) \in P^2 \times D^2$, $sign(\lambda^{12}, \lambda^2) = (-1)^{l(\lambda^{12})}$
- Apply Vahlen's involution to $(\lambda^{12}, \lambda^2)$
- Exceptional case : $|\lambda^{12}| = 0$, $\lambda^2 = \emptyset$

Identity (4)

- Equivalent form

$$\sum_{n=0}^{\infty} (-1)^n q^{(n+1)^2} = \sum_{n=0}^{\infty} \frac{q^{(n+1)(2n+1)}}{(q^2; q^2)_n} (q^{2n+3}; q^2)_{\infty}$$

- The right hand side

$$\lambda^1 \leftrightarrow q^{(n+1)^2} (q^{2n+3}; q^2)_{\infty} \in D^1$$

$$\lambda^2 \leftrightarrow \frac{q^{n(n+1)}}{(q^2; q^2)_n} \in D^2$$

- The left hand side

$$(-1)^n q^{(n+1)^2} \leftrightarrow (-q^{2n+1}, -q^{2(n-1)+1}, \dots, -q^{2 \cdot 1+1}, q^1)$$

Derived identity

- Combination of (1) with (3)

$$\sum_{n=0}^{\infty} \frac{q^{2n}}{(q)_{2n}} (q^2; q^2)_{\infty} = \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q)_{2n}}$$

- Equivalent form

$$\sum_{n=0}^{\infty} \frac{q^{2n}}{(q)_{2n}} (-q)_{\infty} = \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q)_{2n}} \frac{1}{(q)_{\infty}}$$

- Since

$$(-q)_\infty = \sum_{m=0}^{\infty} \frac{q^{m(2m+1)}}{(q)_{2m}} + \sum_{m=0}^{\infty} \frac{q^{(m+1)(2m+1)}}{(q)_{2m+1}}$$

$$\frac{1}{(q)_\infty} = \sum_{m=0}^{\infty} \frac{q^{2m}}{(q)_{2m}} + \sum_{m=0}^{\infty} \frac{q^{2m+1}}{(q)_{2m+1}}$$

we have

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{q^{2n}}{(q)_{2n}} \left(\sum_{m=0}^{\infty} \frac{q^{m(2m+1)}}{(q)_{2m}} + \sum_{m=0}^{\infty} \frac{q^{(m+1)(2m+1)}}{(q)_{2m+1}} \right) \\ &= \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q)_{2n}} \left(\sum_{m=0}^{\infty} \frac{q^{2m}}{(q)_{2m}} + \sum_{m=0}^{\infty} \frac{q^{2m+1}}{(q)_{2m+1}} \right) \end{aligned}$$

- Cancelling common terms

$$\sum_{n=0}^{\infty} \frac{q^{2n}}{(q)_{2n}} \sum_{m=0}^{\infty} \frac{q^{(m+1)(2m+1)}}{(q)_{2m+1}} = \sum_{m=0}^{\infty} \frac{q^{2m+1}}{(q)_{2m+1}} \sum_{n=0}^{\infty} \frac{q^{n(2n+1)}}{(q)_{2n}} \quad (5)$$

- L and R : the l.h.s. and the r.h.s. of (5) respectively

$$L = \{(\lambda^1, \mu^1) \mid (\lambda^1, \mu^1) \in P \times D\}$$

$$R = \{(\lambda^2, \mu^2) \mid (\lambda^2, \mu^2) \in P \times D\}$$

where

$$(l(\lambda^1), l(\mu^1)) \equiv (0, 1) \pmod{2}$$

$$(l(\lambda^2), l(\mu^2)) \equiv (1, 0) \pmod{2}$$

- Vahlen's involution to $(\lambda^1, \mu^1) \longrightarrow (\lambda^2, \mu^2)$

General forms

- Counting the number of parts

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{z^{2n} q^{2n}}{(q)_{2n}} \sum_{m=0}^{\infty} \frac{z^{2m+1} q^{(m+1)(2m+1)}}{(q)_{2m+1}} \\ &= \sum_{m=0}^{\infty} \frac{z^{2m+1} q^{2m+1}}{(q)_{2m+1}} \sum_{n=0}^{\infty} \frac{z^{2n} q^{n(2n+1)}}{(q)_{2n}} \end{aligned}$$

equivalently

$$\begin{aligned} \sum_{n=0}^{\infty} \frac{z^{2n} q^{2n}}{(q)_{2n}} (-zq)_{\infty} &= \sum_{n=0}^{\infty} \frac{z^{2n} q^{n(2n+1)}}{(q)_{2n}} \frac{1}{(zq)_{\infty}} \\ \sum_{n=0}^{\infty} \frac{z^{2n+1} q^{2n+1}}{(q)_{2n+1}} (-zq)_{\infty} &= \sum_{n=0}^{\infty} \frac{z^{2n+1} q^{(n+1)(2n+1)}}{(q)_{2n+1}} \frac{1}{(zq)_{\infty}} \end{aligned}$$

3. Ramanujan's identities

The first identity in Ramanujan's lost notebook

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$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{q^n}{(-aq)_n (-bq)_n} \\ &= (1 + a^{-1}) \sum_{n=0}^{\infty} \frac{(-b/a)^n q^{\frac{n(n+1)}{2}}}{(-bq)_n} - a^{-1} \frac{\sum_{n=0}^{\infty} (-b/a)^n q^{\frac{n(n+1)}{2}}}{(-aq)_{\infty} (-bq)_{\infty}} \end{aligned}$$

- Equivalent form

$$\begin{aligned} & \sum_{n=0}^{\infty} aq^n (-aq^{n+1})_{\infty} (-bq^{n+1})_{\infty} \\ &= (-a)_{\infty} \sum_{n=0}^{\infty} (-b/a)^n q^{\frac{n(n+1)}{2}} (-bq^{n+1})_{\infty} - \sum_{n=0}^{\infty} (-b/a)^n q^{\frac{n(n+1)}{2}} \end{aligned}$$

-

$$\lambda^a \leftrightarrow (-a)_\infty, \lambda^{b/a} \leftrightarrow (-b/a)^n q^{\frac{n(n+1)}{2}}, \lambda^b \leftrightarrow (-bq^{n+1})_\infty$$

- The right hand side

$$(-b/a)^n q^{\frac{n(n+1)}{2}} \leftrightarrow ((-b/a)q^n, (-b/a)q^{n-1}, \dots, (-b/a)q^1)$$

Either $\lambda^a \neq \emptyset$ or $\lambda^b \neq \emptyset$

$$a(\lambda^{b/a}) < s(\lambda^b)$$

- The left hand side

$$\lambda^{b/a} = \emptyset, s(\lambda^a) < s(\lambda^b)$$

- For $\lambda^{b/a} \uplus \lambda^b$ with $l_b = l(\lambda^{b/a} \uplus \lambda^b)$

$$\lambda^{b/a} \uplus \lambda^b = \lambda^{b1} \oplus \lambda^{b2}$$

where

$$\lambda^{b1} = ((-b/a)q^{l_b}, (-b/a)q^{l_b-1}, \dots, (-b/a)q^1)$$

$$\lambda^{b2} = (-aq^{\lambda_1^b - l_b}, -aq^{\lambda_2^b - (l_b - 1)}, \dots, -aq^{\lambda_{l_b - n}^b - (n + 1)})$$

- $(\lambda^a, \lambda^{b2}) \in D \times P$, $sign(\lambda^a, \lambda^{b2}) = (-1)^{l(\lambda^{b2})}$
- Apply Vahlen's involution to $(\lambda^a, \lambda^{b2})$
- Exceptional case : $l(\lambda^{b1}) = l(\lambda^{b2})$, $s(\lambda^a) < s(\lambda^{b1} \oplus \lambda^{b2})$

Equivalently

$$\lambda^{b/a} = \emptyset, s(\lambda^a) < s(\lambda^b)$$

Other identities in Ramanujan's lost notebook

•

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{q^n}{(-bq)_n (-b^{-1}q)_n} \\ &= (1+b) \sum_{n=0}^{\infty} \frac{(-b^2)^n q^{\frac{n(n+1)}{2}}}{(-bq)_n} - b \frac{\sum_{n=0}^{\infty} (-b^2)^n q^{\frac{n(n+1)}{2}}}{(-bq)_{\infty} (-b^{-1}q)_{\infty}} \end{aligned}$$

Equivalent form

$$\begin{aligned} & \sum_{n=0}^{\infty} b^{-1} q^n (-b^{-1}q^{n+1})_{\infty} (-bq^{n+1})_{\infty} \\ &= (-b^{-1})_{\infty} \sum_{n=0}^{\infty} (-b/b^{-1})^n q^{\frac{n(n+1)}{2}} (-bq^{n+1})_{\infty} - \sum_{n=0}^{\infty} (-b/b^{-1})^n q^{\frac{n(n+1)}{2}} \end{aligned}$$

•

$$\begin{aligned}
& (1 + b^{-1}) \sum_{n=0}^{\infty} \frac{(-a/b)^n q^{\frac{n(n+1)}{2}}}{(-aq)_n} - (1 + a^{-1}) \sum_{n=0}^{\infty} \frac{(-b/a)^n q^{\frac{n(n+1)}{2}}}{(-bq)_n} \\
& = (b^{-1} - a^{-1}) \frac{(aq/b)_{\infty} (bq/a)_{\infty} (q)_{\infty}}{(-aq)_{\infty} (-bq)_{\infty}}
\end{aligned}$$

Equivalent form

$$\begin{aligned}
& b \left\{ (-a)_{\infty} \sum_{n=0}^{\infty} (-b/a)^n q^{\frac{n(n+1)}{2}} (-bq^{n+1})_{\infty} - \sum_{n=0}^{\infty} (-b/a)^n q^{\frac{n(n+1)}{2}} \right\} \\
& = a \left\{ (-b)_{\infty} \sum_{n=0}^{\infty} (-a/b)^n q^{\frac{n(n+1)}{2}} (-aq^{n+1})_{\infty} - \sum_{n=0}^{\infty} (-a/b)^n q^{\frac{n(n+1)}{2}} \right\} \\
& = \sum_{n=0}^{\infty} baq^n (-aq^{n+1})_{\infty} (-bq^{n+1})_{\infty}
\end{aligned}$$

•

$$\begin{aligned} & \sum_{n=0}^{\infty} \frac{q^{2n+1}}{(-aq; q^2)_{n+1} (-a^{-1}q; q^2)_{n+1}} \\ &= \sum_{n=0}^{\infty} a^{3n+1} q^{3n^2+2n} (1 - aq^{2n+1}) - \frac{\sum_{n=0}^{\infty} (-1)^n a^{2n+1} q^{n^2+n}}{(-aq; q^2)_{\infty} (-a^{-1}q; q^2)_{\infty}} \end{aligned}$$

Equivalent form

$$\begin{aligned} & \sum_{n=0}^{\infty} a^{-1} q^{2n+1} (-aq^{2n+3}; q^2)_{\infty} (-a^{-1}q^{2n+3}; q^2)_{\infty} \\ &= (-a^{-1}q; q^2)_{\infty} \sum_{n=0}^{\infty} (-1)^n a^{2n} q^{n(n+1)} (-aq^{2n+3}; q^2)_{\infty} \\ & \quad - \sum_{n=0}^{\infty} (-1)^n a^{2n} q^{n(n+1)} \end{aligned}$$

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